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### META-ANALYSIS

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# Effectiveness of vaccination against SARS-CoV-2 Omicron variant infection, symptomatic disease, and hospitalization: a systematic review and meta-analysis

Angela Meggiolaro<sup>a</sup>, Monica Sane Schepisi<sup>a</sup>, Sara Farina<sup>b</sup>, Carolina Castagna<sup>b</sup>, Alessia Mammone<sup>a</sup>, Andrea Siddu<sup>a</sup>, Paola Stefanelli<sup>c</sup>, Stefania Boccia<sup>b,d</sup> and Giovanni Rezza<sup>a</sup>

<sup>a</sup>General Directorate for Health Prevention, Italian Ministry of Health, Viale Ribotta 5, 00144 Rome, Italy; <sup>b</sup>Department of Life Sciences and Public Health, Section of Hygiene, Università Cattolica del Sacro Cuore, 00168 Rome, Italy; <sup>c</sup>Department of Infectious Diseases, Istituto Superiore di Sanità, Viale Regina Elena 299, 00161 Rome, Italy; <sup>d</sup>Department of Woman and Child Health and Public Health-Public Health Area, Fondazione Policlinico Universitario A. Gemelli IRCCS, 00168 Rome, Italy

### ABSTRACT

**Background:** This meta-analysis aims to assess the effectiveness of the current Sars-Cov2 vaccine regimens against Omicron infection. A secondary endpoint aims to investigate the waning effectiveness of primary vaccination against symptomatic infection and related hospitalization.

**Research design and methods:** The systematic review started on 1 December 2021 and was concluded on 1 March 2022. Random-effects frequentist meta-analyses and multiple meta-regressions were performed.

**Results:** In total, 15 studies are included in the quantitative synthesis. According to the meta-analysis results, the overall risk of Sars-Cov2 infection in vaccinated individuals is on average  $31 \cdot 5\%$  lower than the infection risk in unvaccinated while vaccinated with one booster dose have a  $70 \cdot 4\%$  risk reduction of Omicron infection compared to unvaccinated. In particular, one booster dose significantly decreases by 69% the risk of symptomatic Omicron infection with respect to unvaccinated. Six months after the primary vaccination, the average risk reduction declines to 22% against symptomatic infection and to 55% against hospitalization.

**Conclusions:** Primary vaccination does not provide sufficient protection against symptomatic Omicron infection. Although the effectiveness of the primary vaccination against hospitalization due to Omicron remains significantly above 50% after 3 months, it dramatically fades after 6 months.

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#### **KEYWORDS**

Booster dose; Sars-Cov2 vaccine; effectiveness; omicron VOC Sars-Cov2 vaccine; symptomatic omicron infection; risk of hospitalization; waning immunity

### 1. Introduction

On 26 November 2021, the WHO designated the variant B.1.1.529 (named Omicron) as a variant of concern. The global epidemiology of SARS-CoV-2 has been characterized by the rapid spreading of the Omicron variant (B.1.1.529) and Omicron has become the dominant variant circulating globally ever since [1]. To date, Omicron encompasses several sub-lineages, the most common ones being BA.1, BA.1.1, and BA.2.

The SARS-CoV-2 Omicron variant contains several important mutations on the spike protein, potentially leading to deleterious consequences. The increased transmissibility of Omicron is determined by a combination of i) intrinsic biological properties that make the virus more infectious than previous lineages (e.g. ACE2 receptor-binding efficiency or viral replication efficiency) [2,3], and ii) immune escape properties resulting in more outbreaks among vaccinated or more reinfections among recovered individuals [4,5].

Regarding the clinical severity, a less severe onset, lower hospital admission rates and/or shorter length of hospital stay,

as well as declining case fatality rates have been extensively documented by the scientific literature [6–9].

COVID-19 vaccines licensed in the EU have proven highly effective in preventing SARS-CoV-2 infections [10–14]; however, several in vitro studies suggest a reduction in neutralizing titers against Omicron in individuals who have received vaccination with two or three doses and in those who have had prior SARS-CoV-2 infection [15–17]. Clinical studies have suggested that the levels of antibodies after BNT162b2, mRNA-1273 and Ad26.COV2.S vaccines could last for at least 6 months and decrease over time thereafter [18– 20]. Nonetheless, recent findings on cross-neutralizing immunity against Omicron among individuals that received a third dose of mRNA vaccine suggest that the current vaccine regimens may still overcome evasion of humoral immunity [21].

Omicron variant's higher transmissibility combined with an increased risk of infection among vaccinated individuals has prompted health authorities to consider the introduction of a booster dose [22]. Therefore, estimating whether and how

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CONTACT Angela Meggiolaro a.meggiolaro@sanita.it 🗈 General Directorate for Health Prevention, Ministry of Health, Viale Giorgio Ribotta, 5 00144 – Rome, Italy, Phone: +390659943789

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Sars-Cov2 primary vaccination effectiveness fades over time is essential to pinpoint the optimal timing for the booster dose.

The objective of this meta-analysis is twofold: first, to assess Sars-Cov2 vaccine effectiveness against infection, symptomatic disease, and hospitalization due to laboratory-confirmed SARS-CoV-2 Omicron variant. Second, to investigate the waning effectiveness of the primary course vaccination against Omicron over time.

## 2. Methods

### 2.1. Search strategy and selection criteria

This systematic review, with meta-analysis, is based on a web search updated weekly until 1 March 2022 (**Table S1**, **Supplementary material**). The sources of information essentially consist of three web engines, including early-stage research platforms (i.e. WHO COVID-19 DATABASE, PubMed, medRxiv + bioRxiv), all relevant web resources reporting living data on vaccine effectiveness (i.e. https://view-hub.org/covid-19/ and https://covid-nma.com/), electronic databases, and gray literature. Reviews and their references are examined for inclusion. No country, language, study design restrictions are applied.

All the relevant records are screened by title and abstract. Potentially relevant publications undergo full-text examination and disagreements on eligibility are solved through discussion by all the authors. The full texts suitable for the quantitative synthesis are collected in an excel database for data extraction. The items for data extraction are predefined and agreed upon by all authors. The systematic review and meta-analyses are performed in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) (PRISMA 2020 Statement guidelines [23] checklist: Supplement 1) This study is registered with PROSPERO, CRD42021240143. (https://www.crd.york.ac.uk/PROSPERO/)

### 2.2. Data extraction

Data extracted by at least three out of five independent investigators are collected in Excel tables. The information drawn up from each full text include the following:

1. General characteristics of the study: design, year of publication, country, mean age of the sample, follow-up, risk of bias;

2. Exposure: data are stratified according to the Sars-Cov2 vaccination course; hence, two main groups are acknowledged, corresponding to primary vaccination and one additional booster-dose recipients. Within each subgroup, the vaccination course is classified according to the vaccine type (ChAdOx1 nCoV-19, Ad26.COV2.S, BNT162b2, or mRNA-1273 vaccine). This meta-analysis does not include immunization regimens created with inactivated vaccines such as CoronaVac. Heterologous primary schedules are included. All SARS-CoV-2 vaccine recipients are considered as *exposed*, while unvaccinated are considered as *unexposed*.

3. Outcome: cases are defined as being due to the Omicron variant, based on S target–negative results on PCR or wholegenome sequencing. Regardless of the vaccine course undertaken, cases occurred within 14 days after the primary vaccination or within 1 week from the booster administration are not included. Omicron cases are classified by clinical severity into any Sars-Cov2 infection excluding hospitalization, symptomatic disease, and hospitalization due to COVID-19 disease.

4. Risk of bias: ROBINS-I (risk of bias in non-randomized studies of interventions) is applied to assess risk of bias. The tool classifies the risk into 'low,' 'moderate', and 'serious' [24].

### 2.3. Endpoints

The primary endpoint aims to assess the overall effectiveness of the current Sars-Cov2 vaccination regimens against Omicron. The study results are stratified by clinical severity and reported at the maximum follow-up.

The secondary endpoint attempts to measure the waning effectiveness of the primary vaccination at consecutive time intervals. In particular, VE is assessed in intervals of 3, 6, and more than 6 months after the last dose.

The point estimates of the effect size, as measured by Log odds ratios (Log ORs) and 95% confidence interval (95% Cl), are computed through meta-analysis and converted to ORs by exponentiation. VE is quantified as the risk reduction of any infection event, expressed as a percentage, compared to the unvaccinated group.

### 2.4. Statistical analysis

A random-effects (RE) model employing inverse variance method (IV) is fitted to the data. The amount of heterogeneity (i.e.  $\tau^2$ ) is estimated using the restricted maximum-likelihood estimator [25]. In addition to the estimate of  $\tau^2$ , the QQ-test for heterogeneity [26] and the I<sup>2</sup> statistic [27] are reported. Studentized residuals and Cook's distances are used to examine whether studies may be outliers and/or influential in the context of the model. The normality assumption is evaluated via QQ normal plot [28].

The publication bias is evaluated through a funnel plot and tested via regression test (weighted regression with multiplicative dispersion). The rank correlation test [29,30] and the regression test [30], using the standard error of the observed outcomes as predictor, are used to check for funnel plot asymmetry.

Regarding the primary vaccination waning effectiveness, the subgroup meta-analyses include the stratification by time intervals since the last dose uptake for symptomatic Covid-19 risk and hospitalization risk due to Sars-Cov2 infection. Studies providing vaccine effectiveness estimates at discrete time intervals after the primary vaccination course, which met the predefined screening criteria, underwent further meta-analysis and meta-regression. In order to test for subgroup differences, both a mixed-effect meta-regression model assuming a common  $\tau^2$  value within the subgroups and a three-level meta-regression model, allowing for different  $\tau^2$  values across subgroups, are fitted.

Finally, in order to examine whether one or multiple moderator variables are able to account for the heterogeneity (or part of it), multiple meta-regressions are performed under the mixed-effects model for both continuous and nominal study level covariates [25]. The analysis is carried out using R (version 4.0.5).

### 2.5. Role of the funding source

There was no funding source for this study.

# 3. Results

The web search provided 502 unduplicated records (Figure 1). In total, 15 studies and 55 observations are included in the quantitative synthesis concerning the overall Sars-Cov2 vaccine effectiveness against Omicron VOC. All of them have a test-negative case-control design except one cohort study [31]. (Table S2, Supplementary material). The majority of the studies are carried out in the US and the UK (59%), the sample age is on average 45 years, while the induction period for immunization appears slightly shorter for studies analyzing

the effectiveness of the booster dose compared to those investigating the primary course vaccination (on average 11 and 16 days since administration, respectively). The 75% of the observations concern the mRNA vaccine effectiveness, while the 13% involve heterologous vaccine regimens. The booster dose is administered on average 6 months after the primary course (**Table S1, Supplementary material 2**). The majority of the selected studies involves the general population, while **Gray** et al. report results on HCWs (32)[**Gray**] and **Spensley et al**. on patients affected by end-stage kidney disease receiving in hospital hemodialysis [31]. All the studies examine the VE of mRNA BNT162b2 vaccine except Tseng et al. and Gray et al. which investigate mRNA-1273 and aAd26.COV.2 effectiveness, respectively [32,33].

Five studies report data on the waning effectiveness of the primary vaccination against symptomatic Omicron infection [34–38]. A considerable effectiveness rebound after mRNA booster dose is shown by four studies [34–36,38]. VE against hospitalization caused by Omicron is analyzed by seven studies [32,33,36,39–42].



# **3.1.** Risk of omicron infection after primary course vaccination

A total of 14 studies and k = 27 observations are included in this meta-analysis. The median follow-up period is 213 days (70–365). The observed log odds ratios range from  $-1 \cdot 275$  to  $0 \cdot 467$ , with the majority of estimates being negative (67%). The estimated average log odds ratio based on the RE model is  $\hat{\mu}$ = -0 · 3788 (95% CI: -0 · 568 to -0 · 190). The values are transformed into the odds ratio scale through exponentiation. such that OR =  $\exp(\hat{\mu}) = 0.685$  (95% CI: 0.567 to 0.827). The average outcome differs significantly from zero ( $z = -3 \cdot 931$ , p < 0.0001). Hence, the result suggests that the risk of Sars-Cov2 infection in vaccinated individuals is on average 31 · 5% lower than the infection risk in unvaccinated. The forest plot is exhibited in. According to the Q-test, the true outcomes appear to be heterogeneous (Q (26) =  $1962 \cdot 9$ , p <  $0 \cdot 0001$ ;  $\tau^2 = 0 \cdot 225$ ;  $I^2 = 99 \cdot 49\%$ ). Neither the rank correlation nor the Egger's regression test indicate any funnel plot asymmetry  $(p = 0 \cdot 901 \text{ and } p = 0 \cdot 409$ , respectively). The analysis of heterogeneity is displayed in Figures S1-S5 (Supplementary material).

The subgroup analysis includes five subgroups, three of which display significant results ( $p < 0 \cdot 05$ ). Regarding the vaccines used for the primary vaccination, only messenger RNA (mRNA) vaccine exhibits a significant  $OR = 0 \cdot 62$  (95%) CI:  $0 \cdot 51$  to  $0 \cdot 76$ ) (Figure S11, Supplementary material). The stratified meta-analysis assessing the primary vaccination effectiveness against Omicron VOC by severity of symptoms includes three subgroups and the test for subgroup differences is significant  $(Q_M(df = 2) = 23 \cdot 30, p < 0 \cdot 0001)$ (Figure 2). According to the three-level meta-analysis, the  $35 \cdot 6\%$  of the total variance is distributed within the effect sizes (second level), whilst the  $64 \cdot 1\%$  is distributed between groups (third level). The multiple meta-regression embeds four moderators: risk of bias, mean age of the samples (variable centered on the overall mean value of 45 years), vaccine employed in the primary course vaccination (viral vector vaccine or 'VV,' mRNA, and heterologous vaccination with both VV and mRNA or 'VV/mRNA'). Albeit reduced, the residual heterogeneity remains significant (QE (df = 19) =  $448 \cdot 6$ ,  $p < 0 \cdot 0001$ );  $\tau^2 = 0 \cdot 0701$ ;  $l^2 = 97 \cdot 25\%$ ). The (0.2254 - 0.0701)/0.2254 = 68.9% of the total amount of heterogeneity can be explained by including four moderators in the meta-regression, suggesting further unobserved effects not captured by the model. On average, the risk of symptomatic Covid-19 appears 24% lower for the vaccinated group compared to the unvaccinated (OR =  $0 \cdot 76$ ; 95% CI:  $0 \cdot 58$  to  $0 \cdot 99$ ), while the risk of hospitalization is 50% lower for the vaccinated group (OR =  $0 \cdot 50$ ; 95% CI:  $0 \cdot 34$  to  $0 \cdot 72$ ). The OR estimate for any positive rt-PCR is not significant (Figure 3, Figure S13 and Table S4, Supplementary material).

# 3.2. Risk of omicron infection after one booster dose

A total of k = 28 observations and 13 studies are included in this meta-analysis. The median follow-up is 62 days (14–150). All the studies investigate the effectiveness of mRNA booster dose except 'Gray,' which demonstrates the efficacy of a two-

dose regimen of Ad26.COV.2 vaccine [32]. The observed log odds ratios range from  $-2 \cdot 5194$  to  $-0 \cdot 0550$ , with the 100% of estimates being negative. The average log odds ratio based on the RE model is  $\hat{\mu}$ =-1 · 2157 (95% CI: -1 · 4854 to  $-0 \cdot 9460$ ). Therefore, the outcome differs significantly from zero (z =  $-8 \cdot 8351$ , p <  $0 \cdot 0001$ ). The exponentiation yields an average OR =  $0 \cdot 296$  (95% CI:  $0 \cdot 226$  to  $0 \cdot 388$ ), hence, vaccinated with one booster dose have a 70 · 4% risk reduction of Omicron infection compared to unvaccinated. According to the Q-test, the true outcomes appear to be heterogeneous (Q (27) =  $4624 \cdot 51$ , p < 0  $\cdot$  0001;  $\tau^2 = 0.4686$ ;  $I^2 = 99.33\%$ ). The influential analysis does not detect influential outliers (Figure overly S6-S10, Supplementary material). There is no indication of publication bias because neither the rank correlation nor the regression test indicates any funnel plot asymmetry ( $p = 0 \cdot 7992$  and  $p = 0 \cdot 0735$ , respectively). The subgroup analysis includes six subgroups, four of which display significant results ( $p < 0 \cdot 05$ ). Notably, the risk reduction for the booster group seems 69% lower in studies reporting results at 3 months of follow-up (OR = 0  $\cdot$  31; 95% CI: 0  $\cdot$  23 to 0  $\cdot$  42) and 76% in studies reporting 5-months follow-up (OR =  $0 \cdot 24$ ; 95% CI:  $0 \cdot 12$  to 0 · 428) at most. However, the test for interaction is not significant (Figure S12, Supplementary material).

The meta-analysis on one booster effectiveness against Omicron VOC stratified by clinical severity includes three subgroups (Figure 2b). The test for subgroup differences is significant (QM (df = 2) =  $10 \cdot 88$ , p =  $0 \cdot 004$ ). According to the multilevel meta-analysis approach, the  $57 \cdot 3\%$  of the total variance is distributed within effect sizes at the second level, whilst the  $42 \cdot 2\%$  is distributed between groups (level 3).

The multiple meta-regression model includes four moderators: risk of bias, the mean age of the samples (centered on the overall mean value of 44  $\cdot$  43 years), the regimen of the primary course vaccination ('VV,' mRNA, 'VV/mRNA'). As expected, the residual heterogeneity slightly decreases but remains significant (QE (df = 20) = 306  $\cdot$  9, p < 0  $\cdot$  0001;  $\tau^2 = 0 \cdot 1037$ ; l<sup>2</sup> = 94  $\cdot$  54%) suggesting further unobserved effect not captured by the predictors in the model. Overall, the multiple meta-regression can explain the 77  $\cdot$  9% of the total amount of heterogeneity. On average, the risk of symptomatic Covid-19 appears, 69% lower for the booster group compared to the unvaccinated (OR = 0  $\cdot$  31; 95% CI: 0  $\cdot$  23 to 0  $\cdot$  40), whilst the risk of hospitalization is on average 88% lower (OR = 0  $\cdot$  12; 95% CI: 0  $\cdot$  08 to 0  $\cdot$  19) (Figure S14 and Table S4, Supplementary material).

# 3.3. Waning effectiveness of Sars-Cov2 primary vaccination against Omicron VOC

Overall, eight studies assessed the effectiveness of the primary vaccination against Sars-Cov2 at consecutive time intervals. The time intervals correspond to 3 months, 3 to 6 months, 6 months and longer than 6 months since the last dose administration. Therefore, the stratified meta-analyses on Sars-Cov2 vaccine waning effectiveness against Omicron include four subgroups (Figure 4). The risk of developing symptomatic Covid-19 is investigated by seven studies and the risk of hospitalization is investigated by four studies (**Table S5**,

### a) Stratified forest plot: Covid-19 risk by severity of infection after primary vaccination

	Vaccinated		Unvaccinate d						
Author (s)	COVID19+	COVID19 -	COVID19+	COVID19 -				Odd	ls Ratio [95% Cl]
Symptomatic infection Ferdinands_A Accorsi_E Accorsi_A Accorsi_A Andreweg_A Chemately_A Chemately_A Chemately_A Accorsi_A Andrewe_G A Andrewe_G A Andrewe_G A	8351 2520 4714 17672 4925 8211 21538 2628 8934 14 178 190	11471 7365 12083 232493 9859 4886 12848 4527 79504 4358 40920 27588	13991 3412 3412 15230 8592 12761 12866 3398 1051 115 115	10808 8721 8721 147839 10150 7709 7781 3298 9967 10002 10002					0.56 [0.54, 0.58] 0.87 [0.82, 0.93] 1.00 [0.95, 1.05] 0.74 [0.72, 0.75] 0.59 [0.56, 0.62] 1.02 [0.97, 1.06] 1.01 [0.98, 1.05] 0.56 [0.53, 0.80] 1.07 [1.00, 1.14] 0.28 [0.16, 0.49] 0.38 [0.30, 0.48] 0.44 [0.35, 0.56]
RENODEL SUBTOMATIC COMP. 19(0 - 114)	14 41 - 11 0.4	A1 12 - 99 69-	x2 - 0.161	10002			-		A 19[A 62 -0.17]
Hospitalization due to Covid-19			1 - 4. (5)						wastered with
Fredinands D Chemately D Chemately M Thompson E Tartof A Tseng H Colle_B	979 26 81 100 589 2 121	2640 174 544 503 4421 11 26035	1890 103 105 174 781 2 220	2021 280 280 286 3205 9 18442		÷ţţ;	•	-	0.40 [0.36, 0.44] 0.41 [0.25, 0.65] 0.40 [0.29, 0.55] 0.33 [0.25, 0.43] 0.55 [0.49, 0.61] 0.82 [0.10, 7.02] 0.39 [0.31, 0.49]
RENODEL HOSPITALIZATION DUE TO COVID-	19 (Q = 23.91, of	= 6, p <.01, 1	= 7 1.1%, T <sup>2</sup> = 0.0	53)		+			-0.88 [-1.04, -0.72]
Any positive rt-PCR Willett 75 Willett 78 Willett 78 Spensiev, 8 Spensiev, A Toolie 7 Colle 7 Buchan_A	97 372 292 30.7 23.3 1855 6169 3102	1988 8113 5071 109 130 8326 26035 389573	83 83 15 15 1473 7669 176	2014 2014 55 55 8314 18442 35284					1.18 [0.88, 1.60] 1.11 [0.87, 1.42] 1.40 [1.09, 1.79] 1.03 [0.51, 2.07] 0.68 [0.32, 1.35] 1.26 [1.17, 1.36] 0.57 [0.55, 0.59] 1.60 [1.37, 1.86]
REMODEL ANY POSITIVE RT-PCR (Q = \$11.45	i, af = 7, p≤.01,	1 <sup>2</sup> = 96.6%, T <sup>2</sup>	= 0. 12j				-		0.07 (-0.20, 0.34)
Test for Subgroup Differences: $Q_{ij}$ = 23.30,	. df = 2, p = 0.0	0					-	_	
					0.05	0.25	1	6	
						Odds Ratio (	log scale)		

### b) Stratified forest plot: Covid-19 risk by severity of infection after one booster

	Vacci	nated	Unvaccinated						
Author(s)	COVID19 + COVID19 -		COVID19+COVID19-					Ode	dsRatio [95% CI]
Sum stom stie infection									
Symptomatic intection Ferdinands, C	1029	90.02	1200.1	10,909					0 17 10 16 0 181
Accorsi H	00	571	24.12	8721					0.44 0.36 0.55
Accorsi G	731	6674	3412	8721		-	1		0.28 0.26 0.31
Accorsi D	114	813	3412	8721		-			0.36 0.29, 0.441
Accorsi C	1404	1051.8	3412	8721		-			0.38 (0.34, 0.39)
Andew eq. B	4188	42932	15230	147839			ni i		0.95 0.91, 0.98
Chemately L	497	508	12761	7709					0.59 0.52, 0.671
Chemaitely F	4195	4059	12866	7781		-			0.63 0.59, 0.66
Thompson_D	520	3356	3398	3298		•			0.15 [0.14, 0.17]
Sheikh_F	2151	33499	1051	9967		-	•		0.61 [0.56, 0.66]
Andrew s_L	18	16048	115	10002		-			0.10 [0.06, 0.16]
Andrews_F	13	11492	115	10002					0.10 [0.06, 0.17]
RE MODEL: SY MPTOMATIC COVID-19 (Q = 3	707.11, df = 11,	$p < .01; \vec{r} = 99$	9.7%, τ <sup>2</sup> = 0.53j			-			-1.14 [-1.96, -0.73]
Hospitalization due to Covid-19									
Ferdinands F	276	2557	1890	2021	-				0.12 [0.10, 0.13]
Chemaitely T	1	24	103	280	-				0.11 0.02, 0.85
Chematelly_P	19	339	105	280	+				0.15 [0.09, 0.25]
Thompson_H	24	490	174	286					0.08 [0.05, 0.13]
Tartof_F	173	3459	781	3205	-	- HEH			0.21 [0.17, 0.24]
Gray_B	11	2150	713	29038					0.21 [0.11, 0.38]
Tseng_I	0.5	6	2	4	-			-	0.17 [0.01, 4.74]
RE MODEL: HOSPITALIZATION DUE TO COM	1D-19 (Q = 34.0	0, d <b>r =</b> 6, p < .0	1; 1 <sup>2</sup> = 80.8%, τ	<sup>2</sup> = 0.11j		-			-1.96 [-2.29, -1.62]
Any positive rt-PCR									
Wilett_E	31	2953	83	2014					0.25 [0.17, 0.39]
Willett_D	104	10148	83	2014					0.25 [0.19, 0.33]
Spensley_D	43.3	306	15	55			-		0.52 [0.27, 1.00]
Spensley_C	32.7	365	15	55					0.33 [0.17, 0.64]
Gray_A	1176	2150	17581	29038					0.90 [0.84, 0.97]
Tseng_G	60	359	26	71			- : · ·		0.46 [0.27, 0.77]
Iseng_F	279	2555	1447	6075					0.48 [0.40, 0.53]
Buchan_E	8	6231	176	35264					0.26 [0.13, 0.52]
Buchan_D	106	30269	176	35264			-		0.70 [0.55, 0.89]
RE MODEL: ANY POSITIVE RT-PCR (Q = 16	9.94, df = 8, p <	.01; f <sup>2</sup> = 93.7%	», τ <sup>2</sup> = 0.21j			-			-0.84 [-1.17, -0.51]
Test for Subgroup Differences: Q <sub>M</sub> = 10.88, df = 2, p = 0.00							1		
							1		
					0.05	0.25	1	6	
						Odds Ratio (	log scale)		

**Figure 2.** Stratified forest plots and subgroup meta-analyses. Random effect model, IV method. (a) Effectiveness of primary course vaccination, by severity of symptoms. The risk of symptomatic Covid-19 is assessed by 12 observations, the risk of hospitalization by seven, and the risk of any positive rt-PCR by eight. The test for subgroup difference is significant (QM (df = 2) =  $23 \cdot 3$ ,  $p < 0 \cdot 0001$ ). According to the subgroup analysis, the risk of any positive rt-PCR appears 7% higher among the vaccinated group with respect to the unvaccinated, however, the result is not significant (OR =  $1 \cdot 07$ ; 95%Cl:  $0 \cdot 82$  to 1 40). The risk reduction for symptomatic Covid-19 is 32% lower among the vaccinated group compared to the unvaccinated (OR =  $0 \cdot 68$ ; 95%Cl:  $0 \cdot 54$  to  $0 \cdot 85$ ). Regarding hospitalization due to Omicron infection, the risk appears 58% lower for the vaccinated group compared to the unvaccinated (OR =  $0 \cdot 42$ ; 95%Cl:  $0 \cdot 35$  to  $0 \cdot 49$ ). (b) Effectiveness of one booster dose against Omicron VOC, by severity of symptoms. The effectiveness of one booster dose is estimated by 12 observations for symptomatic Covid-19, by seven for hospitalization risk, and by nine for any positive rt-PCR. The test for subgroup differences is significant (QM (df =  $2) = 10 \cdot 88$ ,  $p < 0 \cdot 0001$ ). The risk of positive rt-PCR appears 57% lower among the booster group with respect to the unvaccinated group (OR =  $0 \cdot 43$ ; 95% Cl:  $0 \cdot 31$  to -60. The risk reduction in favor of the booster group is 68% for symptomatic Covid-19 (OR =  $0 \cdot 32$ ; 95% Cl:  $0 \cdot 21$  to  $0 \cdot 48$ ) and 86% for hospitalization (OR =  $0 \cdot 14$ ; 95% Cl:  $0 \cdot 10$  to  $0 \cdot 20$ ).



Figure 3. Meta-regression model estimates, risk of Omicron infection by severity of symptoms: OR (95%CI) estimates from meta-regression with one moderator (a) and multiple moderator (b). In the restricted meta-regression (one moderator), the risk of any positive rt-PCR appears 7% higher among the primary vaccination group with respect to unvaccinated ( $OR = 1 \cdot 07;95\%$ CI:  $0 \cdot 83$  to  $1 \cdot 39$ ); whilst in the multiple meta-regression, the risk of any positive rt-PCR appears nearly 30% higher for primary vaccination. However, the results are not significant ( $OR = 1 \cdot 302; 95\%$ CI:  $0 \cdot 894$  to  $1 \cdot 898$ ).

**Supplementary material**). Only in 33% of cases, Omicron rt-PCR positivity is tested routinely; therefore, it is not possible to consistently estimate the vaccine effectiveness in preventing Sars-Cov2 infection, as well as the vaccine's capability of limiting the virus spreading.

Concerning the risk of symptomatic Omicron infection after vaccination with primary course, a total of k = 29 observations are included in the meta-analysis; all estimates are based on the RE model. The overall Log odds ratio based on the RE model is  $\hat{\mu}$ =-0 · 4792 (95% CI: -0 · 6418 to -0 · 3165), equivalent to  $OR = 0 \cdot 62$  (95%  $CI = 0 \cdot 53 - 0 \cdot 73$ ) after exponentiation. The outcomes appear heterogeneous (Q (28) =  $1394 \cdot 37$ , p < 0.0001;  $\tau^2 = 0.1773$ ;  $l^2 = 99.01\%$ ) and the regression test indicates funnel plot asymmetry ( $p < 0 \cdot 0001$ ); however, the rank correlation test is not significant ( $p = 0 \cdot 3051$ ) (Figure S17a, Supplementary material). The test for subgroup differences is not statistically significant ( $Q_M$  (df = 3) = 4 · 169,  $p = 0 \cdot 2438$ ) (Figure 4a). According to the multilevel metaanalysis, the 93  $\cdot$  1% of the total variance is distributed at second level ( $\sigma^2 = 0 \cdot 168$ ), while the 5  $\cdot$  9% is distributed at the third level (between groups).

The multiple meta-regression model includes four moderators: time-lapse since the last dose, risk of bias, age of the study (variable centered on the mean value of 41.4), vaccine technology (VV, mRNA, heterologous vaccination, or VV/ mRNA). The residual heterogeneity notably decreases but remains significant (QE (df = 20) =  $53 \cdot 6$ , p < 0  $\cdot$  0001;  $\tau^2 = 0 \cdot 0042$ ;  $I^2 = 65 \cdot 10\%$ ). The average risk reduction is 46% for vaccinated with respect to unvaccinated (OR =  $0 \cdot 54$ ; 95% CI: 0 · 48 to 0 · 61) within 3 months, 22% within 6 months  $(OR = 0 \cdot 78; 95\% Cl: 0 \cdot 69 \text{ to } 0 \cdot 88)$  and 16% between 3 and 6 months (OR =  $0 \cdot 84$ ; 95% CI:  $0 \cdot 74$  to  $0 \cdot 96$ ). Moreover, the OR decreases on average by 2% in studies where the mean age is one more unit away from the overall mean of 41  $\cdot$  4 years (OR = 0  $\cdot$  98; 95% CI: 0  $\cdot$  98 to 0  $\cdot$  99). The heterologous vaccination (VV/mRNA) provides a positive coefficient and an 18% higher risk of symptomatic Omicron infection with respect to mRNA vaccine regimens (OR =  $1 \cdot 18$ ; 95% CI:  $1 \cdot 08$  to  $1 \cdot 29$ ) (Figure S20 and Table S6, Supplementary material).

The meta-analysis on Sars-Cov2 primary vaccination effectiveness against hospitalization embeds a total of 4 studies and 11 observations (Figure 4b). The average log odds ratio based on the RE model is  $\hat{\mu}$ =-0 · 8634 (95% Cl: -1 · 0348 to -0 · 6920), which corresponds to OR = 0 · 42 (95% Cl: 0 · 36 to 0 · 50) by exponentiation. According to the Q-test, the true outcomes appear heterogeneous (Q (10) = 36 · 38, p < 0 · 0001;  $\tau^2$  = 0 · 051; I<sup>2</sup> = 73 · 3%). The regression test indicates a funnel plot asymmetry (p = 0 · 0272); however, it is not confirmed by the rank correlation test (p = 0 · 542) (Figure S17, Supplementary material). The test for subgroup differences suggests that there is not a statistically significant subgroup effect (Q<sub>M</sub> (df = 3) = 3 · 9437, p = 0 · 268). The threelevel meta-analysis approach shows that the 73 · 3% of the total variance is distributed at the second level ( $\sigma^2$  = 0 · 051) while  $\sigma^2$  = 0 · 00 at third level.

In the multiple meta-regression model, only three predictors are designated as moderators because 'vaccine regimen' contains only observations on mRNA vaccines. The estimated amount of residual heterogeneity is  $\tau^2 = 0 \cdot 00$  and the test for residual heterogeneity is no longer significant (QE (df = 4) =  $1 \cdot 83$ ,  $p = 0 \cdot 766$ ). All moderators exhibit significant coefficients except 'risk of bias.' The adjusted average effect corresponds to an OR = 0.28 (95% CI = 0.21 to 0.38) within 3 months and average risk reduction of 72% for vaccinated in comparison to unvaccinated. The average OR raises to 0 · 38 (95% CI: 0 · 25 to 0 · 59) within 6 months and to  $0 \cdot 45$  (95% CI:  $0 \cdot 30$  to  $0 \cdot 68$ ) after more than 6 months. The variable 'age' (centered on the mean of 48 · 3 years) generates a significant coefficient indicating that the risk of hospitalization increases on average by  $2 \cdot 6\%$  by increasing of one unit the study mean age (OR =  $1 \cdot 026$ ; 95% CI:  $1 \cdot 003$  to  $1 \cdot 049$ ) (Figure S21 and Table S6, Supplementary material). The predicted ORs for symptomatic infection and hospitalization risks are plotted in Figure 5.

### a) Stratified forest plot:effectiveness os primary vaccination against symptomatic Covid-19, by time intervals

	Vacc	inated	Unvaccinated			
Author(s)	COVID19+	COVID19-	COVID19+	COVID19-		Odds Ratio [95% CI]
Longer than 6 months Thompson C Chemaitely_K Thompson_B Chemaitely_J Andiews_P And	2037 6243 591 1968 11 43 1924 95 5 <sup>2</sup> = 0.19)	3372 3477 1155 1409 1369 1363 1363 1363 19052	3398 12761 3398 12761 115 115 12761 115	3298 7709 3298 7709 10002 10002 7709 10002		0.59 (0.55, 0.63) 1.08 (1.03, 1.14) 0.55 (1.04, 0.55) 0.84 (0.78, 0.91) 0.29 (1.44, 0.55) 0.34 (0.78, 0.91) 0.21 (0.22, 0.45) 0.34 (0.73, 0.25) 0.44 (0.73, 0.25) 0.44 (0.73, 0.25)
From 0 to 6 months Sheidx_E Chemaitally_H Andiews_O Andiews_O Chemaitally_E Andiews_N Andiews_N REMETAANULYBIS: 6 MCNTHS (0:31821.dt=7,5 < 01. <sup>2</sup> = 98.9%	2234 44 7 94 0700 18245 7 93 ****	18958 48 2714 18514 00500 10403 1844 18176	1051 12781 115 1051 12866 115 115	9987 7709 10002 9987 7781 10002 10002	↓ ↓ ↓	1.12 [1.03, 1.21] 0.55 [0.38, 0.87] 0.22 [0.10, 0.45] 0.25 [0.10, 0.45] 1.06 [0.00, 1.12] 1.06 [0.02, 1.10] 0.37 [0.17, 0.80] 0.45 [0.34, 0.59] 0.59 [0.44, 0.78]
Between 3 and 6 months Chemailely_D Andiews_K Andiews_K Ghemailely_C Andiews_J Chemailely_C Andiews_J Remailely_C Andiews_J	3293 25 1 5609 2050 153	2445 8813 338 44582 1800 34107	12866 115 115 1051 12800 115	7781 10002 10002 9987 7781 10002		0.81 [0.77, 0.86] 0.32 [0.21, 0.49] 0.28 [0.04, 1.85] 1.19 [1.11, 1.28] 0.89 [0.84, 0.85] 0.39 [0.34, 0.85] 0.39 [0.34, 0.65]
Within 3 months Accorsi, F Shekh_B Chemaitelly,B Andraws,I Accorsi B Powell B Perdinands_B Re MetA-ANALYSIS.< 3 MONTHS (0 = 45, 16, df = 6, p< .01; i <sup>2</sup> = 89.8%).	2520 1091 637 110 4714 4925 942 *= 0.06)	7385 15994 645 22179 12063 9859 1595	3412 1051 12886 115 3412 8592 13991	8721 9987 7781 10002 8721 10150 10808	۰. ۲	0.87 [0.82, 0.93] 0.65 [0.59, 0.71] 0.60 [0.53, 0.67] 1.00 [0.95, 1.05] 0.59 [0.95, 0.62] 0.59 [0.56, 0.62] 0.60 [0.42, 0.60]
RE MODEL FOR SYMPTOMATIC COVID-19 RISK ( Test for Subgroup Differences: $O_M = 4.17$ , df = 3, p = 0.2	Q = 1394.37, 4	df = 28, p < .01;	I <sup>2</sup> = 99.0%, τ <sup>2</sup> = 0	.18)	•	0.62 [0.53, 0.73]
					0.05 0.25 1	6

Odds Ratio (log scale)

		in rende G	Unvac	linated					
Author(s)	COVID-19+	COVID-19-	COVID-19+	COVID-19-				Od	ds Ratio [95% CI]
Longer than 6 months									
Thompson_G	86	402	174	286					0.35 [0.26, 0.47]
Tartof_C	120	825	781	3205		H=	н		0.60 [0.49, 0.73]
Thompson_F	14	101	174	286		·			0.23 [0.13, 0.41]
Tartof_B	49	557	781	3205		<b>⊢</b> ∎−1			0.36 [0.27, 0.49]
RE META-ANALYSIS: > 6 months (Q = 9.90, df = 3	8, p = 0.02; 1 <sup>2</sup> = 64.7%, e <sup>2</sup> = 0.04)					\$			0.45 [0.98, 0.58]
Within 6 months									
Chemaitelly_R	6	35	103	280		·			0.47 [0.19, 1.14]
Chemaitelly_0	71	445	105	280					0.43 [0.30, 0.60]
Chemaitelly_N	10	99	105	280					0.27 [0.14, 0.54]
Tartof_E	420	3039	781	3205		H	•		0.57 [0.50, 0.64]
RE META-ANALYSIS: < 0 months (Q = 8.08, df =	3, $p = 0.03$ ; $l^2 = 01.5\%$ , $\tau^2 = 0.11$	)				-			0.38 [0.23, 0.58]
Between 3 and 6 months									
Ferdinands_E	91	291	1890	2021					0.33 [0.26, 0.43]
RE META-ANALYSIS: 3-0 months (Q = 0.00, df =	0, p = 1.00; l <sup>2</sup> = 0.0%, t <sup>2</sup> = 0.00)					4	>		0.00 [0.45, 0.73]
Within 3 months									
Tartof_D	169	1382	781	3205		HB-I			0.50 [0.42, 0.60]
Chemaitelly_S	23	139	103	280		<b>⊢</b>	-		0.45 [0.27, 0.74]
RE META-ANALYSIS: < 3 months (Q = 0.15, df =	1, p = 0.70; 1 <sup>2</sup> = 0.0%, τ <sup>2</sup> = 0.00)					$\diamond$			0.34 [0.29, 0.42]
RE Model: Hospitalization risk over time	e (Q = 36.38, df = 10, p < .	01; I <sup>2</sup> = 73.3%, 1	c <sup>2</sup> = 0.05)			+			0.42 [0.36, 0.50]
Test for Subgroup Differences: Q <sub>iv</sub> = 3.94, df = 3,	p = 0.27								
					0.05	0.25	1	6	
					0.00	0.20		5	
						Odds Ratio (	loc scale)		

#### b) Stratified forest plot: effectiveness of primary vaccination against hospitalization risk, by time intervals

**Figure 4.** Stratified forest plots. The forest plots include four subgroups representing four discrete time intervals. The results of the individual studies are grouped together according to the corresponding subgroup. Below each subgroup, a summary polygon shows the results of a RE meta-analysis. The pooled effect sizes are expressed as log Odds Ratios. The summary polygon at the bottom of the plot shows the results from the overall RE model (IV method). (a) Stratified forest plot, symptomatic Omicron infection risk, by time intervals. According to the subgroup analysis, the risk reduction appears to be 50% among vaccinated compared to unvaccinated until 3 months (OR =  $0 \cdot 50$ ; 95% CI: 040 to  $0 \cdot 62$ ). The risk reduction decreases to nearly 41% with respect to unvaccinated within 6 months (OR =  $0 \cdot 50$ ; 95% CI: 044 to 24% thereafter (OR =  $0 \cdot 76$ ; 95% CI:  $0 \cdot 50$  to  $0 \cdot 50$ ; 05% to  $1 \cdot 03$ ). (b) Stratified forest plot, hospitalization due to Omicron infection risk, by time intervals. The risk reduction appears to  $0 \cdot 50$ ; 95% CI:  $0 \cdot 23$  to  $0 \cdot 42$ ); whereas the overall risk reduction is on average 64% compared to unvaccinated within 6 months (OR  $0 \cdot 36$ ; 95% CI:  $0 \cdot 23$  to  $0 \cdot 50$ ) and 54% thereafter (OR =  $0 \cdot 46$ ; 95% CI =  $0 \cdot 36$  to  $0 \cdot 58$ ). Only one study assesses the risk of hospitalization between 3 and 6 months (OR  $0 \cdot 60$ ; 95% CI 049 to  $0 \cdot 73$ ) (41).



Figure 5. Plots displaying the trend of symptomatic Omicron infection and related hospitalization risk. Y-axes: ORs [95%CI] estimates from meta- regression with one moderator (a) and meta-regression with multiple moderators (b). The risk of symptomatic Covid-19 infections is depicted in blue while the risk of hospitalization is in purple. X-axes: time intervals at 3 months, 6 months and over 6 months from last dose administration. Time interval running from 3 to 6 months is suppressed because only one study estimate is available for hospitalization risk.

### 4. Discussion

The evidence achieved through the quantitative synthesis suggests that a primary vaccination course is not sufficiently protective against Omicron. In fact, the probabilities of symptomatic infection and related hospitalization are nearly 50% for vaccinated with respect to unvaccinated, based on a maximum follow-up of one year. One additional booster dose decreases by 69% the risk of symptomatic Omicron infection (OR =  $0 \cdot 31$ ; 95% CI:  $0 \cdot 23$  to  $0 \cdot 40$ ) and by 88% the risk of hospitalization (OR =  $0 \cdot 12$ ; 95% CI:  $0 \cdot 08$  to  $0 \cdot 19$ ) with respect to unvaccinated at a maximum follow-up of 5 months. Albeit not significant, the subgroup analysis does not suggest a waning effectiveness of the booster dose after 5 months, however, the evidence on long-term effectiveness is still limited.

The risk of any positive rt-PCR appears higher among the primary vaccination group with respect to the unvaccinated (OR =  $1 \cdot 302$ ; 95% CI:  $0 \cdot 89$  to  $1 \cdot 90$ ); however, the results are not significant.

Age does not appear as a significant predictor, notwithstanding the negative association with the overall risk of infection after the primary vaccination and after the booster. Conversely, age is negatively associated with the risk of symptomatic infection and positively associated with the risk of hospitalization after the primary vaccination. Some unobserved effect of uncontrolled confounding must be acknowledged in interpreting this association. For instance, the different extent to which the joint effect of the mitigation measures uplift has affected the younger and the elderly population. However, despite the generalizability, these results do not allow us to infer any clear conclusion.

There is no clear advantage between homologous and heterologous vaccination, particularly on boosting, probably because the majority of the appraisals have been conducted on mRNA vaccination and data on heterologous vaccination are quite sparse. As the administration of booster doses, whether homologous or heterologous, should take into consideration the waning protection of the primary course and the optimal interval for an efficient immune response, the implications of our findings extend to health care and public health policy.

Our results on the waning trends align with the estimates provided by the clinical trials [43–45]. According to our estimates, the effectiveness of primary vaccination against Omicron reaches a peak within 3 months determining a risk reduction of roughly 72% with respect to unvaccinated. The protection is maintained at 6 months, with a risk reduction of nearly 62%, and dramatically declines thereafter (55% less probability for vaccinated compared to unvaccinated). Overall, the effectiveness against hospitalization diminishes by approximately 10–15% every 3 months, and the point estimates show wide confidence intervals [46].

The ramping-up trend for symptomatic Omicron infection risk appears steeper than the trend for hospitalization risk; in other words, the protection against symptomatic Covid-19 declines faster. The risk reduction of symptomatic Omicron infection after a primary vaccination declines sharply to 22% in 6 months.

Our study provides the best available data synthesis on vaccine effectiveness against Omicron; however, several limitations must be acknowledged. First, only in 33% of cases, Omicron rt-PCR positivity is tested routinely; therefore, it is not possible to draw conclusions about vaccine effectiveness in preventing Omicron infection. Second, by examining periods during which Omicron and Delta coexistence was very likely, early studies generate a distortion of the VE effectiveness estimate.

In part, the high heterogeneity surrounding the metaanalysis estimates stems from the observational design of the included studies. Unless a randomization process, the meta-regression cannot capture the unobserved effect of confounders such as the level of community transmission, the implementation of public health prevention measures, and the spread of new variants. For instance, regarding the Omicron variant definition, the studies on BA.1 do not distinguish between the different sub-lineages, although the majority of them are conducted during the BA.1 surge. Differences between BA.2 and BA.1 in evading immunity remain undefined.

## 5. Conclusion

In conclusion, despite the high heterogeneity, only in part explained by the meta-regressions, this study confirms that primary vaccination does not provide sufficient protection against symptomatic Omicron infection, because the overall estimate of effectiveness never reaches a minimum requirement of 50% in the risk reduction. One additional booster dose decreases substantially the risk of symptomatic Omicron infection and of hospitalization. The booster-dose administration should be recommended after 3 months and no later than 6 months following the primary vaccination course.

### 6. Expert opinion

The findings of this systematic review and meta-analysis provide further knowledge about the effectiveness of the primary vaccination and the administration of one additional booster dose, against different outcomes, such as infection, symptomatic disease, and hospitalization. Despite the high heterogeneity, only in part explained by the meta-regressions, this study confirms that primary vaccination does not provide sufficient protection against symptomatic Omicron infection, because the overall effectiveness estimate never reaches a minimum requirement of 50% in risk reduction. One additional booster dose decreases substantially the risk of symptomatic Omicron infection and of hospitalization. The booster dose administration should be recommended after 3 months and no later than 6 months following the primary vaccination course. Real-world data provide a clearer picture of this pandemic dynamics, and consequently of clinical outcomes, compared to neutralization and modeling studies. To some extent, public health recommendations on the choice and timing of vaccine schedules should be driven by real-world studies, even though sometimes the results are produced too late. In our case, the review is based on available studies, which in most cases have been conducted in the early Omicron era. Nonetheless, they represent the best available real-world evidence on the effect of current vaccines against this new variant.

Any systematic review suffers from methodological limitations originated by primary studies, i.e. short study duration, study design or study population heterogeneity, that could be addressed by sharing/planning a comprehensive protocol among different study centers, possibly following an ongoingupdated living methodology on surveillance-clinical data. However, we do think that our analysis may help to better quantify the effectiveness of currently available vaccines against an emerging variant characterized by immune evasion.

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### **Data availability**

Data supporting the reported results are available on request to the Authors.

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