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Original research

First genome-wide association study reveals immune-mediated aetiopathology in idiopathic achalasia

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ABSTRACT

Background Idiopathic achalasia (IA) is characterised by the degeneration of neurons in the myenteric plexus leading to an irreversible impaired oesophageal function. Although immune-mediated mechanisms have been proposed, the underlying aetiopathology of IA remains poorly understood.

Objective This study aimed to uncover the genetic risk architecture of IA.

Design We carried out the first genome-wide association study (GWAS) on 4602 European patients with IA and 10 766 ethnically-matched controls.

Results A single nucleotide polymorphism (SNP) in *HLA-DQB1* leading to an 8-amino acid insertion on the protein level conferred strongest IA risk (PQGPPAG: $p=3.27 \times 10^{-68}$, OR=2.45). Conditional analyses within the HLA locus revealed a complex genetic risk architecture. Three additional amino acid positions showed independent IA association (Omnibus $p < 5 \times 10^{-8}$). These refer to positions 41 and 130 in HLA-DQ α 1, position 45 in HLA-DQ β 1 and position

WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Only one genetic association study on a sufficiently powered sample with idiopathic achalasia (IA) has been carried out so far. This led to the identification of IA-associated risk variants in the genes *HLA-DQB1* and *HLA-DQA1*.

86 in HLA-DR β 1. Together, these findings highlight the pivotal role of class II HLA genetic variation in IA pathogenesis. Outside HLA, three independent variants showed IA association ($p < 5 \times 10^{-8}$). One leads to an amino acid substitution with functional effect in PTPN22. Another risk variant leads to a downregulated expression of *TNFSF8*, *TNFSF15* and *TNC* in immune cells. The third risk SNP is located near *ZNF365*, but the exact underlying cellular mechanism remains unknown. Beyond the single marker level, polygenic risk scores revealed that patients with IA

can be stratified based on their genetic risk. In addition, IA shows a shared aetiopathology with Crohn's disease ($r_g=0.335$). Integrating GWAS and single-cell RNA-sequencing data from the myenteric plexus showed that the memory T-cell type $FOS^+Tc4^+CD8^+$ plays a central role in IA development ($p=2.50\times 10^{-19}$).

Conclusion This GWAS led to the identification of SNPs, cellular mechanisms and cell types that are involved in IA aetiopathology.

INTRODUCTION

Achalasia is a gastrointestinal motility disorder characterised by the degeneration of enteric neurons in the myenteric plexus leading to the absence of peristalsis and impaired relaxation of the lower oesophageal sphincter (LOS).¹ Symptoms include dysphagia, regurgitation of undigested food and weight loss. If left untreated, achalasia may progress to end-stage disease with oesophageal dilatation, known as megaesophagus.² Two distinct disease types exist. Primary achalasia, also known as idiopathic achalasia (IA) due to its unknown origin, and secondary achalasia, which emerges as a consequence of conditions like organic obstructions due to cancer.¹ Although IA is uncommon with a lifetime prevalence of 1:10 000,² it constitutes the majority of cases among Europeans.

Studies have pointed to a multifactorial aetiopathology underlying IA.³ Of interest, detailed examination of IA resection specimens shows infiltration of cytotoxic T lymphocytes within myenteric ganglia,⁴ suggesting an aberrant immune reaction against enteric neurons. A previous viral infection with herpes simplex virus-1 (HSV-1) has been put forward to act as an auto-immune trigger in IA,¹⁵ based on the observation that T cells from patients with achalasia proliferate in response to HSV-1.⁶ Using Illumina Immunochip, which targets a small subset of loci in the human genome that are relevant for immune-mediated diseases, we recently discovered a highly significant IA-associated risk variant that leads to an 8-amino acid insertion in the cytoplasmic tail of HLA-DQB1.⁷ Although the functional impact of this insertion on antigen presentation still needs to be investigated, our observation could explain why patients with IA develop an aberrant immune response to a viral infection, including HSV-1.

In this study, we extended our analysis by carrying out the first genome-wide association study (GWAS) using a sufficiently powered case-control sample to characterise the genetic disease architecture of IA on the genome-wide level.

METHODS

Study participants

A total of 4602 patients with IA (2231 women, 2371 men) and 10 766 ethnically-matched controls (6270 women, 4496 men) were enrolled in this study. All individuals were of European ancestry, and patients were recruited from Sweden (N=166), Belgium (N=419), the Netherlands (N=306), Poland (N=260), Germany (N=1885), the Czech Republic (N=409), France (N=237), Spain (N=335), Greece (N=156) and Italy (N=429). In all patients, IA diagnosis was made according to standard procedures, including barium swallow oesophagography and/or high-resolution manometry. Online supplemental table 1 gives an overview of all included patients with IA and controls, including information on their origin and involved recruitment centres.

Genotyping and imputation

Genotyping of patients was conducted using the Global Screening Array (Illumina, USA) followed by standardised quality control

WHAT THIS STUDY ADDS

- ⇒ This first genome-wide association study (GWAS) confirms the IA association of variants in *HLA-DQB1* and *HLA-DQA1*, but also points to a more complex genetic risk architecture at this locus that involves an IA risk variant in *HLA-DRB1*. Moreover, the GWAS resulted in the identification of three novel disease variants outside HLA. One leads to an amino acid substitution with functional effect in *PTPN22*. One further novel IA risk variant leads to a downregulated expression of *TNFSF8*, *TNFSF15* and *TNC* in immune-relevant cells. The remaining disease variant is located near *ZNF365*, but the cellular pathogenic mechanism remains unknown.
- ⇒ On the polygenic level, this study provides the first IA heritability estimate and shows that immune-mediated mechanisms that are shared with Crohn's disease (CD) contribute to IA aetiopathology. The study further implies that the application of polygenic risk scores (PRS) might be useful to stratify patients with IA according to their genetic burden.
- ⇒ The integration of GWAS and transcriptome data from the myenteric plexus implies that the memory T-cell type $FOS^+Tc4^+CD8^+$ promotes disease development.

HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

- ⇒ The genes with non-synonymous or expression-regulatory risk variants and the identified risk-conferring T-cell type ($FOS^+Tc4^+CD8^+$) represent promising candidates for future follow-up studies to determine the disease mechanisms of IA in detail.
- ⇒ The shared disease aetiology of IA and CD should be studied in the future to identify the underlying pathophysiological mechanisms.
- ⇒ PRS that determine individual genetic disease risk should be studied in future to test whether PRS-stratified patients with IA show higher susceptibility to potential environmental risk factors (eg, infectious diseases) or exhibit differences in age of onset and disease progression.

(QC) procedures.⁸ For controls, genotypes were obtained from previous studies (online supplemental table 1).⁹ Ancestral outliers (patients and controls) were identified through principal components (PCs) analysis (online supplemental figure 1). Imputation of all post-QC genotypes was carried out using the Michigan Imputation Server (<https://imputationserver.sph.umich.edu/index.html>). Details regarding QC procedures and imputation methodologies are outlined in the online supplemental methods.

Association analyses

The GWAS consisted of eight case-control samples that were of Swedish (N=1249), Belgian/Dutch (N=1247), Polish (N=646), German/Czech (N=6648), French (N=991), Spanish (N=1773), Greek (N=1120) and Italian (N=1694) origin (online supplemental table 2). Participants from Belgium and the Netherlands, as well as from Germany and the Czech Republic, were analysed together based on their ethnic similarity (online supplemental figure 2). In each cohort, association analysis of imputed dosages with IA as outcome was performed using an additive logistic regression model in PLINK V.2.0 (<https://www.cog-genomics.org/plink/2.0/>). All analyses were adjusted for sex and PCs 1–10. We also employed the Firth bias-corrected logistic regression model using REGGENIE (V.4.1) for sensitivity analysis,¹⁰ because standard logistic regression methods can

produce inflated results when analysing unbalanced case–control datasets. Following single-nucleotide polymorphism (SNP) associations at the individual cohort level, a meta-analysis was conducted using METAL (V.2011-03-25) with a fixed-effects model. Genetic variants exhibiting significant heterogeneity (heterogeneity (HET) $p < 0.05$) were excluded from the analysis. Furthermore, only variants present in more than four cohorts were retained. We applied a standard clumping algorithm via Functional Mapping and Annotation of GWAS (FUMA) (V.1.5.2) (<https://fuma.ctglab.nl/>) to identify independent loci. To refine association signals and identify the most likely causal variants, we performed fine-mapping analysis using the Sum of Single Effects (SuSiE) framework.¹¹ Lastly, we validated our association findings by conducting exploratory analysis in the UK Biobank (UKB) using individual-level data accessed under application ID 135122 (April 2019 release).¹² Additionally, we used publicly available GWAS summary statistics from the population-based cohort FinnGen.¹³ See online supplemental methods for details on association analyses.

Pleiotropic effects

The most significant non-HLA disease SNP per locus and closely linked variants ($r^2 \geq 0.8$) were compared with data from the National Human Genome Research Institute GWAS catalogue (updated December 2023) to identify associations ($p < 5 \times 10^{-08}$) with other diseases and traits. The direction of genetic effects was manually confirmed using the original research publications. When multiple GWAS were available for the same trait, the study with the largest sample size was prioritised.

HLA-imputation and association analyses

The genomic region encompassing HLA genes on chromosome 6p21 (28–34 Mb) was extracted, after which the post-QC genotypic data from all cohorts were merged to create an input file for HLA-imputation. We used the Michigan Imputation Server to impute alleles for three HLA class-I genes (*HLA-A*, *HLA-C*, *HLA-B*) and five class-II genes (*HLA-DRB1*, *HLA-DQA1*, *HLA-DQB1*, *HLA-DPA1* and *HLA-DPB1*). Logistic regression analysis of imputed dosages for HLA alleles and amino acids was then performed with IA as outcome assuming additive and non-additive models. The analysis was adjusted for sex and PCs 1–10. See online supplemental methods for details on the imputation reference panel and downstream HLA analyses.

Functional gene mapping

We identified genes of potential functional impact for IA by applying gene mapping strategies using FUMA (V.1.5.2). SNPs located within non-HLA risk loci that were either genome-wide significant or in linkage disequilibrium (LD) ($r^2 > 0.6$) with one of the independently associated SNPs were assigned to genes in FUMA using three complementary approaches: positional mapping, eQTL mapping and chromatin interaction mapping (see online supplemental methods for details).

Gene set analyses

We performed enrichment analyses using the GENE2FUNC module of FUMA on two gene sets: (1) genes identified through functional gene mapping (as described in the previous section) and (2) 327 genes identified through MAGMA-based gene-level analysis when IA associations with $p < 0.01$ were used as threshold. The gene list prioritised through MAGMA is provided in online supplemental table 3. Both gene sets were interrogated

for pathway and disease enrichment analyses (see online supplemental methods for details).

Genetic heritability and correlations

We used LD score regression (LDSC) (V.1.0.1) to estimate the heritability of IA,⁴ which was computed on the observed and liability scales. For the estimation on the liability scale, we assumed a lifetime disease prevalence of 1 in 10 000.³

Using LDSC, we further conducted cross-trait analyses to examine the genetic correlations between IA and related phenotypes. In a targeted analysis, we included the largest available GWAS datasets for eight immune-mediated and five neurodegenerative diseases (online supplemental table 4), as biological processes related to these disease categories have been proposed to influence the neuronal loss in the myenteric plexus.² To account for multiple comparisons, we applied Bonferroni corrections.

We also carried out a hypothesis-free genetic correlation analysis using GWAS summary statistics for 203 International Classification of Diseases, 10th Revision (ICD-10) diagnosed conditions in the UKB¹² (see online supplemental methods for details).

Polygenic risk score analysis

To evaluate the cumulative genetic risk of IA, we constructed polygenic risk scores (PRS) based on the genome-wide significant IA-associated variants identified in the GWAS meta-analysis. The UKB served as an independent target dataset, as described in the association analysis subsection. Effect size estimates were used to calculate PRS for each individual in the UKB target sample as a weighted sum of risk alleles. The analysis was implemented in PRSice (V.2.3.5).¹⁴

We performed logistic regression to test the association between individual PRS and IA status, adjusting for sex and the first 10 PCs. For clinical interpretability, we stratified individuals into PRS deciles and compared the top 5% of the PRS distribution against the remaining 95% to assess relative enrichment of disease risk. ORs and 95% CIs were calculated to quantify the increase in IA risk in the high-PRS group.

GWAS enrichment analysis in cell types from the plexus myentericus

We investigated the preferential expression of IA-associated genes across different cell types using the single-cell RNA sequencing (scRNA-seq) atlas by Liu *et al.*¹⁵ The atlas includes 30 469 immune cells (21 828 lymphoid and 8 641 myeloid cells) from LOS tissue surrounding the myenteric plexus of four non-IA individuals. For the enrichment analysis, we used the 327 IA-associated genes that were identified through MAGMA (see gene set analysis) and calculated their preferential expression within immune cell types from LOS/myenteric plexus samples using single-cell disease relevance score (scDRS) methodology¹⁶ (see online supplemental methods for details).

RESULTS

Association analysis

The GWAS meta-analysis resulted in a dataset of 6.4 million SNPs. The quantile–quantile (Q–Q) plot showed no inflation in the meta-analysed data set ($\lambda = 1.014$) (online supplemental figure 3). Also, on the individual cohort level, Q–Q plots showed no inflation (λ between 0.976 in the Belgian/Dutch cohort and 1.075 in the Greek cohort) (online supplemental figure 3), which is in line with plots from PC analyses

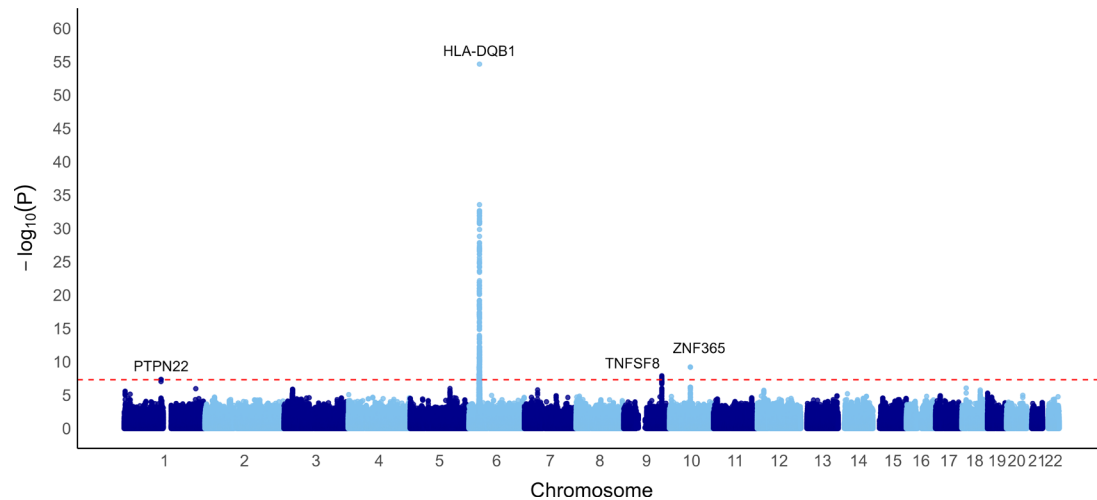


Figure 1 Manhattan plot of the GWAS meta-analysis on IA. Association p values from the fixed-effect meta-analysis of logistic regression analyses of 6.4 million SNPs from individual GWAS of eight different European cohorts (4602 patients with IA and 10 766 ethnically-matched controls). The p values are shown as $-\log_{10}(P)$ for each SNP along the vertical axis, with genomic position for each SNP on the horizontal axis. Each point corresponds to an SNP. The red dashed line indicates the threshold for genome-wide significance ($p=5\times 10^{-8}$). GWAS, genome-wide association studies; IA, idiopathic achalasia; SNP, single nucleotide polymorphism.

that point to no differences between each case–control sample (online supplemental figure 1).

In total, we identified genome-wide significant IA associations at four independent loci (figure 1). The strongest associated variant ($p=2.33\times 10^{-55}$, OR=2.54, 95% CI=2.36 to 2.86) is located in the HLA region on chromosome 6p21. This refers to rs28688207, which is located in the splice acceptor site of the gene *HLA-DQB1* and leads to an 8-amino acid insertion in the cytoplasmic tail of HLA-DQB1. While this SNP has been identified previously,⁷ all associations at the remaining three loci are novel. They are located at the genes *PTPN22* (rs2476601) on chromosome 1p13, *TNFSF8* (rs3181374) on chromosome 9q33 and *ZNF365* (rs12774545) on chromosome 10q21 (table 1). One of these variants represents a non-synonymous variant (rs2476601, c.1858G>A) leading to an arginine to tryptophan substitution (R620W) in *PTPN22*.

Online supplemental figure 4 provides association plots for all identified IA risk loci, including LD patterns within the identified regions and information on whether the tested variants were directly genotyped or imputed.

Although all QC procedures provided no evidence for false positive association results, we carried out different tests to assess the robustness of our findings: (1) At all implicated risk loci, forest plots showed that the IA associations are present in almost all included individual cohorts (online supplemental figure 5). (2) In addition, we applied REGENIE,¹⁰ another method to calculate GWAS meta-analyses considering that

the ratio of cases and controls differs at the individual cohort level. Here, all implicated risk loci also showed IA associations ($p<9.66\times 10^{-5}$) (online supplemental table 5). (3) Finally, we tested all IA-contributing variants for disease association using two population-based cohorts, FinnGen (646 patients with achalasia, 423 785 controls) and UKB (353 patients with achalasia, 484 086 controls). Although the number of patients with achalasia was relatively small in both cohorts and the proportion of secondary achalasia cases remains unknown, the risk alleles at all four identified IA-associated variants were more frequent among patients in FinnGen. In UKB, three of the four variants showed higher allele frequencies in patients, with the exception of rs2476601. Notably, our top implicated IA variant, rs28688207, showed a strong and statistically significant association in UKB ($p=1.24\times 10^{-5}$, OR=2.03, 95% CI=1.48 to 2.80) (online supplemental table 6).

Only two non-HLA loci—*PTPN22* and *TNFSF8*—were prioritised for fine-mapping based on functional evidence from expression studies (see Functional gene mapping). At *PTPN22*, the top credible set consisted of a single variant with a posterior inclusion probability of 1.0, matching the lead GWAS SNP (data not shown). At *TNFSF8*, however, the lead SNP rs3181374 was not included in the top credible set. Instead, SuSiE prioritised rs2974, a nearby SNP in perfect LD ($r^2=1$, $D'=1$) (data not shown). This underscores the limitation of fine-mapping in regions of high LD where distinguishing the true causal variant is challenging.

Table 1 Lead associations of genome-wide significant associated IA loci

SNP	Chromosome	Position (bp, hg19)	Gene	Function	Effect allele (EA)	Other allele	EA frequency	P value	OR (95% CI)
rs2476601	1p13	114 377 568	<i>PTPN22</i>	Non-synonymous SNP	A	G	0.108	4.40×10^{-08}	1.29 (1.18 to 1.41)
rs28688207	6p21	32 628 660	<i>HLA-DQB1</i>	Splicing effect	C	T	0.061	2.33×10^{-55}	2.54 (2.36 to 2.86)
rs3181374	9q33	117 665 187	<i>TNFSF8</i>	UTR3	A	G	0.552	1.28×10^{-08}	1.18 (1.11 to 1.25)
rs12774545	10q21	64 382 662	<i>ZNF365</i>	intronic	A	G	0.185	6.08×10^{-10}	1.25 (1.17 to 1.35)

The associations are shown for the EA in the entire IA sample. P values, ORs and the corresponding 95% CIs are shown. The frequencies of the EA are derived from the overall study population (N=15 368 patients and controls). The conditional analysis of all non-HLA SNPs failed to reveal any independent associations (data not shown).

IA, idiopathic achalasia; SNPs, single-nucleotide polymorphism.

Table 2 Geospatial north-south gradients for the 8-amino acid insertion in HLA-DQB1 (rs28688207) and R620W in PTPN22 (rs2476601) in the European population

Sample	Region	Cases (n)	Controls (n)	rs28688207 (HLA-DQB1): effect allele (EA) C				rs2476601 (PTPN22): EA A			
				Cases EAF	Controls EAF	P value	OR (95% CI)	Cases EAF	Controls EAF	P value	OR (95% CI)
SW	North	166	1083	0.081	0.024	2.41×10 ⁻⁰⁶	3.64 (2.13 to 6.22)	0.145	0.109	0.026	1.50 (1.05 to 2.14)
BE/NL	Central	725	522	0.092	0.028	2.13×10 ⁻⁰⁶	2.87 (1.86 to 4.44)	0.106	0.100	0.170	1.22 (0.92 to 1.62)
PL	Central	260	386	0.094	0.019	5.11×10 ⁻⁰⁶	4.41 (2.33 to 8.35)	0.142	0.128	0.842	0.96 (0.67 to 1.39)
GE/CR	Central	2294	4354	0.080	0.032	3.91×10 ⁻²²	2.36 (1.98 to 2.81)	0.136	0.109	6.07×10 ⁻⁰⁷	1.34 (1.20 to 1.51)
FR	Central	237	754	0.080	0.038	0.722	1.13 (0.58 to 2.2)	0.095	0.100	0.341	0.78 (0.48 to 1.29)
ES	South	335	1438	0.096	0.040	7.42×10 ⁻⁰⁹	2.84 (1.99 to 4.05)	0.093	0.072	0.013	1.51 (1.09 to 2.09)
GR	South	156	964	0.176	0.081	4.16×10 ⁻⁰⁵	2.14 (1.49 to 3.09)	0.048	0.034	0.244	1.43 (0.78 to 2.61)
IT	South	429	1265	0.179	0.060	6.63×10 ⁻¹⁴	2.83 (2.16 to 3.72)	0.047	0.042	0.739	1.07 (0.72 to 1.58)

The association results of the rs28688207 in *HLA-DQB1* and rs2476601 in *PTPN22* are presented for all eight analysed European samples including their location within Europe (region), the number of included cases and controls and the effect allele frequencies (EAF).

BE/NL, Belgium/The Netherlands; ES, Spain; FR, France; GE/CR, Germany/The Czech Republic; GR, Greece; IT, Italy; PL, Poland; SW, Sweden.

Geospatial association effects

Forest plots showed that the IA associations at all loci are present in almost all included cohorts (online supplemental figure 5). However, we identified geospatial north-south gradients for the 8-amino acid insertion in HLA-DQB1 (rs28688207) and R620W in PTPN22 (rs2476601) (table 2). The frequency of the 8-amino acid insertion in HLA-DQB1 is more than two times as common in southern compared with northern Europeans (17.9% in Italian patients, 8.1% in Swedish patients). In contrast, R620W in PTPN22 is more than two times as common in northern than in southern Europeans (14.5% in Swedish patients, 4.7% in Italian patients).

Pleiotropic effects

The cross-annotation of our IA-associated SNPs along with those in LD ($r^2 \geq 0.80$) (online supplemental table 7) against studies in the GWAS catalogue identified associations with multiple diseases and traits. R620W in PTPN22 exhibits the highest pleiotropy (online supplemental table 8). This variant, along with those in LD, is associated with 11 other immune-mediated diseases and traits. Here, concordant associations are observed for type 1 diabetes (T1D), rheumatoid arthritis (RA), juvenile idiopathic arthritis (JIA), systemic lupus erythematosus, Hashimoto's thyroiditis, Addison's disease, Graves' disease, myasthenia gravis, vitiligo and polymyositis, while Crohn's disease (CD) shows a discordant association. Pleiotropic effects were also observed for endocrine and oncological diseases, with hypothyroidism and basal cell carcinoma showing concordant associations. Additionally, pleiotropy was observed for white blood cell counts, including granulocytes (such as neutrophils and basophils) and agranulocytes (such as lymphocytes and

monocytes), which showed discordant associations. Moreover, rs3181374 and its linked variants ($r^2 \geq 0.80$) in *TNFSF8* showed pleiotropic effects. These variants are concordantly associated with *TNFSF8* levels in blood plasma and with cell counts of granulocytes, including eosinophils and neutrophils as well as HLA-DR⁺ HLA-CD4⁺ and HLA-CD8⁺ T cells (online supplemental table 8).

HLA association analyses

To identify the causal IA variants in the HLA region, we carried out an HLA imputation followed by conditional association tests under an additive model.⁴⁷ After imputation, we tested 120 classical HLA alleles at two-digit resolution, 222 classical HLA alleles at four-digit resolution and 2320 amino acids corresponding to 401 polymorphic sites. The conditional tests using the amino acid positions revealed a complex genetic risk architecture at this locus that involved four independent genome-wide significant IA associations (Omnibus $p < 5 \times 10^{-8}$), namely HLA-DQB1 position 227, HLA-DQ α 1 positions 41 and 130, HLA-DQB1 position 45 and HLA-DR β 1 position 86 (online supplemental table 9, online supplemental figure 6). The first three independent IA associations involving HLA-DQB1 and HLA-DQ α 1 have been reported previously using a smaller sized sample.⁷ Table 3 further shows the associations of individual residues at the amino acid positions identified in the conditional analyses. These include the 8-amino acid insertion (PQGPPPAG) at position 227 in HLA-DQB1 ($p = 3.27 \times 10^{-68}$, OR = 2.45, 95% CI = 2.22 to 2.71), lysine (K) and alanine (A) at positions 41 and 130 in HLA-DQ α 1 that share both perfect LD ($p = 1.26 \times 10^{-24}$, OR = 1.56, 95% CI = 1.43 to 1.69) as well as glutamic acid (E) at position 45 in HLA-DQB1 ($p = 2.06 \times 10^{-16}$, OR = 1.28, 95% CI = 1.20 to 1.37). The fourth

Table 3 Logistic regression and conditional analyses of IA-associated amino acids in the HLA region

Protein	Amino acid (AA) position*	Risk AA/other AA	Cases EAF	Controls EAF	P value	OR (95% CI)	Haplotype
HLA-DQB1	227–234	PQGPPPAG/-	0.097	0.040	3.3×10 ⁻⁶⁸	2.45 (2.22 to 2.71)	*05:03, *06:01
HLA-DQ α 1	41	K/R	0.121	0.075	1.3×10 ⁻²⁴	1.56 (1.43 to 1.69)	*01:03, *01:10
	130	A/S					
HLA-DQB1	45	E/G	0.246	0.218	2.1×10 ⁻¹⁶	1.28 (1.20 to 1.37)	*03:01, *03:04, *03:69
HLA-DR β 1	86	G/V†	0.538	0.512	1.5×10 ⁻¹⁸	1.28 (1.21 to 1.35)	*01:01, *04:01, *11:01†

The AA position within the respective HLA, the effect alleles (EA) and their frequencies (EAF) in cases and controls are shown. In addition, the HLA haplotypes are shown on which the associated disease variants are located.

*According to IPD-IMGT/HLA database (release 3.57).

†Most frequently observed in the present study population.

IA, idiopathic achalasia.

independent IA association has not been reported previously and involves position 86 in HLA-DRβ1. Here, two common amino acid residues exist. While valine (V) confers protection against IA, glycine (G) increases IA risk ($p=1.54\times 10^{-18}$, OR=1.28, 95% CI=1.21 to 1.35) (table 3). Of note, at each conditional step, the implicated amino acid residues showed stronger association than the most significant associated classical HLA alleles (online supplemental figure 6).

We further evaluated whether HLA associations under alternative models provided stronger evidence than those observed under the additive model. While the dominant model showed similar results compared with the additive model, no genome-wide significant IA associations were detected under the non-additive model (online supplemental tables 10–12). Finally, we excluded the possibility that the observed IA associations on *HLA-DRB1*, *HLA-DQA1* and *HLA-DQB1* and haplotypes are influenced by the complex LD pattern in this region. An analysis of all haplotype combinations involving class II alleles demonstrated that the associated amino acid residues are distributed across different haplotypes (online supplemental table 13).

Functional gene mapping

FUMA analysis mapped a total of 30 genes to the three non-HLA risk loci identified in this study. 5 genes were identified through positional mapping, 12 genes through eQTL mapping and 29 genes through chromatin interaction mapping (online supplemental tables 14–16). On chromosome 1p13, the leading GWAS variant rs2476601 (R620W) in *PTPN22* was predicted as being deleterious with a Combined Annotation Dependent Depletion (CADD) score of 17.25 (online supplemental table 7). In addition, rs2476601 (R620W) represents the strongest eQTL at this locus leading to a reduced expression of *PTPN22* in specific immune cells of the blood of IA risk allele carriers, namely B cells ($p=1.14\times 10^{-7}$, False Discovery Rate, FDR= 5.72×10^{-4}) and monocytes ($p=3.25\times 10^{-7}$, FDR= 1.63×10^{-3}) (online supplemental table 15). SNP rs2476601 (R620W) is also an eQTL in immune cells of the blood for the expression of three additional genes at this locus, namely *DCLRE1B*, *BCL2L15* and *AP4B1* (online supplemental tables 15). On chromosome 9q33, rs145815687 was predicted as being deleterious with a CADD score of 15.97 (online supplemental table 7), because its location in a cis-regulatory element 196bp upstream of *TNFSF8*. This variant is in strong LD to the lead IA-associated SNP at this locus ($r^2=0.91$), but was not analysed in our GWAS as it represents a 4bp insertion-deletion (indel) polymorphism. Although the indel is the best functional risk variant at this locus, it was probably not analysed in most eQTL analyses either. Here, SNPs in strong LD to the lead GWAS variant ($r^2>0.8$) showed eQTL effects for the expression of three different genes that are almost exclusively present in immune cells of the blood. In IA risk allele carriers, we observed a downregulated expression of *TNFSF8* in monocytes (best rs10817683: $p=5.10\times 10^{-16}$, FDR= 2.55×10^{-12}), CD4⁺ T cells (best rs1006026: $p=2.09\times 10^{-11}$, FDR= 1.05×10^{-7}), natural killer (NK) cells (best rs2418325: $p=6.97\times 10^{-8}$, FDR=0.049) and CD8⁺ T cells (best rs2418325: 1.09×10^{-7} , FDR= 5.44×10^{-4}) (online supplemental table 15). A down-regulated expression in IA risk allele carriers was also observed for *TNC* in CD4⁺ T cells (best rs3181372: $p=8.43\times 10^{-11}$, FDR=0.049) and NK cells (best 7032773: $p=1.24\times 10^{-6}$, FDR=0.049) as well as for *TNFSF15* in monocytes (best rs12347977: $p=1.39\times 10^{-9}$, FDR= 6.96×10^{-6}) (online supplemental table 15). In contrast to the above-mentioned loci, the functional gene mapping analyses with FUMA showed no

convincing results at the IA GWAS locus on chromosome 10q21 (*ZNF365*) (online supplemental table 15).

Gene set analyses

The 30 genes that were mapped by FUMA provided no significant findings in the pathway enrichment analysis (data not shown). However, in the disease enrichment analysis, this gene set showed significant overlap with GWAS genes for two immune-mediated diseases, namely alopecia areata (AA) ($p=3.33\times 10^{-20}$, FDR= 1.47×10^{-16}) and CD ($p=6.98\times 10^{-11}$, FDR= 1.54×10^{-7}) (online supplemental table 17).

The MAGMA gene-based analysis identified 327 significant genes associated with IA at a suggestive p value of 0.01. However, after Bonferroni correction, only *TNFSF8* on chromosome 9q33 remained significantly IA-associated ($p=3.82\times 10^{-8}$) (online supplemental table 3). The pathway enrichment analysis using the MAGMA gene set confirmed the involvement of immune-mediated processes in IA aetiology. Genes involved in development of rheumatoid arthritis ($p=5.05\times 10^{-6}$, FDR= 9.87×10^{-3}), 16p11.2 distal deletion syndrome ($p=6.39\times 10^{-6}$, FDR= 9.87×10^{-3}), cytokine–cytokine receptor interaction ($p=6.87\times 10^{-5}$, FDR= 1.28×10^{-2}) and GATA3 pathway ($p=3.91\times 10^{-5}$, FDR= 4.02×10^{-2}) were enriched among the IA GWAS genes (online supplemental table 18). Also in the disease enrichment analysis, the MAGMA gene set showed significant overlap with GWAS genes for immune-mediated diseases. Besides CD ($p=8.07\times 10^{-11}$, FDR= 3.57×10^{-7}) and AA ($p=3.38\times 10^{-8}$, FDR= 3.86×10^{-5}), which already showed significant overlap with the FUMA gene set, this included IBD ($p=9.19\times 10^{-9}$, FDR= 2.03×10^{-5}), asthma ($p=2.21\times 10^{-7}$, FDR= 1.63×10^{-4}), ankylosing spondylitis ($p=5.09\times 10^{-5}$, FDR= 1.73×10^{-2}) and childhood onset asthma ($p=1.03\times 10^{-4}$, FDR= 3.22×10^{-2}) (online supplemental table 17).

SNP-based heritability and genetic correlations

Using our GWAS summary-level data we observed an SNP-h² heritability estimate of 10.04% ($\pm 3.36\%$ SD) for IA, corresponding to a liability-scale estimate of 3.64% ($\pm 1.13\%$ SD) in the general population (assuming a lifetime IA prevalence of 1 in 10 000). Here, the exclusion of the HLA region had no significant impact on these estimates.

Using a hypothesis-driven approach with GWAS summary statistics for eight immune-mediated and five neurodegenerative diseases, we observed only one positive correlation, namely with CD ($r_g=0.335$, $p=0.015$) (online supplemental figure 7, online supplemental table 4). We further observed a negative correlation with JIA ($r_g=-0.528$, $p=0.032$) (online supplemental figure 7, online supplemental table 4). Excluding the HLA region also had no impact on these correlation estimates (data not shown). Given that IA showed a positive correlation with CD and a negative correlation with JIA, we also performed a genetic correlation analysis between CD and JIA. Consistent with our IA findings, CD and JIA showed a negative genetic correlation on the polygenic level ($r_g=-0.340$, $p=3.99\times 10^{-5}$) (data not shown).

Using a hypothesis-free approach, we analysed GWAS summary statistics for 211 ICD-10-diagnosed conditions in the UKB. Here, other non-infective gastro-enteritis and colitis (ICD code K52) classified under diseases of the digestive system showed the most significant positive genetic correlation with IA ($r_g=0.524$, $P_{\text{uncorrected}}=0.003$) (online supplemental table 19). Also, CD (ICD code K50) showed a positive correlation in the UKB ($r_g=0.190$), but was not significant ($p=0.298$) (online

supplemental table 19). The reason why this was not significant is probably due to the small number of patients with CD in the UKB (N=968) compared with the GWAS sample of the targeted approach (N=12 194).

Polygenic risk score analysis

PRS derived from the top IA-associated variants showed a significant association with IA status in the UKB target dataset ($p=8.7\times 10^{-5}$). When stratified by PRS percentiles, individuals in the top 5% of the PRS distribution had markedly higher odds of IA compared with those in the remaining 95% ($p=6.0\times 10^{-5}$, OR=2.06, 95% CI=1.44 to 2.94).

Relevant immune cell types conferring disease risk

To investigate how IA-associated genes contribute to disease, we prioritised immune cell types that show a preferential expression of IA-associated genes. We used an scRNA-seq atlas¹⁵ consisting of 30 469 immune cells (21 828 lymphoid and 8 641 myeloid cells) from LOS tissue surrounding the myenteric plexus and partitioned into 26 subclusters of lymphocytes (online supplemental figure 8) and 11 subclusters of myeloid cells. We then performed scDRS to prioritise disease-relevant cell types based on their cell type-specific expression of disease-associated genes as described in Methods. Within the lymphocyte cells, we identified the memory T-cell type FOS⁺Tc4⁺CD8⁺ that showed significant IA enrichment ($p=2.50\times 10^{-19}$) (online supplemental figure 8, online supplemental table 20). In contrast, no significant IA enrichment was observed among the myeloid cells (online supplemental table 20).

DISCUSSION

This study represents the first IA GWAS and highlights that immune-mediated mechanisms influenced by genetic risk are of major relevance for disease development.

Some genetic risk factors share characteristics with other immune-mediated diseases and traits. At the single marker level, this includes R620W in PTPN22, which encodes the protein tyrosine phosphatase non-receptor type 22 and is also a risk factor for 10 other immune-mediated diseases. Our study further demonstrates that R620W in PTPN22 is particularly relevant for northern European IA patients due to its higher frequency in these populations. Because of its prominent role in immune-mediated diseases, R620W in PTPN22 has been the subject of many functional studies. Most of these studies have focused on its biological effect on T-cell receptor (TCR) signalling.¹⁸ A recent study suggests that R620W contributes to immune-mediated risk by enhancing TCR-signalling and activation in lower avidity self-reactive T cells.¹⁹ At the polygenic level, IA shares characteristics with CD ($r_g=0.335$). This points to pathobiological pathways that are common to both immune-mediated GI diseases, such as impaired mucosa-barrier functions. In contrast, both IA ($r_g=-0.528$) and CD ($r_g=-0.340$) showed a negative genetic correlation with JIA. The findings suggest that genetic variants that confer IA and CD risk are associated with reduced JIA risk on the polygenic level. Similar negative correlations have been found for other autoimmune diseases, including RA and T1D²⁰ or ulcerative colitis and primary biliary cirrhosis (PBC).²¹ At the single locus level, the negative genetic correlation between IA and JIA is not present for PTPN22, as R620W represents a risk factor for both diseases.

IA also shows genetic characteristics that are—according to current knowledge—not shared with other immune-mediated diseases. Here, the IA associations with genetic variants in the

HLA-DQ receptor are particularly relevant. The disease associations of the 8-amino acid insertion and glutamic acid at position 45 in HLA-DQB1 as well as lysine and alanine at position 41 and 130 in HLA-DQ α 1 have been previously reported.⁷ The 8-amino acid is the strongest IA-associated variant (OR=2.45) and occurs more frequently among southern Europeans. The insertion is located within the cytoplasmic tail of HLA-DQB1 and may lead to altered intracellular trafficking, as the C-terminal tails of HLA-molecules are thought to facilitate these processes.²² The other IA risk variant in HLA-DQB1, glutamic acid at position 45, is located near the peptide-binding site and might therefore perturb antigen presentation.⁷ Although lysine and alanine at position 41 and 130 in HLA-DQ α 1 have no apparent structural consequences, evidence suggests that position 41 represents the binding site necessary for the interaction between HLA-DQ and the peptide exchange chaperone HLA-DM.²³ However, it would be premature to conclude that position 41 in HLA-DQ α 1 is more relevant than position 130 without additional functional studies. The fourth IA association at the HLA locus has not been described so far and concerns position 86 in HLA-DRB1, which is located in the peptide-binding site and influences antigen presentation.^{24 25} At this position, glycine (G) confers increased IA risk, whereas valine (V) is protective. Notably, the opposite effect has been reported in other immune-mediated diseases, where V constitutes the risk residue for multiple sclerosis,^{26 27} pemphigus vulgaris²⁸ and PBC.²⁹

The genes at chromosome 9q33 are also involved in immune response, but have not been connected to immune-mediated diseases through GWAS so far. The leading risk SNP, rs3181374, is located in the 3' untranslated region of *TNFSF8*, which encodes the tumour necrosis factor superfamily member 8. According to FUMA, this SNP and variants in strong LD lead to a downregulation of *TNFSF8* expression in monocytes, naïve CD4⁺ T cells, naïve CD8⁺ T cells and NK cells. *TNFSF8* is primarily involved in T cell-dependent immune response.³⁰ However, the risk SNPs at this locus also represent eQTLs for the expression of *TNC* and *TNFSF15* in immune cells of the blood. Both genes also play an important role in immune response and tissue remodelling.^{31 32}

The implicated IA gene at the third new locus is functionally not well characterised. *ZNF365* encodes the zinc finger protein 365 that seems to be involved in homologous recombination repair during DNA replication.³³ Genetic variability at this locus also contributes to Vogt-Koyanagi-Harada (VKH) syndrome,³⁴ which is characterised by a T cell-mediated autoimmune response against melanocytes. However, the VKH-relevant variant³⁴ is not linked to the IA risk variant in the present study ($r^2<0.06$).

Our analysis further implies that the application of PRS might be useful to stratify patients with IA according to their genetic burden. Accordingly, IA risk among individuals in the top 5% of the PRS distribution has a 2.06-fold higher risk compared with remaining 95%. Thus, PRS should be tested in future whether patients with IA with high or low genetic burden show other susceptibilities to potential environmental risk factors (eg, infectious diseases) or exhibit differences in age of onset and disease progression.

The integration of our GWAS data and scRNA-seq data from LOS/myenteric plexus revealed that one memory T-cell type is particularly relevant for IA development. This refers to FOS⁺Tc4⁺CD8⁺ T cells that showed significant IA enrichment ($p=2.50\times 10^{-19}$) and were significantly expanded and localised surrounding the myenteric plexus tissue of patients with achalasia in a previous study.¹⁵ FOS⁺Tc4⁺CD8⁺ cells represent tissue-resident memory T cells, which are known for their ability to remain localised in tissues and provide rapid immune

responses on reactivation. Their disease relevance and expansion in patients with IA suggests that these tissue-resident memory T cells might actively contribute to chronic inflammation and immune dysregulation in IA.

Despite all new insights into the genetic architecture of IA, this study has limitations. We have used patient and control samples that were collected by different centres among different European populations. Although we observed no evidence for population stratification effects, we cannot fully exclude that our study design has influenced the present IA findings. Moreover, as this study focused exclusively on European patients with IA, it is not possible to generalise our conclusions to non-European populations. A further limitation of this study is the lack of age information across all cohorts, preventing its inclusion as a covariate. While the impact of age on IA risk is unknown, differences in age distribution between cases and controls might have introduced residual confounding, warranting validation in age-adjusted analyses.

In summary, the first GWAS for IA identified new risk variants and disease genes. Among these, protein-coding variants in class II HLA genes conferred the strongest IA risk. Outside the HLA region, R620W in PTPN22 (chromosome 1p13) represents an IA risk variant and shows biological effects at the cellular level. In addition, the IA risk variants on chromosome 9q33 lead to a downregulated expression of *TNFSF8*, *TNFSF15* and *TNC* in immune-relevant cells of the blood. In contrast, the biological mechanism through which genetic variants at *ZNF365* (chromosome 10q21) contribute to IA risk needs to be elucidated in future studies. At the polygenic level, this study provides the first IA heritability estimate and PRS for future patient stratification. In addition, the data show that immune-mediated mechanisms that are shared with CD confer risk to IA development. Finally, integration of GWAS and scRNA-seq data revealed that $\text{FOS}^+\text{Tc4}^+\text{CD8}^+$ T cells in the LOS/myenteric plexus are most relevant for IA development.

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