




# Realizing precision medicine in chronic lymphocytic leukemia: Remaining challenges and potential opportunities

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## Abstract

Patients with chronic lymphocytic leukemia (CLL) exhibit diverse clinical outcomes. An expanding array of genetic tests is now employed to facilitate the identification of patients with high-risk disease and inform treatment decisions. These tests encompass molecular cytogenetic analysis, focusing on recurrent chromosomal alterations, particularly del(17p). Additionally, sequencing is utilized to identify *TP53* mutations and to determine the somatic hypermutation status of the immunoglobulin heavy variable gene. Concurrently, a swift advancement of targeted treatment has led to the implementation of novel strategies for patients with CLL, including kinase and BCL2 inhibitors. This review explores both current and emerging diagnostic tests aimed at identifying high-risk patients who should benefit from targeted therapies. We outline existing treatment paradigms, emphasizing the importance of matching the right treatment to the right patient beyond genetic stratification, considering the crucial balance between safety and efficacy. We also take into consideration the practical and logistical issues when choosing a management strategy for each individual patient. Furthermore, we delve into the mechanisms underlying therapy resistance and stress the relevance of monitoring measurable residual disease to guide treatment decisions. Finally, we underscore the necessity of aggregating real-world data, adopting a global perspective, and ensuring patient engagement. Taken together, we argue that precision medicine is not the mere application of precision diagnostics and accessibility of precision therapies in CLL but encompasses various aspects of the patient journey (e.g., lifestyle exposures and comorbidities) and their preferences toward achieving true personalized medicine for patients with CLL.

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## INTRODUCTION

In recent years, chronic lymphocytic leukemia (CLL) has emerged as a paradigmatic disease where both patients and doctors entered the era of precision medicine (PM).<sup>1</sup> In this review, we first emphasize the essential role of precision diagnostics and prognostic/predictive tests and highlight how treatment can be tailored and monitored based on biomarkers (Figure 1). More importantly, we extend our concept of PM into broader terms, arguing that to achieve a personalized approach we need to include a thorough medical assessment to balance safety with efficacy and consider all practical and logistical issues, including patient's preferences and adherence. Finally, we conclude by highlighting the importance of generating real-world data (RWD) to advance the concept of PM and offer perspectives about the global dimensions of PM in CLL.

## PRECISION DIAGNOSTICS AND PROGNOSTICATION

### Diagnostic considerations

The diagnosis of CLL is usually straightforward, using immunophenotyping by flow cytometry to demonstrate the expression of CD5 and CD23 on a CD19<sup>+</sup> B-cell population with low levels of CD20 and monoclonal kappa or lambda light-chain expression. Expression of CD79B and surface immunoglobulin is characteristically weak.<sup>2</sup> All efforts should be made to rule out any other differential diagnosis when facing an atypical phenotype on a CD5<sup>+</sup> B-cell population, including additional phenotyping (CD43, CD200, and ROR1) or molecular work-up (*CCND1* or *BCL2* rearrangement), as a precise diagnosis is a prerequisite for the implementation of a PM approach.

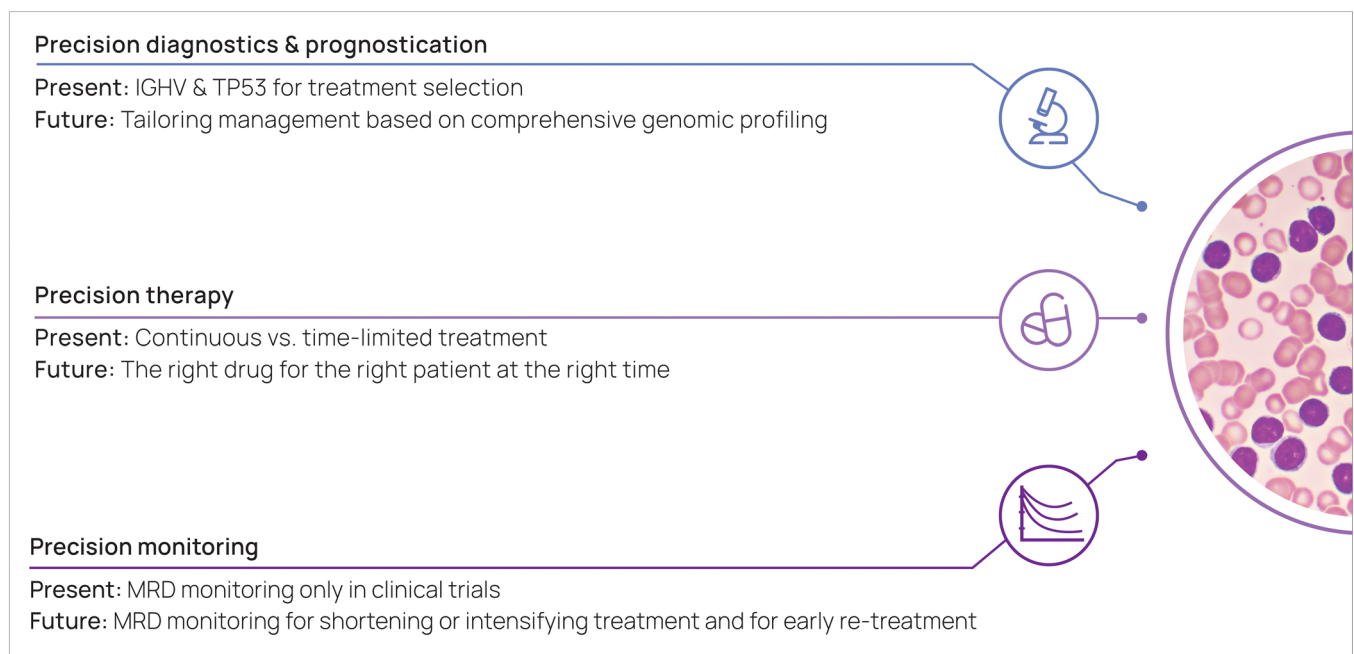
### Biomarker assessment before treatment initiation

After diagnosis, most patients will experience a treatment-free watch-and-wait period, ranging from a few months to decades.<sup>3</sup> If and when a patient meets the criteria for progressive disease according to the iwCLL guidelines, specific genetic biomarkers need to be assessed before starting treatment in order to inform treatment choice (Figure 1).<sup>3</sup> Among others, *TP53* aberrations and immunoglobulin heavy variable (IGHV) gene mutation status are the cornerstones and considered mandatory in both general practice and clinical trials.

### TP53 aberrations

Evidence indicating shorter overall survival (OS) and poor therapy response in patients with *TP53* mutations and/or del(17p) has accumulated since the early 1990s, finally leading to the incorporation of their detection before each line of therapy in international guidelines.<sup>3–5</sup> Earlier studies employing Sanger sequencing and fluorescent in situ hybridization (FISH) identified *TP53* aberrations in 5%–10% of patients with CLL at diagnosis and 10%–15% at front-line treatment. While del(17p) typically co-occurs with *TP53* mutation on the other allele, they may also be present independently, with a sole *TP53* mutation being more common than a sole del(17p).<sup>6</sup>

Next-generation sequencing (NGS) uncovered *TP53* mutations below the detection limit of Sanger sequencing, corresponding to a variant allele frequency (VAF) of ~10%.<sup>7,8</sup> Such *TP53* micro-clones often expand during the disease course, positively selected by chemoimmunotherapy (CIT).<sup>9</sup> Responding to the need for method validation, the European Research Initiative on CLL (ERIC) has invested in



**FIGURE 1** Core concepts of precision medicine in patients with chronic lymphocytic leukemia (CLL) involve precision diagnostics and prognostication as a basis for selecting targeted agents (precision therapy) and following treatment response at the individual patient level (precision monitoring).

offering guidance on *TP53* mutation screening, including by NGS,<sup>10</sup> while also running an external quality assessment scheme for laboratories performing the test and holding dedicated educational workshops.

The negative impact of *TP53* aberrations manifests mainly in limited sensitivity and consequent clonal expansion upon CIT, thus worsening patient prognosis. In contrast, both Bruton's tyrosine kinase inhibitors (BTKi) and BCL2 inhibitors (BCL2i) are effective in patients with *TP53* aberrations.<sup>11</sup> This fact challenged *TP53* alterations as independent prognostic and predictive markers, at least for patients receiving frontline treatment with kinase inhibitors. Nevertheless, they retain prognostic relevance in relapsed and refractory (R/R) patients even when treated with novel agents as well as when using fixed-duration regimens as frontline treatment.<sup>12-14</sup>

In terms of PM, not all *TP53* aberrations are functionally equal<sup>15</sup> and targeted therapies do not appear to favor clonal expansion as compared to CIT.<sup>16</sup> Hence, the challenge ahead involves defining which types and combinations of *TP53* alterations (e.g., mono-allelic versus bi-allelic versus multiple subclones) are predictive for tailoring targeted treatment and what is the relevance of *TP53* micro-clones. Evidently, this will require large-scale collaborative studies and harmonization of relevant methodologies, already pioneered by ERIC, combined with functional assays to better characterize the prevised functional impact of *TP53* aberrations, especially concerning the (rare) variants that are difficult to interpret.

## IGHV gene analysis

In CLL, the somatic hypermutation (SHM) status of the IGHV gene can directly predict patient survival.<sup>17,18</sup> In more detail, patients with CLL cells carrying mutated IGHV genes (M-CLL, <98% identity to germline) generally follow a more indolent course than those with unmutated IGHV genes (U-CLL, ≥98% identity), who tend to show advanced disease, adverse cytogenetic features, and less favorable outcome, although the latter has changed dramatically, thanks to the advent of targeted therapies. Of note, IGHV gene SHM status remains stable during the clinical course, thus contrasting genomic aberrations which may change over time.<sup>19</sup> It is, therefore, unsurprising that current recommendations mandate that IG gene analysis is performed in all patients with CLL prior to frontline treatment, as the results from this test may have a profound impact on clinical decision-making.<sup>3,20</sup> In fact, in recent prospective clinical studies, chemo-free approaches proved to benefit U-CLL patients significantly more compared to CIT.<sup>20-28</sup>

The IG gene repertoire of CLL is nonrandom, culminating in the existence of subsets of cases with (quasi)identical or stereotyped B-cell receptor IG (BcR IG).<sup>29-31</sup> Accumulating evidence supports that major stereotyped subsets likely represent distinct molecular and clinical variants of CLL.<sup>32,33</sup> A prime example is stereotyped subset #2, expressing restricted IGHV3-21/IGLV3-21 BcR IG.<sup>34</sup> Subset #2 represents almost 8% of M-CLL requiring treatment and, in contrast to the remaining M-CLL cases, does not benefit from CIT,<sup>35,36</sup> that is still considered as a valid treatment option for M-CLL.<sup>37</sup>

IGHV gene analysis has traditionally been performed by combining PCR amplification and Sanger sequencing, but more recently started to be replaced by NGS-based assays.<sup>38</sup> ERIC has put effort into harmonizing IGHV gene analysis, ensuring that methodological recommendations by experts are regularly updated,<sup>20,39,40</sup> interested laboratories have access to an external quality assessment scheme, scientists involved in CLL diagnostics receive hands-on training in dedicated workshops, and an expert panel is available for online troubleshooting ([www.ericll.org/ignetnetwork/](http://www.ericll.org/ignetnetwork/)).

In terms of PM, the forthcoming challenge involves defining whether other stereotypes might be predictive of differential responses to current or future treatments and, as highlighted by the case of subset #2, which other features may account for the heterogeneous outcomes of M-CLL patients.<sup>37</sup> As a concrete measure to address these issues, ERIC has recently launched two relevant studies, of which the first focuses on stereotyped subsets that are closely similar (satellites) to major subsets #1, #2, and #8, while the second aims to address the heterogeneity of M-CLL by detailed genomic and immunogenetic characterization.

## Complex karyotype (CK)

FISH-detected recurrent chromosomal abnormalities, that is, deletion of 13q, 11q, 17p, and trisomy 12, are associated with different clinical outcomes, contributing to risk stratification and treatment decision-making.<sup>4,41</sup> More recently, accumulating evidence supports that karyotypic complexity, as measured either by chromosome banding analysis (CBA) or microarray analysis, is associated with worse outcomes in CLL.<sup>42,43</sup> A large study by ERIC found that a highly CK, defined as ≥5 abnormalities, was predictive of poor prognosis in patients with CLL treated with CIT.<sup>42</sup> A caveat is that CK-related studies were mostly retrospective and heterogeneous in methodologies. Moreover, it remains uncertain whether CK has an independent prognostic value as CK and *TP53* aberrations most often coexist.<sup>44</sup> That said, high CK has been associated with inferior outcomes both using BTKi and BCL2i treatment.<sup>45,46</sup> For instance, data obtained from the randomized GAIA/CLL13 study (NCT02950051), which excluded patients with *TP53* aberrations, confirmed an adverse impact of CK defined as ≥3 abnormalities with CIT, while only high CK (≥5 abnormalities) appeared to be a negative prognostic marker in case of time-limited BCL2i treatment.<sup>22</sup>

Key outstanding questions are whether CBA or array analysis holds similar prognostic power and the exact relevance of major structural chromosome abnormalities, such as translocations, but also certain numerical aberrations (e.g., multiple trisomies), in particular in relation to targeted drugs. To address these challenges, ERIC has recently launched a study to evaluate the predictive impact of CKs in patients undergoing targeted therapies. We therefore recommend only assessing CK in clinical trials but, for now, refrain from guiding treatment decisions based on CK in the real-world setting.<sup>44</sup>

## Clinical impact of other genomic aberrations

Genome sequencing in CLL has unveiled numerous recurrent genetic aberrations, currently impacting >2000 genes.<sup>47,48</sup> There are a few more frequently mutated genes (*ATM*, *NOTCH1*, *SF3B1*, and *TP53*) followed by a long list of less commonly mutated genes, often occurring in <1%–5% of patients.<sup>49,50</sup> Recently, a large-scale sequencing study identified 202 drivers, including 109 new ones, encompassing point mutations, indels, and copy-number variants (CNVs).<sup>51</sup> Another recent investigation employing whole-genome sequencing (WGS) revealed 56 recurrent driver alterations, of which 33 were affected by CNVs and noncoding mutations in regulatory elements.<sup>52</sup>

Today, more than 50 genetic aberrations have been linked to disease outcomes in CLL.<sup>19,53</sup> The great majority have been associated with shorter time-to-first-treatment (TTFT) and OS. Interestingly, the genomic landscapes seem to differ in M-CLL and U-CLL.<sup>51</sup> While *SF3B1* and *XPO1* mutations appear to be strong independent prognostic factors in both U-CLL and M-CLL, alterations of *TP53*,

*BIRC3*, and *EGR2* only impact U-CLL patients, and *NOTCH1* and *NFKBIE* mutations predominantly affect M-CLL patients.<sup>53</sup> These findings emphasize the need for a compartmentalized approach, considering genetic aberrations in the context of IGHV mutation status to identify high-risk patients.

Today, besides *TP53*, no other gene mutation is recommended for routine diagnostics. More research needs to be performed, and, particularly, patients with the more common mutations should start being stratified in the context of prospective clinical trials to answer this important aspect of PM in CLL.

## Beyond genomics

In recent years, genomic analysis has emerged as key to understanding CLL pathogenesis and stratifying patients. That said, genomics represents only one layer of the multilayer biology of CLL cells. Evidently, therefore, much remains to be revealed from the deeper investigation of other layers, for example, the epigenome and the proteome. Particularly regarding the former, ample evidence supports that key epigenetic features such as the DNA methylation profile can discriminate patients with CLL with markedly different prognoses and outcomes.<sup>54–58</sup> As for the latter, pioneering proteogenomic studies have offered relevant proof-of-principle, for example, by identifying a novel subtype of patients with poor prognosis associated with aberrant BcR signaling.<sup>59</sup>

Despite the undisputed biological and prognostic significance of the novel information acquired through the aforementioned studies, translation to the clinic is yet to be achieved. Hence, the challenge ahead lies in developing and validating laboratory protocols ready for routine clinical application as well as dedicated software that would allow integrative multiomics analysis toward refining patient stratification and advancing the prospects of PM in CLL.

## PRECISION THERAPY AND CLINICAL DECISION-MAKING IN CLL

### Tailoring treatment based on biomarkers

In CLL, the need exists for biomarkers that can help discriminate between patients who will experience a stable disease with no treatment requirement during their lifetime from those who will eventually progress and need to be treated (Figure 1). This would allow tailoring the management of patients at the time of diagnosis, sparing unnecessary visits and tests let alone the psychological burden for them and their carers. However, the possibility of applying biomarker-based information to the individual patient is hampered by the fact that the association, for example, between IGHV gene SHM status and clinical outcome, only reaches 80% concordance.

In contrast, one of the main successes of PM in CLL is the possibility to utilize distinct disease features (predictive biomarkers) that indicate how likely a patient is to benefit from a specific treatment, thus providing valuable information for patient stratification (Figure 1), as exemplified by *TP53* aberrations and the IGHV gene SHM status, both of which should be assessed before the start of treatment<sup>3,60,61</sup> Finally, on- and posttreatment biomarkers, in particular measurable residual disease (MRD) status, allows a refined prediction of the outcome but only after treatment and beyond known pretreatment characteristics.<sup>62,63</sup>

Nowadays, the treatment of CLL can be tailored in two ways: (i) choice of treatment, for example, selecting whether to use CIT versus targeted therapy (i.e., BTKi and venetoclax containing regimens;

Table 1) or continuous versus time-limited targeted therapy: this should be based on combining tumor- and host-related features, such as comorbidities and polypharmacy, availability of treatment options, as well as patients' preferences; and (ii) the duration (fixed versus response-adapted) of a chosen treatment regimen, for example, based on depth of MRD levels, to further improve treatment outcomes. While the former is already part of routine CLL management, the latter is still under exploration in clinical trials and has yet to enter routine healthcare.

### Tailoring treatment decisions by balancing safety and efficacy

Indications for treatment in patients with CLL continue to rely exclusively on the occurrence of active and/or symptomatic disease.<sup>3</sup> In the past, no clear benefit for early initiation in asymptomatic patients was demonstrated with CIT.<sup>64–66</sup> More recent attempts to improve the outcome of patients deemed at higher risk of CLL progression by starting treatment with targeted agents earlier have not produced a tangible OS benefit either.<sup>67</sup>

Once the need for treatment is established, surveys have shown that patients with CLL pay more attention to the occurrence of adverse events rather than to survival advantages when discussing therapy initiation.<sup>68</sup> Efficacy and safety are strongly dependent upon patients' situation at the time of treatment decision, and their balance should be a priority for personalized approaches, especially since patients with CLL are elderly with a life expectancy that might be dependent on other concomitant diseases rather than CLL itself.

When balancing efficacy and safety between BTKi and BCL2i, both the clinical presentation and the biological background must be considered. Long-term BTKis are often preferred in the presence of *TP53* alterations (often associated with CK),<sup>69</sup> but the possibility of cardiac toxicity (arrhythmias, hypertension, and heart failure) suggests caution in patients with a history of cardiovascular disease or ill-controlled hypertension.<sup>70</sup> Second-generation BTKis are better tolerated,<sup>71</sup> but cardiac toxicity (particularly hypertension) is not erased, and rare cases of unexplained sudden deaths still occur. Bleeding risk precludes the use of BTKis with concomitant double antiplatelet therapy, and careful follow-up is mandatory in case of concomitant administration of one antiplatelet or anticoagulant.<sup>72</sup> There are other milder adverse events, such as cutaneous, musculoskeletal, or digestive, that might lead to discontinuation if not taken care of.<sup>73</sup> Hence, adherence becomes crucial, particularly considering oral targeted therapies where patients are more independent in taking the drug. Even time-limited treatments span over a year or even longer, thus personalization of therapy entails providing accurate information to each patient according to their expectations and their way of living.

The combination of the BCL2i venetoclax and the anti-CD20 antibody obinutuzumab is also highly effective and well tolerated, even in unfit patients with comorbidities, but should be used with caution in case of compromised renal function considering the risk of

**TABLE 1** Approved targeted agent-based first-line treatments in chronic lymphocytic leukemia (CLL).

Continuous	Fixed-duration
Ibrutinib ± anti-CD20 monoclonal antibody	Venetoclax + obinutuzumab
Acalabrutinib ± obinutuzumab	Ibrutinib + venetoclax
Zanubrutinib	

tumor lysis syndrome for both agents.<sup>74</sup> The risk of tumor lysis syndrome is limited if the well-established rules of administration, dose adaptation, and biological surveillance are followed. Its efficacy is optimal in M-CLL cases.<sup>21</sup> It is also preferred in rapidly progressive, nonbulky CLL, and its tolerance and time-limited administration are favorable for patients with comorbidities.<sup>75</sup> Neutropenia is frequent in the first months of treatment but does not lead to an elevated risk of severe infections.<sup>76</sup>

The combination of venetoclax and BTKi combines the toxicity of each agent which appears to be counterbalanced by an enhanced efficacy and a time limited dosing.<sup>77</sup> However, it is still too early for the evaluation of long-term toxicity. “Triplet” therapy (BCL2i, BTKi, anti-CD20) is effective but leads to excess toxicity in unfit patients.<sup>22,78</sup>

## PRECISION MONITORING IN CLL

### Development of therapy resistance

In a significant fraction of patients treated with pathway inhibitors, therapy resistance may be acquired by the emergence of mutations in genes that are directly targeted by the drugs or belong to the targeted pathway. Resistance to covalent BTKi is associated with point mutations targeting the *BTK* gene in the cysteine residue (C481) of the kinase domain, thus preventing the drug from binding covalently to BTK.<sup>79,80</sup> Importantly, noncovalent BTK inhibitors can overcome the resistance conferred by C481 mutations.<sup>81</sup> While mutations at other sites of the *BTK* gene have also been reported,<sup>82,83</sup> the predictive value of low-*VAF* *BTK* mutations in patients clinically responding to BTKi is still a matter of research.<sup>84</sup> In addition, resistance can be caused by gain-of-function *PLCG2* mutations that lead to constitutive activation of BcR signaling downstream to BTK.<sup>80,85</sup> Nonetheless, *BTK* and *PLCG2* gene mutations do not explain resistance to BTKi in all cases.<sup>16,86</sup> In fact, ~30% of patients with CLL relapsing on ibrutinib do not carry such mutations even when investigated with droplet digital PCR (ddPCR).<sup>16</sup> Alternative mechanisms, including *EGR2*, *BIRC3* and *NFKBIE* mutations as well as del(8p), might cooperate in promoting BTKi resistance.<sup>16</sup>

The molecular mechanisms of venetoclax resistance include mutations of the *BCL2* gene.<sup>87–89</sup> The most common mutation is G101V, which falls in the BH3-binding groove and causes a marked reduction in the *BCL2* affinity for venetoclax, preventing the drug from displacing proapoptotic BH-3 only proteins (e.g., BIM). The novel BCL2i sonrotoclax appears to have the potential to overcome venetoclax resistance in preclinical models and is currently being tested in clinical trials.<sup>90</sup> Because *BCL2* mutations are restricted to a subset of venetoclax-refractory patients, the involvement of other molecular mechanisms of resistance is a matter of active research.<sup>91</sup> Importantly, *BCL2* mutations (as well as *BTK* and *PLCG2*) are absent in CLL relapsing after first-line treatment with fixed-duration ibrutinib plus venetoclax, suggesting that treatment duration has an impact on the acquisition of these mutations, although limited numbers of patients have been evaluated to date.<sup>92</sup>

Current guidelines do not recommend regular monitoring of resistance mutations during treatment with pathway inhibitors or the use of mutation testing for making decisions in clinical practice outside of clinical trials. Considering the expanding therapeutic landscape of CLL, however, resistance mutations may eventually become an important set of biomarkers for PM management of the disease. Toward this aim, a more precise definition of resistance along with standardization of testing technologies (e.g., gene panel deep-sequencing or ddPCR analysis) as well as harmonization of interpretation of the results across laboratories will be a prerequisite. In

parallel, the inclusion of resistance mutation testing in clinical trials with pathway inhibitors is highly desirable.

### MRD detection

MRD is an essential tool for determining treatment response in clinical trials and is often a primary endpoint in hypothesis-generating trials and a secondary endpoint in registration trials (Figure 1). MRD assessment is not yet applied in routine practice but may be used to provide supportive information for monitoring patients in remission after treatment. The iwCLL guidelines have established 0.01%/10<sup>-4</sup>, that is, 1 CLL cell in 10,000 leukocytes, as an appropriate threshold for the assessment of MRD in CLL.<sup>3</sup> However, other thresholds may hold significance in specific contexts. For instance, a threshold of >1%/10<sup>-2</sup> is important for identifying individuals at risk of early relapse or progression, while thresholds of <0.001%/10<sup>-5</sup> or <0.0001%/10<sup>-6</sup> are relevant for evaluating disease eradication.<sup>13,62,93</sup>

Flow cytometry can be used to identify CLL cells using a core set of 6 markers, as proposed by ERIC,<sup>94</sup> in a single tube, to enable a detection limit of 0.001%/10<sup>-5</sup>. Flow cytometry MRD is relatively quick, simple, cost-effective, and reproducible but has the disadvantage of requiring a high number of cells (~7-fold more than molecular approaches for equivalent detection limit) that need to be analyzed fresh (<48 h from collection).<sup>94–96</sup>

IG real-time quantitative PCR (RQ-PCR) or ddPCR are also sensitive and well-validated approaches suitable for identifying residual disease to a limit of detection (LOD) of 0.001%/10<sup>-5</sup>. The assay requires design of primers specific to the clonotypic IGH gene rearrangement in each patient, thus pretreatment disease material is required for assay design, and the detection limit can vary between patients. NGS approaches for MRD detection in CLL instead use approaches targeting rearranged IGH (± immunoglobulin kappa [IGK]/immunoglobulin lambda [IGL]) sequences enabling to detect MRD at the 0.0001%/10<sup>-6</sup> level.<sup>97–99</sup> To date, only one commercial approach incorporating such calibration has been authorized by the Food and Drug Administration (FDA),<sup>100</sup> while more recently, an academic assay has been published using primers targeting the IGHV-leader sequence, which allows complete characterization of the IGHV sequence.<sup>97</sup>

### MRD-guided therapy

MRD is affected both by the type (CIT vs. targeted therapy) and duration (continuous vs. time-limited) of treatment as well as by biological features such as the presence of high-risk genomic alterations. MRD status has been found to correlate with PFS or even OS in most time-limited combination regimens, including CIT and targeted therapy.<sup>21,101,102</sup> Based on the strong prognostic impact of the MRD status, it can be a key enabler of precision therapy in CLL (Figure 1). Several phase 2 studies have demonstrated that treatment duration and intensity can be modulated based on peripheral blood (PB) and/or bone marrow (BM) assessments and most commonly with thresholds of 10<sup>-4</sup> and with flow cytometry.<sup>103–105</sup> Additionally, some studies implement integrated assessments of clinical response and MRD, for example, by requiring reaching a complete remission as per iwCLL in addition to BM and PB MRD <10<sup>-4</sup>.<sup>106,107</sup> However, this plethora of phase 2 studies can only serve as a proof-of-principle and is insufficient to change current practice. Several key uncertainties need to be overcome to establish the clinical benefit of MRD guidance. First, the method of measuring MRD requires standardization. While the international efforts by ERIC have led to standardized analysis of MRD by flow cytometry, regulatory bodies commonly also require standardization of each assay component, which so far has not been achieved. Second, a consensus on the most

appropriate MRD cutoff is required to establish safe MRD guidance; currently, most studies have identified a threshold of  $10^{-4}$  as the most feasible and still highly prognostic cutoff. However, a fraction of patients show MRD  $<10^{-4}$  and still detectable disease in BM, which can potentially be overcome by an MRD cutoff of  $10^{-5}$  in PB.<sup>108</sup> Importantly, while highly sensitive NGS-based assays can call levels down to  $10^{-6}$ , the added prognostic information of these very deep responses is not yet clear.<sup>62,109</sup> Finally, to definitively establish the clinical benefit of MRD-guided precision treatment of CLL, prospective randomized comparisons are warranted. So far, only the FLAIR study has compared an MRD-guided treatment to a fixed-duration treatment, but since MRD-guided targeted treatment with ibrutinib plus venetoclax was compared to fixed-duration FCR, the contribution of the MRD-guidance is difficult to isolate.<sup>24</sup> Hence, the comparison to the continuous ibrutinib arm in the FLAIR protocol (NCT00614315) as well as ongoing or planned studies like CLL18 (venetoclax-obinutuzumab vs. pirtobrutinib-venetoclax vs. MRD-guided pirtobrutinib-venetoclax) or RESOLVE (MRD-guided shortening vs. standard venetoclax-obinutuzumab or venetoclax-ibrutinib) will likely pave the way toward understanding the clinical benefit of MRD-guided treatment of CLL.

## RWD AGGREGATION

While randomized controlled trials (RCT) remain the cornerstone of generating evidence in medicine, real-world evidence (RWE) can complement RCTs and provide profound insights into improving the quality and delivery of services in medical care, becoming crucial to evaluate personalized approaches in diseases like CLL (Figure 2). Although lacking key attributes of RCTs, real-world studies can include large cohorts of patients with long follow-ups and better portray the reality of everyday clinical practice. To this point, real-world studies have revealed the clinical importance of several biomarkers in CLL (e.g., CK and BcR stereotypy), helped us understand the adherence to

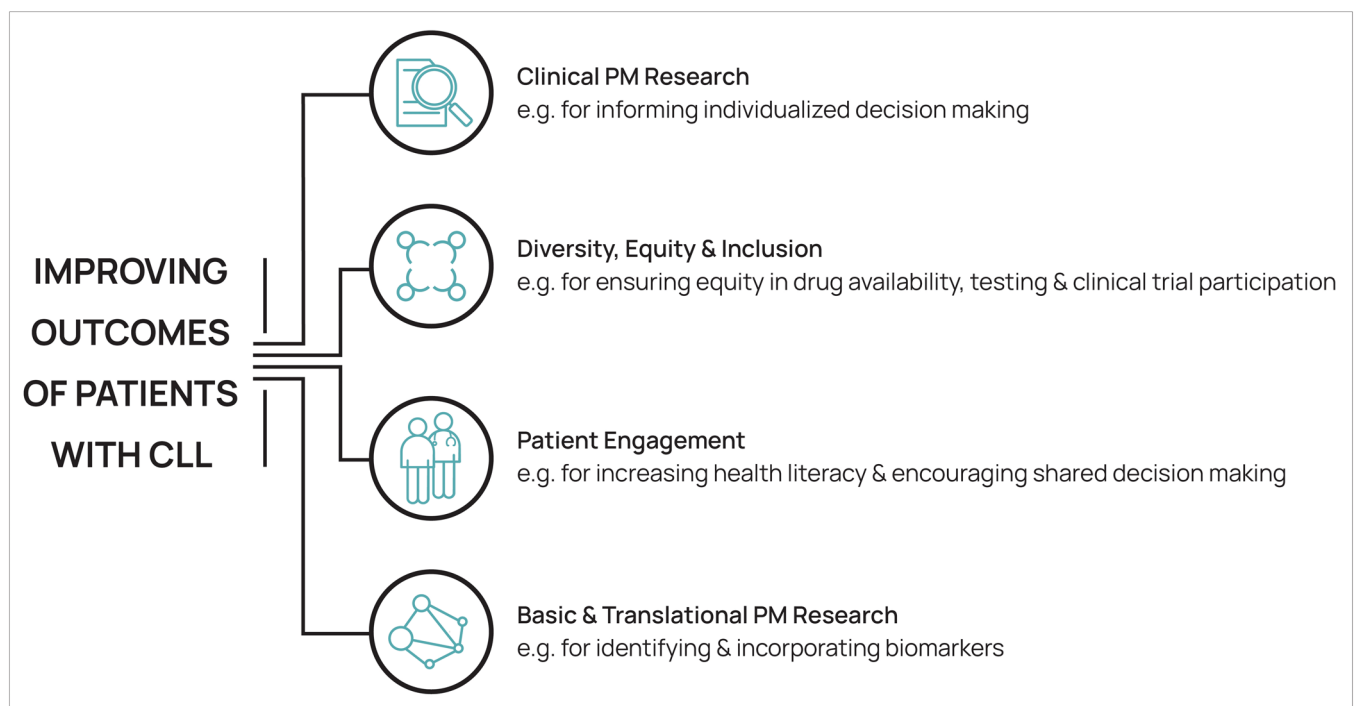
guidelines in terms of biomarker testing, and gave insights into long-term complications.<sup>42,110-112</sup> In the era of targeted agents, RWE gave us insights into the tolerability and effectiveness of these drugs while also revealing the unmet need for effective treatments in patients relapsing after receiving both BTKi and venetoclax-based treatments.<sup>113,114</sup>

Another advantage of RWE is the faster preparatory phase. Swiftly conducted real-world studies by ERIC and others during the COVID-19 pandemic exemplify this potential, providing useful information on the outcomes of patients with CLL infected with SARS-COV-2 and their responses to COVID-19 vaccines.<sup>115-121</sup> Last but not least, RWD has also been used in more elaborate approaches involving artificial intelligence (AI). Indicatively, Agius et al. have reported a machine-learning approach for identifying patients with a high risk of infection, underlining the myriad possibilities of utilizing RWD toward the realization of PM.<sup>122</sup> AI and machine learning approaches are the way forward in incorporating a large amount of complex RWD data to make more refined predictions for our patients. However, data quality and methodology of real-world studies remain the cornerstone even in the presence of these powerful tools.

ERIC has recognized the importance of RWD in CLL and designed the ERIC CLL database, which represents an ongoing effort to answer relevant clinical questions through the collection of RWD on a project basis.<sup>123</sup> ERIC has also provided solutions for RWD collection, management, and analysis, ranging from offering technical support to working toward a common data model in CLL and exploring analyses using federated learning approaches.

## WHAT DOES PM MEAN TO PATIENTS?

Although the goal of PM can be easily explained in layman's terms: "Provide the right treatment in the right dose to the right patient at the right time," the complexity of what patients and carers need to be able



**FIGURE 2** Key areas to improve patient outcomes and ensure equal and resource-efficient implementation of PM in CLL. CLL, chronic lymphocytic leukemia; PM, precision medicine.

to know and understand can be confusing and often overwhelming. PM introduces highly specialized concepts, such as genes, genomic testing, and targeted therapy, that are unknown to the general public. With this in mind, ERIC has produced printed/online material to allow easy access to this information to the large public, including affected individuals (<https://ericll.org/for-patients/people-with-cll/>).

Even after understanding the basic concepts of PM, patients and their carers will have to deal in practice with the various tests, procedures, and decisions that it entails. They need to be able to navigate through this experience by being empowered to ask questions and make informed decisions within the context of a specialized care team. Managing expectations and the various emotions that may arise during this time is also important and can affect decision-making and overall outcomes. Research implemented by ERIC confirms that coping with feelings of sadness, grief, anxiety, but also hope, determination and resolve, needs to be supported through discussions with the healthcare team, support groups and patient advocacy groups.<sup>124,125</sup> Moreover, ERIC has developed multimedia content on various complex medical topics for various audiences ([clempowerment.com](http://clempowerment.com)).

Patients and their families may have concerns regarding PM in terms of privacy, security, availability, equity, and ethics. Since targeted therapies are generally more costly than traditional treatment options, issues over insurance coverage and socioeconomic inequalities need to be addressed. Patients may also worry about genetic discrimination based on the genomic testing results, spanning from long-term care provision to insurance and employment discriminatory measures from companies and employers in the future. The perceived lack of privacy can be alleviated by communicating the strict regulatory framework, robust privacy preserving, and security measures encompassing genetic testing.

The CLL scientific community needs to concentrate efforts on the following: (i) the education of patients and carers about PM and their empowerment with regards to rights and benefits as well as their familiarization of the regulatory framework around PM (Figure 2), (ii) support to patients and carers in coping and resilience before, during and after their involvement in PM experiences, and (iii) education of healthcare professionals (HCP) on the introduction of targeted treatment approaches and how to convey the information to patients. An important aspect of this could be the development and consensus of a clear and consistent vocabulary for PM to allow oncology specialists, HCPs, patients, and carers to speak a common language.

## FINAL CONSIDERATIONS

Some of the promise of PM for CLL has already been realized. Indeed, PM concepts are routinely applied to establish a correct diagnosis (precision diagnostics), decide about the treatment among different therapeutic options (precision therapy), and assess response (precision monitoring) (Figure 1). At the same time, basic and translational research is gradually identifying new potential biomarkers and drugable targets to further improve and differentiate PM approaches. In fact, the emerging concept of functional PM, where genomics data is combined with ex vivo drug sensitivity testing to identify treatment targets and guide treatment decisions, has been applied in a few clinical trials in acute leukemia with promising results<sup>126,127</sup> and more recently in a drug repurposing study including CLL (NCT04817956). Against this progress, however, major challenges remain to be addressed.

First, considering the immense clinicobiological heterogeneity of CLL, global efforts are warranted toward amassing huge and longitudinal cohorts. ERIC contributes to this endeavor in tangible ways: a prime example is the ERIC ImMunoGeneTics (IMGT)/CLL-DB database,<sup>128</sup> a joint initiative between ERIC and IMGT currently including immunogenetic information from ~70,000 patients with CLL

attended in 51 different institutions from 27 different countries in four different continents. In a similar vein, related ERIC initiatives are underway (e.g., the ERIC TP53 database).

An even bigger challenge concerns the lack of diversity in populations involved in CLL research. Indicatively, ~90% of cases in the ERIC IMGT/CLL-DB originate from Europe and the United States, meaning that Caucasians represent the overwhelming majority. This may reflect the lower incidence and prevalence of CLL in certain parts of the world (most notably the Far East) but also less access to testing both between high versus middle/low-income countries and within high-income countries.<sup>129</sup> This is just one of the many factors exacerbating health inequity for patients with CLL throughout the world (Figure 2). Even more important is the limited or no access to innovative medicines in many geographic areas, preventing the application of PM and still forcing the use of CIT for many patients.<sup>130</sup> This fact should prompt an intense discussion into the causes of inequity in the care of CLL. Unless this happens, PM would regrettably come to represent an option for a small proportion of patients with CLL living in a few wealthy nations while remaining a dream for the great majority of those with fewer resources.

In conclusion, as for any disease, PM for CLL should rely not only on biological information but also on clinical phenotypes, exposures, and lifestyle data. Currently, this is largely overlooked in CLL, where (multi)omics are commonly misunderstood as the key to realizing PM whereas it is simply one piece of the puzzle of PM for CLL. Integration of various data sources, however, represents another major challenge as electronic health records are still largely unavailable, databases and registries are not always interoperable, and the use of wearables varies considerably. Hence, there is an urgent need to reappraise how we conceptualize and practice PM in CLL, including how we strive for inclusivity in research and equity in access to testing and medications.

## AUTHOR CONTRIBUTIONS

**Kostas Stamatopoulos:** Conceptualization (equal); writing—original draft preparation (equal); writing—review and editing (equal). **Sarka Pavlova:** Writing—original draft preparation (equal); writing—review and editing (equal). **Othman Al-Sawaf:** Writing—original draft preparation (equal); writing—review and editing (equal). **Thomas Chatzikonstantinou:** Writing—original draft preparation (equal); writing—review and editing (equal). **Christina Karamanidou:** Writing—original draft preparation (equal); writing—review and editing (equal). **Gianluca Gaidano:** Writing—original draft preparation (equal); writing—review and editing (equal). **Florence Cymbalista:** Writing—original draft preparation (equal); writing—review and editing (equal). **Arnon P. Kater:** Writing—original draft preparation (equal); writing—review and editing (equal). **Andy Rawstron:** Writing—original draft preparation (equal); writing—review and editing (equal). **Lydia Scarfò:** Writing—original draft preparation (equal); writing—review and editing (equal). **Paolo Ghia:** Conceptualization (equal); writing—original draft preparation (equal); writing—review and editing (equal). **Richard Rosenquist:** Conceptualization (equal); writing—original draft preparation (equal); writing—review and editing (equal).

## CONFLICT OF INTEREST STATEMENT

Kostas Stamatopoulos has received honoraria from AbbVie, AstraZeneca, Janssen, Lilly and BMS and research support from AbbVie, AstraZeneca, Janssen. Othman Al-Sawaf has received honoraria from AbbVie, Adaptive, AstraZeneca, Ascentage, BeiGene, Gilead, Eli Lilly, Janssen, Roche, and research funding from AbbVie, BeiGene, Janssen and Roche. Gianluca Gaidano has received honoraria from Abbvie, AstraZeneca, BeiGene, Hikma, Incyte, Janssen, and Lilly. Florence Cymbalista has performed advisory board activities for AstraZeneca, Lilly, AbbVie, and

BeiGene. Arnon P. Kater reports research grants from AbbVie, Astra Zeneca, BMS, Janssen, and Roche Genentech and has performed advisory board activities for AbbVie, Astra Zeneca, BMS, Janssen, LAVA, and Roche Genentech. Lydia Scarfò has received honoraria from AbbVie, AstraZeneca, BeiGene, Janssen, and Lilly was part of the speakers bureau of Octapharma. Paolo Ghia has received honoraria from AbbVie, AstraZeneca, BeiGene, BMS, Galapagos, Janssen, LoxoOncology@Lilly, MSD, Roche; research support from AbbVie, AstraZeneca, BMS, Janssen, and is an Editor of HemaSphere. Thomas Chatzikonstantinou has received honoraria from AbbVie. Richard Rosenquist has received honoraria from AbbVie, AstraZeneca, Janssen, Illumina, and Roche. The remaining authors declare no conflict of interest.

## DATA AVAILABILITY STATEMENT

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

## FUNDING

This work was in part supported by the Swedish Cancer Society, the Swedish Research Council, Region Stockholm, and Radiumhemmets Forskningsfonder, Stockholm; by the project National Institute for Cancer Research (Programme EXCELES, ID Project No. LX22NPO5102)—Funded by the European Union—Next Generation EU and MH CZ—DRO (FNBr 65269705); by the Italian Ministry of Health, grant PNRR-MAD-2022-12376441 (Paolo Ghia) “Leukemic cell and microenvironment interactions as the culprit of chronicity in CLL” and grant PNRR-MAD-2022-12375673 (Gianluca Gaidano) (Next Generation EU, M6/C2\_CALL 2022); by funding from AIRC under 5 per Mille 2018—ID. 21198 program—P.I. Foà Roberto, G. L. Ghia Paolo, G. L. Gianluca Gaidano; by funding from the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation), project number 524342988 (Othman Al-Sawaf); and Towards Precision Medicine: Advanced cellular analytics in biomedical research—PureCell, funded by the Hellenic Foundation for Research and Innovation.

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