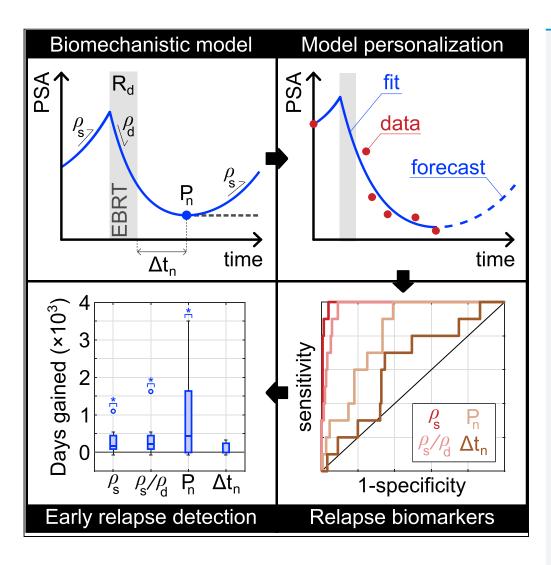
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Patient-specific forecasting of postradiotherapy prostate-specific antigen kinetics enables early prediction of biochemical relapse



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Highlights

We use personalized forecasts of PSA dynamics to identify biochemical relapse early

Our forecasts rely on a mechanistic model of prostate cancer response to radiotherapy

Our model can recapitulate PSA observations and yield accurate short-term predictions

The model detects relapse up to a median of 14.8 months earlier than current practice

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Patient-specific forecasting of postradiotherapy prostate-specific antigen kinetics enables early prediction of biochemical relapse

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SUMMARY

The detection of prostate cancer recurrence after external beam radiotherapy relies on the measurement of a sustained rise of serum prostate-specific antigen (PSA). However, this biochemical relapse may take years to occur, thereby delaying the delivery of a secondary treatment to patients with recurring tumors. To address this issue, we propose to use patient-specific forecasts of PSA dynamics to predict biochemical relapse earlier. Our forecasts are based on a mechanistic model of prostate cancer response to external beam radiotherapy, which is fit to patient-specific PSA data collected during standard posttreatment monitoring. Our results show a remarkable performance of our model in recapitulating the observed changes in PSA and yielding short-term predictions over approximately 1 year (cohort median root mean squared error of 0.10–0.47 ng/mL and 0.13 to 1.39 ng/mL, respectively). Additionally, we identify 3 model-based biomarkers that enable accurate identification of biochemical relapse (area under the receiver operating characteristic curve > 0.80) significantly earlier than standard practice (p < 0.01).

INTRODUCTION

External beam radiotherapy (EBRT) is a standard treatment for prostate cancer (PCa) that is potentially available for patients of all ages to treat tumors ranging in risk from low to high and very high (Mottet et al., 2021; Wein et al., 2012; Tang et al., 2020; Gray et al., 2017). During EBRT, the prostate is exposed to an external source of radiation, which aims at disrupting the DNA in tumor cells' nuclei. The accumulation of radiation-induced damage along with the multiple genetic alterations underlying the development of PCa ultimately forces tumor cells to undergo programmed cell death (Alberts et al., 2007). EBRT is usually delivered as daily fractions of approximately 2–3 Gy until completing a total dose ranging from 60 to 80 Gy. In particular, the use of daily doses higher than 2 Gy in the recent decades has led to so-called moderate hypofractionation (Mottet et al., 2021; Wein et al., 2012). This treatment modality requires a lower number of radiation sessions, which presents pharmacoeconomic advantages. The efficacy of EBRT can be improved through a combination of neoadjuvant and adjuvant androgen deprivation therapy (ADT) (Mottet et al., 2021). However, because ADT can produce several side effects, it is usually prescribed for intermediate- and high-risk PCa patients only (i.e., those who present a higher risk of metastasis).

After the completion of EBRT, patients are monitored using the serum levels of prostate-specific antigen (PSA), which is a standard clinical biomarker of PCa (Mottet et al., 2021; Cornford et al., 2021; Wein et al., 2012). The rationale for using PSA in post-EBRT patient follow-up is that blood levels of PSA tend to rise due to PCa growth. Thus, if the treatment is successful, radiation-induced tumor cell death should decrease PSA values to a minimum, which may vary from patient to patient and with prostate size (Ray et al., 2006; Roehrborn et al., 2000). Otherwise, if EBRT does not eradicate the tumor completely, the surviving cancerous cells will ultimately drive tumor growth after EBRT conclusion and, hence, produce an increasing trend in PSA. This phenomenon is termed biochemical relapse, and it is thus indicative of tumor recurrence (Figure 1). Approximately 20%–50% of PCa patients undergoing radiotherapy as primary curative treatment will ultimately develop biochemical relapse within 5–10 years after treatment conclusion (Kupelian et al., 2006; Rosenbaum et al., 2004). Following the detection of biochemical relapse, tumor recurrence can be confirmed through biopsy and imaging methods, such as magnetic resonance imaging and

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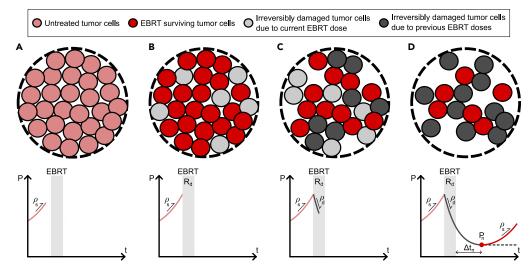


Figure 1. Mechanistic modeling of PSA dynamics after EBRT

This figure illustrates the mechanisms included in our modeling framework of PCa response to EBRT, which assumes that serum PSA is proportional to the number of cells in the patient's tumor. The upper row shows the PCa cells in a generic tumor region at four instants before, during, and after EBRT. The bottom row shows the corresponding PSA evolution up to each depicted time instant.

(A) Before treatment, we assume that tumor cells grow exponentially at a rate ρ_s , which also describes the characteristic increase of PSA in untreated PCa (rose solid line).

(B) After the first EBRT dose, a fraction of tumor cells R_d survives to radiation, while the complementary fraction, $1 - R_d$, is irreversibly damaged and will ultimately die. This process will repeat with each consecutive EBRT dose. Hence, our modeling framework can accommodate patient-specific radiation plans. If the precise dates and dosages of each EBRT dose are not available, our modeling framework can also assume either a periodic dose plan (periodic dose model) or that EBRT is delivered as an equivalent single dose (single dose model). Importantly, these simplified model versions have a similar performance as the parent general model, as shown in Lorenzo et al. (2019b).

(C) During EBRT, while the surviving cells may continue to proliferate at a rate ρ_s , the radiation-damaged cells undergo programmed cell death at a rate ρ_d . This becomes the dominant mechanism during EBRT and produces a decreasing trend in PSA (gray solid line).

(D) After the conclusion of EBRT, the remainder of the radiation-damaged cells die, and PSA continues to progressively drop. If the treatment does not fully eliminate the tumor, the surviving cells continue proliferating at a rate ρ_s and ultimately produce a biochemical relapse (red solid line). If the treatment eradicates all tumor cells, then PSA reaches a plateau (gray dashed line). Our mechanistic modeling framework also enables a quantitative estimation of the PSA nadir (P_n) and the time to PSA nadir since EBRT termination (Δt_n) . Table S1 in Methods S1 provides a list of model variables and parameters. See the STAR Methods and Methods S2 for further details on mathematical modeling.

prostate-specific membrane antigen or choline positron emission tomography/computed tomography. To treat post-EBRT PCa recurrence, there are several therapeutic strategies that depend on whether the recurrence is local or metastatic (Cornford et al., 2021; Wein et al., 2012).

Serum PSA may exhibit natural fluctuations (e.g., due to diet and lifestyle), a smooth increase caused by benign prostatic enlargement (i.e., benign prostatic hyperplasia), and transient peaks due to ADT termination or the so-called PSA bounce, which consists of a temporary PSA increase of 0.1–0.5 ng/mL usually occurring within the first 24 months after EBRT conclusion (Wein et al., 2012; Pinkawa et al., 2010; Freiberger et al., 2017; Carobene et al., 2018; Christensson et al., 2011; Roehrborn et al., 2000). These phenomena may hamper the detection of biochemical relapse following EBRT. Thus, the clinical criteria to identify a biochemical relapse require PSA to exhibit a consistent rising trend over time (Wein et al., 2012; Cornford et al., 2021). For example, a standard criterion with widespread use in current clinical practice identifies a biochemical relapse as a PSA increase larger than 2 ng/mL over the detected PSA nadir (i.e., the minimum PSA value measured for a patient) (Roach et al., 2006; Cornford et al., 2021). Additionally, multiple studies have been devoted to analyze PSA dynamics after EBRT and its correlation with the pathological features of tumor recurrence to define further PSA-based markers that improve the identification and prognostic assessment of biochemical relapse and PCa recurrence. For instance, the detection of a rapid decline of PSA right after treatment, overall high PSA values during posttreatment



monitoring, an early nadir, a high value of the nadir, and a low PSA doubling time during biochemical relapse (i.e., the time it would take PSA to exhibit a 2-fold increase) have been correlated with a poorer prognosis, including metastatic disease and lower patient survival (Freiberger et al., 2017; Zelefsky et al., 2005; Zumsteg et al., 2015; Ray et al., 2006; Bates et al., 2005; Cheung et al., 2006; Cavanaugh et al., 2004; Shi et al., 2013; Wein et al., 2012). Alternatively, post-EBRT PSA dynamics has also been analyzed by fitting empirical equations to patient-specific longitudinal series of PSA values. In particular, very successful results have been reported by leveraging a biexponential formula, which consists of the sum of 2 terms: an exponential decay to capture the usual posttreatment decline in PSA observed in all patients and a rising exponential to represent biochemical relapse, which vanishes when this empirical model is fit to data from cured patients (Zagars and Pollack, 1997; Cox et al., 1994; Hanlon et al., 1998; Vollmer and Montana, 1999; Taylor et al., 2005).

However, the current criteria of biochemical relapse and the majority of PSA-based markers only enable to assess this event upon its direct observation. Hence, these approaches may ultimately delay the diagnosis and treatment of tumor recurrence, thereby potentially reducing the chances of successfully controlling the disease. Additionally, observational metrics and models of PSA dynamics offer a limited representation of the underlying tumor dynamics that ultimately regulate the observed changes of PSA in each patient. To address these limitations, we propose to leverage a mechanistic model of PCa response to EBRT (Figure 1) in order to forecast PSA dynamics on a patient-specific basis (Lorenzo et al., 2019b). Our goal is to use this approach to predict the occurrence of biochemical relapse and, hence, ultimately facilitate an early diagnosis and treatment of tumor recurrence after EBRT. The mechanistic modeling of tumor growth and therapeutic response is an established approach that aims at mathematically describing the biophysical mechanisms underlying these phenomena in order to increase our understanding of cancer diseases and advance their clinical management on a personalized basis (Yankeelov et al., 2013; Rockne et al., 2019; Karolak et al., 2018; Jarrett et al., 2020; Mang et al., 2020; Wang et al., 2009; Lorenzo et al., 2019a; Oden et al., 2016). In particular, these models can be fit to patient-specific data and then leveraged to render personalized computer forecasts of tumor prognosis and treatment outcomes capable to assist clinical decision-making (Kazerouni et al., 2020; Lorenzo et al., 2022; Mang et al., 2020).

Several studies have investigated mechanistic models of PCa growth and PSA dynamics in various scenarios, including untreated tumor growth (Lorenzo et al., 2016, 2019b; Swanson et al., 2001; Vollmer, 2010; Farhat et al., 2017), hormone therapy (Brady-Nicholls et al., 2021, 2020; Ideta et al., 2008; Hirata et al., 2010; Jain et al., 2011; Morken et al., 2014; Phan et al., 2019; Jackson, 2004), cytotoxic and antiangiogenic therapies (West et al., 2018, 2019; Colli et al., 2020, 2021), and after radical prostatectomy (Vollmer and Humphrey, 2003; Truskinovsky et al., 2005). Since radiotherapy is used for the treatment of many types of cancer, the study of tumor response to radiation and the forecasting of patient-specific radiotherapeutic outcomes using mechanistic models constitute a rich area of research (Corwin et al., 2013; Hormuth et al., 2021; Rockne et al., 2015; Lipková et al., 2019; Lima et al., 2017; Ayala-Hernández et al., 2021; Pérez-García et al., 2015; Zahid et al., 2021; Alfonso et al., 2021; Powathil et al., 2007). Nevertheless, there is a dearth of mechanistic models providing a coupled description of tumor and PSA dynamics following radiotherapy (Lorenzo et al., 2019b; Sosa-Marrero et al., 2021; Yamamoto et al., 2016).

In one of our previous studies (Lorenzo et al., 2019b), we presented a mechanistic modeling framework to describe how the response of PCa to EBRT drives PSA dynamics after treatment and identified promising model-based biomarkers to detect biochemical relapse. Our modeling framework relies on 5 key assumptions, which are illustrated in Figure 1. We assume that PCa cells proliferate following an exponential law and that PSA is proportional to the number of tumor cells. We further assume that each radiation dose irreversibly damages a fraction of the tumor cells that ultimately undergoes programmed cell death, whereas the complementary fraction survives to EBRT and continues proliferating. Additionally, we consider that EBRT is delivered either periodically or as an equivalent single dose. This last assumption leads to two alternative models namely the periodic dose model and the single-dose model (see Table S1 in Methods S1 for a list of model variables and parameters, as well as STAR Methods and Methods S2 for further details on mathematical modeling). In the present work, we focus on the single-dose model, since in our previous study, [Lorenzo et al., 2019b] we demonstrated that this model version exhibited a similar performance to the periodic dose model and the general parent model while featuring a simpler formulation (see STAR Methods and Methods S2). We first validate our model and model-based biomarkers of biochemical





	All patients ($n = 166$)			Non-relapsing patients ($n = 156$)			Relapsing patients ($n = 10$)		
Characteristic	Median	IQR	Range	Median	IQR	Range	Median	IQR	Range
Clinical									
Age at diagnosis (y)	73	(68, 76)	(49, 83)	73	(68, 76)	(49, 83)	73	(68, 76)	(65, 79)
Baseline PSA, P _d (ng/mL)	6.3	(4.6, 8.6)	(0.6, 81.0)	6.2	(4.5, 8.4)	(0.6, 22.0)	9.6	(5.9, 13.1)	(4.9, 81.0)
Gleason score	6	(6, 7)	(4, 8)	6	(6, 7)	(5, 8)	7	(6, 7)	(4, 7)
EBRT									
Age at EBRT (y)	74	(68, 77)	(49, 84)	74	(68, 77)	(49, 84)	75	(73, 76)	(66, 80)
Total dose (Gy)	74.2	(71.4, 74.2)	(65.8, 77.4)	74.2	(71.4, 74.2)	(71.4, 77.4)	74.2	(71.4, 74.2)	(65.8, 74.2)
Dose per fraction (Gy)	2.65	(2.55, 2.65)	(2.35, 2.76)	2.65	(2.55, 2.65)	(2.55, 2.76)	2.65	(2.55, 2.65)	(2.35, 2.65)
Duration (mo)	1.3	(1.3, 1.4)	(1.2, 2.1)	1.3	(1.3, 1.4)	(1.2, 2.1)	1.3	(1.3, 1.4)	(1.2, 1.7)
Patient monitoring									
No. PSA values, n _P	11	(8, 13)	(6, 25)	11	(8, 13)	(6, 25)	14	(10, 19)	(7, 22)
Follow-up since EBRT (y)	5.7	(4.5, 7.6)	(3.0, 14.0)	5.7	(4.5, 7.5)	(3.0, 14.0)	5.7	(4.2, 8.1)	(3.3, 11.2)
PSA test frequency (mo)	6.3	(4.0, 10.3)	(0.0, 59.4)	6.4	(4.1, 11.4)	(0.0, 59.4)	4.9	(3.2, 7.3)	(1.0, 20.5)

All patients received a total of $n_d = 28$ radiation doses in their EBRT plan. The number of PSA values (n_P) includes the baseline PSA (P_d) . The PSA test frequency refers to post-EBRT monitoring exclusively.

EBRT, external beam radiotherapy; IQR, interquartile range; PSA, prostate-specific antigen.

relapse from our previous study [Lorenzo et al., 2019b] in a new cohort, whose main characteristics are summarized in Table 1 (see STAR Methods for further details). To this end, we perform a global fitting study in which we parameterize our model with all PSA data available for each individual patient (i.e., if there are n_P values collected for a certain patient, all the n_P values are used to personalize the model). Then, we assess the predictive performance of our model in a series of fitting-forecasting scenarios. Each scenario leverages an increasing number of PSA values collected for each patient for model fitting, which is followed by a corresponding personalized model forecast of PSA dynamics that we compare against the remainder of the patient's PSA data. This approach would simulate the utilization of our model during actual patient monitoring: Each newly collected PSA value enables to update the model for a given patient and, hence, obtain an updated prediction of PSA dynamics on an individual basis. Additionally, we analyze the ability of our model-based biomarkers as determined in these fitting-forecasting scenarios to identify biochemical relapse early, and we further assess whether they outperform the standard clinical criteria that were used in this cohort. Finally, Data S1 in the Supplementary Information further provides the results of these analyses leveraging the periodic dose model for completeness. These additional results confirm that the single-dose model suffices to recapitulate and predict PSA dynamics after EBRT, as originally demonstrated in Lorenzo et al. (2019b).

RESULTS

Mechanistic model recapitulates patient-specific PSA dynamics after EBRT

We begin by performing a global fitting analysis to assess the ability of our mechanistic model in reproducing the complete longitudinal PSA series collected for each patient in the cohort. To this end, if n_P PSA values were collected for a certain individual patient, all the n_P measurements are used to fit the model and, hence, determine the patient-specific values of the model parameters (see STAR Methods for methodological details). Figure 2 illustrates representative global fitting results for 3 non-relapsing and 3 relapsing patients. Furthermore, Figure S7 in Data S2 shows the global fitting results for the rest of the patients in the relapsing group. The median and interquartile range of the root mean squared error (RMSE) and the coefficient of determination (R^2) of our model fits are distributed with median and interquartile range of 0.16 (0.07, 0.26) ng/mL and 0.99 (0.98, >0.99) over the whole cohort (n = 166); 0.15 (0.07, 0.23) ng/mL and 0.99 (0.98, >0.99) in the non-relapsing subgroup (n = 156); and 0.53 (0.39, 0.59) ng/mL and 0.95 (0.92, 0.98) in the relapsing subgroup (n = 10), respectively. Thus, the results from global fitting analysis demonstrate that our mechanistic model successfully recapitulates the observed patient-specific PSA dynamics. Additionally, according to two-sided Wilcoxon rank-sum tests, the differences in quality of fit



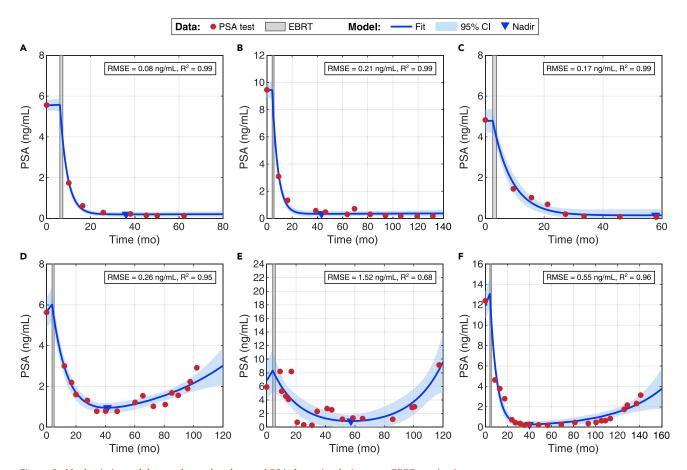


Figure 2. Mechanistic model reproduces the observed PSA dynamics during post-EBRT monitoring

(A–C) Global fitting results for three non-relapsing patients

(D–F) Similar global fitting results for three patients exhibiting a biochemical relapse. For each patient, the PSA measurements are plotted as red bullet points and EBRT is represented as a rectangular area shaded in light gray. The fits obtained with our model are depicted as a solid dark blue line, and the corresponding 95% confidence intervals are depicted as surrounding areas shaded in light blue. Each plot also features the values of the root mean squared error (RMSE) and the coefficient of determination (R^2) to assess the quality of the model fit. Additionally, the prediction of the patient's PSA nadir using our model is represented as a blue downward triangle. This figure illustrates the remarkable performance of our mechanistic model to fit patient-specific longitudinal PSA datasets collected during standard monitoring following EBRT. Table S6 in Data S3 further provides the values of the model parameters and quantities of interest corresponding to the model fits shown in this figure.

between the relapsing and the non-relapsing subgroups are significant (RMSE: p < 0.001, R^2 : p = 0.0027). Corresponding one-sided tests show that superior fits are achieved for nonrelapsing patients in terms of significantly lower RMSE and higher R^2 (RMSE: p < 0.001, R^2 : p = 0.0013).

Mechanistic model provides promising biomarkers of biochemical relapse

Following global fitting, we define a panel of model-based quantities of interest to examine their performance as biomarkers of biochemical relapse. As in Lorenzo et al. (2019b), this panel is composed of three groups of quantities. First, we include the model parameters: the baseline PSA (P_0), the proliferation rate of tumor cells (ρ_s), the rate of EBRT-induced death of tumor cells (ρ_d), and the fraction of tumor cells surviving to EBRT (R_D). Second, we also consider two nondimensional ratios that represent the ratio or tumor cell proliferation to EBRT-induced death (β) and EBRT efficacy (α). Finally, we further use the mechanistic model to calculate the PSA nadir (P_n) and the time to PSA nadir since EBRT termination (Δt_n), which are two common metrics in the analysis of post-EBRT PSA dynamics (Lorenzo et al., 2019b; Freiberger et al., 2017; Zelefsky et al., 2005; Zumsteg et al., 2015; Ray et al., 2006; Bates et al., 2005; Cheung et al., 2006; Cavanaugh et al., 2004; Shi et al., 2013; Wein et al., 2012). The interested reader is referred to the STAR Methods for further information on the definition of these model-based quantities of interest.





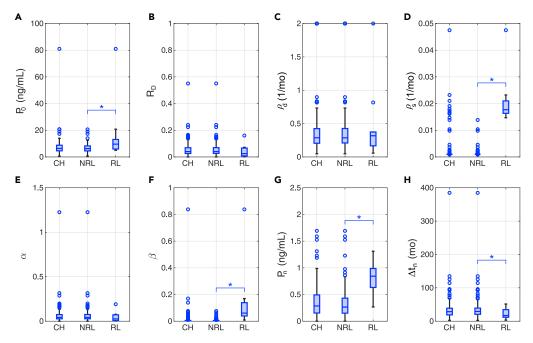


Figure 3. Distributions of the candidate model-based biomarkers of biochemical relapse obtained in the global fitting study

The boxplots corresponding to the distribution of a model-based quantity of interest over the whole cohort (CH), the non-relapsing subgroup (NRL), and the relapsing one (RL). Outliers are represented as hollow circles. An asterisk (*) indicates statistical significance in both two-sided and one-sided Wilcoxon rank-sum tests (p < 0.05).

- (A) Initial PSA, Po.
- (B) Fraction of tumor cells surviving to EBRT, R_D (see STAR Methods).
- (C) Rate of EBRT-induced death of tumor cells, ρ_d .
- (D) Proliferation rate of tumor cells, ρ_s .
- (E) Non-dimensional ratio α , representing EBRT efficiency (see STAR Methods).
- (F) Non-dimensional ratio β , representing the ratio of tumor cell proliferation to EBRT-induced death (see STAR Methods). (G) PSA nadir, P_n .
- (H) Time to PSA nadir since EBRT termination, Δt_n . Table S7 in Data S3 further provides the median, interquartile range, and full range of the distribution of global fitting values for all the model-based quantities of interest.

Figure 3 shows the boxplots of the distributions of all model-based quantities of interest across the cohort as well as the subgroups of non-relapsing and relapsing patients. Two-sided Wilcoxon rank-sum tests identify significant differences in the values obtained for P_0 , ρ_s , β , and P_n (p=0.0098, <0.001, <0.001, and <0.001, respectively). Corresponding one-sided tests further show that relapsing patients exhibit higher P_0 , ρ_s , β , and P_n than non-relapsing patients (p=0.0049, <0.001, <0.001, and <0.001, respectively). Additionally, a two-sided Wilcoxon rank-sum test identifies significant differences in Δt_n (p=0.040), and corresponding one-sided testing results in significantly lower values of Δt_n for relapsing patients (p=0.020). As a result of this statistical analysis, we henceforth define ρ_s , β , P_n , and Δt_n as model-based biomarkers of biochemical relapse. We do not consider P_0 for two reasons. First, the baseline PSA measured in the clinic and reported in Table 1 (P_d) was already significantly higher in relapsing patients (two-sided Wilcoxon rank-sum test p=0.017). Additionally, P_0 is bound to take values close to P_d as result of model fitting (see Figure 2 and Lorenzo et al., 2019b), thereby providing a limited contribution to the sequential patient-specific predictions of PSA dynamics and biochemical relapse obtained during the fitting-forecasting study.

Figure 4 shows the receiver operating characteristic (ROC) curves and corresponding optimal performance points for the model-based biomarkers identified from the analysis of the global fitting study results. For each biomarker, Table 2 further provides the area under the ROC curve (AUC) along with the optimal performance point threshold, sensitivity, and specificity. The shape of the ROC curves, the AUC, and the optimal performance points show that ρ_s exhibited the best performance in identifying relapsing patients,



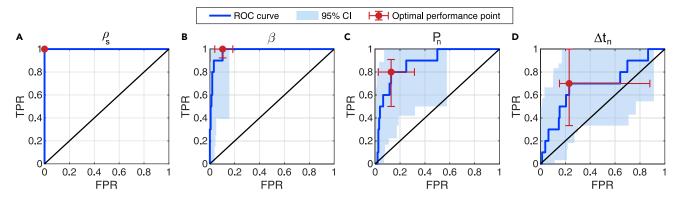


Figure 4. ROC curves for the model-based biomarkers of biochemical relapse identified in the analysis of the global fitting study results

The ROC curves and 95% bootstrap confidence intervals (CI) for each biomarker constructed using the global fitting results. In each plot, the vertical axis quantifies the true positive rate (TPR, i.e., sensitivity), while the horizontal axis measures the false positive rate (FPR, i.e., 1-specificity). The solid black line represents the unity line. The optimal performance point and corresponding 95% bootstrap confidence intervals along both axes are depicted as a red solid point and errorbars, respectively.

- (A) Proliferation rate of tumor cells, ρ_c .
- (B) Non-dimensional ratio β , representing the ratio of tumor cell proliferation to EBRT-induced death (see STAR Methods).
- (C) PSA nadir, P_n .
- (D) Time to PSA nadir since EBRT termination, Δt_n .

followed closely by β , then P_n , and finally Δt_n , which only shows a mildly satisfactory performance. Notice that ρ_s operates as a perfect classifier, yielding maximal AUC along with 100% sensitivity and specificity at an optimal performance point. This result can also be hinted in the boxplots of this biomarker shown in Figure 3, where a straight line could separate the values of ρ_s obtained for relapsing and non-relapsing patients.

To assess the predictive potential of the model-based biomarkers of biochemical relapse identified in the global fitting study, we first analyze the accuracy of the predictions of PSA dynamics obtained with our mechanistic model. To this end, we run a fitting-forecasting study as follows: First, we estimate the model parameters by fitting them to a subset of the earliest PSA values for each patient, we then calculate the corresponding personalized model forecasts of PSA dynamics, and we finally compare each of these predictions to the remainder of the patient's PSA data in posterior dates. For each patient, we perform this calculation in a collection of sequential scenarios: We start using a minimum of five PSA values for fitting ($n_{P,fit} = 5$) including the baseline PSA at diagnosis (P_d), and we progressively increase $n_{P,fit}$ until only 1 PSA value is left for assessing the model predictions (i.e., $n_{P,fit} = 5, ..., n_P - 1$ for each patient; see STAR Methods for further methodological details). Additionally, in this study, we consider a maximum $n_{P,fit} = 21$, since this is the last scenario for which there is at least 1 relapsing and 1 non-relapsing patient with at least 1 remaining PSA value to assess the model forecasts.

Figure 5 illustrates representative results from the fitting-forecasting study for the relapsing and non-relapsing patients considered in Figure 2 in the global fitting study. Additionally, Figure S8 in Data S2 shows similar results for the other patients in the relapsing subgroup. The results in Figure 5 show that our model can accurately predict PSA in the short term after the date of the last PSA used in model fitting. This is the case for both non-relapsing and relapsing patients across the different $n_{P,fit}$ scenarios considered in our analysis. While the prediction of the long-term PSA plateau in the non-relapsing subgroup is accurate even with a low $n_{P,fit}$ (Figures 5A–5C), our model may require exposition to an incipient rising trend to accurately identify a biochemical relapse and estimate long-term PSA values (Figures 5D–5F versus Figures 5G–5I). However, Figures 5G–5I further show that our model forecasts are able to identify rising PSA dynamics in relapsing patients earlier than standard clinical detection methods (e.g., using the nadir+2 ng/mL criterion). Comparing Figures 5 and 2, we also observe that, as the number of PSA values used for model fitting increases (i.e., for higher $n_{P,fit}$), the uncertainty in the model predictions of PSA decreases accordingly and progressively approaches the level of uncertainty obtained in the global fitting scenario.

To analyze the distribution of the global RMSE values across all the fitting and forecasting scenarios, we pool the corresponding results obtained for all $n_{P,fit}$ cases run for each patient in the cohort. Since our





Table 2. Analysis of the ROC curves of the model-based biomarkers of biochemical relapse identified in the global fitting study

	Biomarker					
Metric	$ ho_{s}$	β	P _n	∆t _n		
AUC	1.00 [1.00, 1.00]	0.98 [0.94, 1.00]	0.88 [0.71, 0.95]	0.69 [0.47, 0.87]		
Optimal performance point						
Sensitivity	1.00 [1.00, 1.00]	1.00 [0.92, 1.00]	0.80 [0.50, 0.91]	0.70 [0.33, 1.00]		
Specificity	1.00 [1.00, 1.00]	0.90 [0.82, 0.96]	0.87 [0.68, 0.97]	0.77 [0.12, 0.85]		
Value	14.7 [13.9, 14.7] •10 ⁻³ /mo	9.0 [7.6, 23.4] •10 ⁻³	0.6 [0.4, 0.9] ng/mL	19.8 [16.2, 54.7] mo		

Mechanistic model accurately forecasts short-term PSA dynamics after EBRT. Values in brackets are 95% bootstrap confidence intervals of the reported metrics.

AUC, area under the ROC curve; ROC, receiver operating characteristic; EBRT, external beam radiotherapy; PSA, prostate-specific antigen.

mechanistic model provides an accurate prediction of short-term dynamics in both non-relapsing and relapsing patients, we will focus the analysis of the forecasting results on the subsequent two PSA values that were not used in model fitting in each n_{P.fit} scenario for each patient. Hence, this two-value forecast corresponds to a short-term prediction of PSA dynamics over approximately a 1-year horizon according to the PSA test frequency in our cohort (Table 1). Note that not all patients for whom it is possible to fit $n_{P fit}$ PSA values are eligible for a short-term prediction of two PSA values because of limited PSA data for forecast validation. The median and interquartile range of the RMSE of the model fits are 0.15 (0.08, 0.25) ng/mL across the whole cohort (n = 1043), 0.14 (0.07, 0.22) ng/mL in the non-relapsing subgroup (n = 949), and 0.34 (0.17, 0.72) ng/mL in the relapsing subgroup (n = 94). The RMSE of the ensuing short-term forecasts of PSA exhibits a median and interquartile range of 0.18 (0.08, 0.34) ng/mL across the whole cohort (n = 878), 0.16 (0.07, 0.31) ng/mL in the non-relapsing subgroup (n = 794), and 0.63 (0.22, 1.19) ng/mL in the relapsing subgroup (n = 84). These RMSE results demonstrate that our model can reproduce the observed PSA dynamics and that the resulting personalized model can yield a reasonably accurate prediction of PSA to inform the monitoring strategy for each patient (see discussion). For the sake of completeness, Figure S9 in Data S4 further depicts the boxplots of the RMSE for model fitting and short-term PSA prediction in each fitting-forecasting scenario across the whole cohort ($n_{P,fit} = 5,...,$ 21). Additionally, Tables S9 and S10 in Data S4 summarize these RMSE distributions for the whole cohort as well as the non-relapsing and relapsing subgroups.

Considering the pooled results across all $n_{P,fit}$ cases, the RMSE values of the model fits and the short-term PSA predictions obtained for non-relapsing patients were significantly lower than those obtained for relapsing patients (p < 0.001 in all corresponding two- and one-sided Wilcoxon rank-sum tests). Using two-sided Wilcoxon rank-sum test to compare the global RMSE distributions obtained for model fits against those calculated for the short-term PSA predictions, we obtain significant differences across the whole cohort, the non-relapsing subgroup, and the relapsing subgroup (p < 0.001, p < 0.001, and p = 0.025, respectively). Corresponding one-sided tests further confirm that the RMSE values for the model fits are significantly lower than those of the short-term PSA predictions (p < 0.001, p < 0.001, and p = 0.013, respectively).

Early estimates of model-based biomarkers accurately predict biochemical relapse earlier than standard practice

The patient-specific model fits obtained for each $n_{P,fit}$ scenario in the fitting-forecasting study provide a set of values for the model-based biomarkers of biochemical relapse identified during global fitting (i.e., ρ_s , β , P_n , and Δt_n). Since each $n_{P,fit}$ scenario can only be performed once the latest PSA value used for model fitting is collected, the corresponding estimates of the biomarkers are associated with its collection date. Thus, we proceed to analyze whether early estimates of our model-based biomarkers enable an accurate identification of relapsing patients and whether they anticipate the detection of relapse with respect to the standard clinical practice. To further motivate this analysis, we recall that, for the three relapsing patients shown in Figures 5G–5I, our model predicts a rising PSA trend before the usual nadir+2 ng/mL criterion is satisfied.



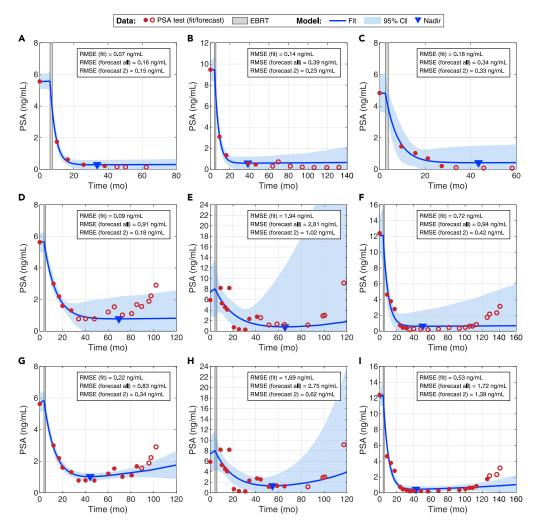


Figure 5. Mechanistic model provides personalized forecasts of PSA dynamics during post-EBRT monitoring (A–F) An early forecast of the PSA dynamics obtained with our mechanistic model for the same non-relapsing and relapsing patients considered in Figure 2, respectively. The early model forecasts for the non-relapsing patients accurately predict both short- and long-term PSA dynamics. However, our early forecasts of PSA are only accurate in the short term for the relapsing patients.

(G–I) The model forecasts of PSA dynamics obtained at a later date in the course of post-EBRT monitoring for the same non-relapsing patients (i.e., in a higher $n_{P,\mathrm{fit}}$ scenario). In this case, while the model can still predict only the following short-term PSA values accurately, it can already detect a rising PSA trend earlier than standard clinical practice (e.g., using the nadir+2 ng/mL criterion). For each patient, the PSA measurements used for model fitting are plotted as red bullet points, while the remainder PSA data to assess model predictions are represented as hollow red circles. EBRT is represented as a light gray rectangular area. The fits and forecasts obtained with the mechanistic model are represented with a solid dark blue line, and the corresponding 95% confidence intervals are depicted as surrounding areas shaded in light blue. Along with the root mean squared error (RMSE) of the model fit, the forecasting RMSE is also reported for both all the remaining PSA values and the immediately next two PSA values after the date of the last PSA used for model fitting. Additionally, each plot also includes the model prediction of the patient's PSA nadir, which is represented as a blue downward triangle. Table S8 in Data S4 further provides the values of the model parameters and quantities of interest corresponding to the model fits shown in this figure.

To assess the performance of our early model-based biomarker estimates as biochemical relapse classifiers, we perform an ROC curve analysis. We first pool all the values obtained for each biomarker in all the $n_{P,fit}$ scenarios across all patients. Then, we construct the ROC curve by using each pooled biomarker value as a threshold that we compare to the corresponding $n_{P,fit}$ values obtained for each patient in the fitting-forecasting study ($n_{P,fit} = 5, ..., n_P - 1$). If any of these patient-specific $n_{P,fit}$ values satisfies the



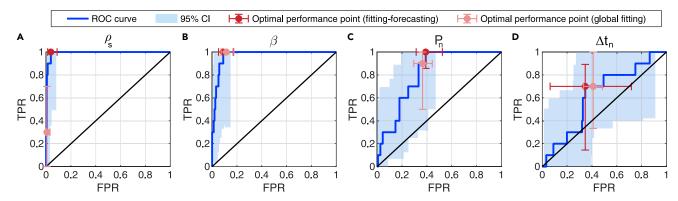


Figure 6. ROC curves for the early estimates of the biochemical relapse biomarkers obtained in the fitting-forecasting studies

The ROC curves and 95% bootstrap confidence intervals (CI) for each biomarker constructed using the fitting-forecasting results. In each plot, the vertical axis quantifies the true positive rate (TPR, i.e., sensitivity), while the horizontal axis measures the false positive rate (FPR, i.e., 1-specificity). The solid black line represents the unity line. The optimal performance point and corresponding 95% bootstrap confidence intervals along both axes are depicted as a red solid point and errorbars, respectively. Additionally, the optimal performance point identified during global fitting and corresponding 95% bootstrap confidence intervals are represented with a pink solid point and errorbars, respectively.

(A) Proliferation rate of tumor cells, ρ_s .

(B) Non-dimensional ratio β , representing the ratio of tumor cell proliferation to EBRT-induced death (see STAR Methods). (C) PSA nadir, P_0 .

(D) Time to PSA nadir since EBRT termination, Δt_n .

classification criterion for the biomarker (i.e., a larger ρ_s , β , and P_n or a lower Δt_n), then we identify the patient as relapsing under the considered threshold. Please refer STAR Methods for further methodological details.

Figure 6 shows the ROC curve and optimal performance point for each biomarker obtained by using the results of the fitting-forecasting study. Table 3 further reports the corresponding AUC value along with the optimal performance point threshold, sensitivity, and specificity. Additionally, both Figure 6 and Table 3 represent the ability of the optimal performance point threshold identified in the global fitting study to classify biochemical relapse using the early biomarker estimates from the fitting-forecasting study. We identify again that the best-performing biomarkers are ρ_s and β , followed by P_n , and finally Δt_n , which exhibits a comparably poorer classifying ability with respect to the other three biomarkers. Comparing the ROC curve metrics obtained in the global fitting study and the fitting-forecasting study, the AUC is slightly lower when the biomarkers are assessed to identify biochemical relapse early. While parameter ρ_s acted as a perfect classifier in the global fitting study, we observe a minor loss of specificity when it is leveraged as an early biomarker for biochemical relapse. Note also that the optimal threshold required to provide an early classification of biochemical relapse with ρ_s is notably lower with respect to the one obtained in the global fitting study. Thus, a less-conservative threshold is needed to provide an early identification of biochemical relapse using ρ_s . This is also suggested by comparing the model predictions for the relapsing patients (see Figures 2D–2F and Figures 5G–5I). Conversely, the β ratio exhibits a slightly better performance in the fitting-forecasting study due to a moderate increase in specificity, and the optimal threshold stays in the same order of magnitude. This last observation also holds for the PSA nadir P_n , which shows maximal sensitivity in the fitting-forecasting study. However, its specificity to early detect biochemical relapse is lower than that in the global fitting study. Finally, Δt_n also exhibits a lower specificity in the fitting-forecasting study than in the global fitting scenario.

We further leverage the optimal threshold calculated for the ROC curve of each model-based biomarker in the fitting-forecasting study to assess whether it may enable an earlier detection of biochemical relapse than standard clinical criteria (e.g., nadir+2 ng/mL). To this end, we define a metric termed days gained to biochemical relapse diagnosis (DGBRD) for each biomarker and for every patient in the relapsing subgroup. This metric is computed as the difference between the reported date of biochemical relapse and the earliest date in which each biomarker classifies a patient as relapsing according to the optimal threshold calculated in the ROC curve analysis of the fitting-forecasting study. A positive value of DGBRD indicates that a biomarker enables the early detection of biochemical relapse with respect to standard clinical practice. Note that the second date in the DGBRD definition corresponds to one of the dates





Table 3. Analysis of the ROC curves of the model-based biomarkers to identify early biochemical relapse using the fitting-forecasting study results

	Biomarker					
Metric	$ ho_{ extsf{s}}$	β	P _n	Δt_n		
AUC	0.99 [0.97, 1.00]	0.97 [0.93, 0.99]	0.81 [0.70, 0.90]	0.63 [0.44, 0.78]		
Optimal perf	ormance point					
Fitting-foreca	asting study					
Sensitivity	1.00 [1.00, 1.00]	1.00 [1.00, 1.00]	1.00 [0.86, 1.00]	0.70 [0.14, 0.89]		
Specificity	0.96 [0.91, 0.99]	0.91 [0.83, 0.95]	0.61 [0.47, 0.69]	0.65 [0.28, 0.94]		
Value	5.7 [2.4, 10.0] •10 ⁻³ /mo	13.3 [7.6, 17.4] •10 ⁻³	0.6 [0.6, 0.7] ng/mL	18.1 [7.9, 39.6] mo		
Global fitting	g study					
Sensitivity	0.30 [0.00, 0.70]	1.00 [1.00, 1.00]	0.90 [0.50, 1.00]	0.70 [0.33, 1.00]		
Specificity	0.99 [0.97, 1.00]	0.88 [0.82, 0.93]	0.63 [0.56, 0.71]	0.59 [0.52, 0.67]		
Value	14.7 •10 ⁻³ 1/mo	9.0 •10 ⁻³	0.6 ng/mL	19.8 mo		

Values in brackets are 95% bootstrap confidence intervals of the reported metrics. For each biomarker, the global fitting threshold was fixed to the optimal cutoff reported in Table 2 in order to determine the corresponding 95% bootstrap confidence intervals for its sensitivity and specificity using the fitting-forecasting study results.

AUC: area under the ROC curve; ROC, receiver operating characteristic.

in which PSA was measured for each relapsing patient, as these are the dates at which we calculate the model fits in each of the $n_{P, \text{fit}}$ scenarios of the fitting-forecasting study.

Figure 7 shows the distribution of the DGBRD for each biomarker, and Table 4 reports the corresponding median, interquartile range, and full range. The results in Figure 7 and Table 4 show that the DGBRD associated with our model-based biomarkers took a non-negative value for the majority of patients in the relapsing subgroup. This means that our model-based biomarkers provided an earlier detection of biochemical relapse than standard clinical criteria (e.g., nadir+2 ng/mL). However, there was a minority of patients for whom the DGBRD was negative, such that standard clinical methods provided an earlier identification of biochemical relapse. Using one-sided signed-rank Wilcoxon tests on medians larger than zero, we found that the early estimates of ρ_s , β , and P_n provide a significantly earlier detection of biochemical relapse than standard clinical practice (p = 0.0029, 0.0029, and 0.0098, respectively) for their calculation. Additionally, we observed that, while ho_s and ho exhibited the best classifier performance in the ROC curve analysis (see Figure 6 and Table 3), Pn is the biomarker yielding the earliest identification of biochemical relapse. Indeed, two-sided Wilcoxon signed-rank tests identify significant patient-wise differences in the DGBRD for P_n with respect to those calculated for ρ_s , β_s , and Δt_n (p = 0.019, 0.019, and 0.016, respectively). Corresponding one-sided tests confirm that the DGBRD calculated for P_n provided a significantly earlier identification of biochemical relapse than the DGBRD obtained for ρ_s , β , and Δt_n for each patient (p = 0.0098, 0.0098, and 0.0078, respectively). The comparison of the DGBRD for ρ_s and β with respect to the DGBRD for Δt_n was not significant under two-sided Wilcoxon signed-rank testing. However, the corresponding one-sided tests do identify a significantly larger DGBRD for ρ_s and β (p = 0.039 and p = 0.027, respectively). Comparing the overall DGBRD distributions between the biomarkers under two-sided Wilcoxon rank-sum testing, no significant differences are detected. The corresponding one-sided tests only identify a significantly larger DGBRD for P_n than for Δt_n (p = 0.026).

DISCUSSION

Patient-specific predictions of PSA based on mechanistic modeling to design personalized PSA-monitoring strategies

The detection of a consistent rising trend in PSA constitutes a biochemical relapse, which is indicative of a potential PCa recurrence (Wein et al., 2012; Mottet et al., 2021; Cornford et al., 2021). Here, we posit that patient-specific forecasts of PSA evolution obtained via mechanistic modeling of post-EBRT PSA dynamics can accurately identify relapsing patients earlier than the current PSA threshold criteria for detection (e.g., nadir+2 ng/mL) (Wein et al., 2012; Roach et al., 2006; Cornford et al., 2021). To this end, we leverage our single-dose model of PSA dynamics after EBRT, which was previously proposed in Lorenzo et al.





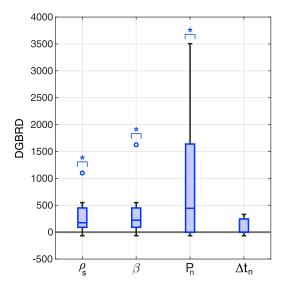


Figure 7. Distribution of the days gained to biochemical relapse diagnosis (DGBRD) for the model-based biomarkers of biochemical relapse

The boxplots in this figure represent the distribution of the DGBRD in the relapsing subgroup (n=10) obtained for each biomarker of biochemical relapse by leveraging the optimal threshold calculated in the ROC curve analysis of the fitting-forecasting study results. From left to right: proliferation rate of tumor cells ρ_s , non-dimensional ratio β (i.e., ratio of tumor cell proliferation to EBRT-induced death; see STAR Methods), PSA nadir P_n , and time to PSA nadir since EBRT termination Δt_n . Outliers are represented as hollow circles. A single asterisk (*) denotes statistical significance in one-sided Wilcoxon signed-rank tests for a median larger than zero (p<0.05).

(2019b). This mechanistic model features key advantages for its clinical use to forecast PSA during post-EBRT patient monitoring. First, it is defined upon the essential mechanisms underlying the tumor response to radiation and the ensuing changes in PSA dynamics after EBRT conclusion (see STAR Methods and Figure 1). Consequently, our model has a simple formulation with only four parameters to be identified patient-wise from the longitudinal PSA data that are routinely collected during standard patient follow-up (see STAR Methods and Figure 1). Finally, the mathematical solutions to our model span the plateauing trend observed in non-relapsing patients as well as the biexponential response expected for relapsing patients (Zagars and Pollack, 1997; Cox et al., 1994; Hanlon et al., 1998; Vollmer and Montana, 1999; Taylor et al., 2005).

In this work, we first demonstrate that our model exhibits a remarkable accuracy in reproducing the complete post-EBRT PSA dynamics observed in a new patient cohort from a different center to the one from our previous study (Lorenzo et al., 2019b), thereby providing preliminary evidence of cross-institutional validation. We further demonstrate that our model can provide reasonably accurate short-term forecasts of PSA for both non-relapsing and relapsing patients over the course of post-EBRT monitoring. To this end, we focused on predicting the immediately next two PSA values that were not used to parameterize our model in the serial $n_{P,fit}$ scenarios run for each patient $(n_{P,fit} = 5, ..., n_{P-1})$ during the fitting-forecasting study. As noted in the results section, our short-term PSA predictions correspond to a time horizon of approximately 1 year according to the PSA testing frequency in our cohort (Table 1). This prediction time horizon overlaps with standard PSA monitoring protocols, which usually define routine PSA tests more frequently over the first years following EBRT termination (e.g., every 3 to 6 months) and more sparsely afterward (e.g., every 6 to 12 months) (Hamdy et al., 2016; Wein et al., 2012), unless the collected PSA values rise suspicion of a potential relapse that would warrant more frequent testing (e.g., moderately high PSA levels, an incipient rising trend) (Freiberger et al., 2017; Zelefsky et al., 2005; Zumsteg et al., 2015; Ray et al., 2006; Bates et al., 2005; Cheung et al., 2006; Cavanaugh et al., 2004; Shi et al., 2013; Wein et al., 2012). Thus, our model forecasts could facilitate the systematic design of personalized monitoring plans, which can be adapted as the collection of further PSA data become available to inform our model. For instance, the consistent prediction of a plateauing trend during post-EBRT monitoring could be used to extend the time interval between consecutive PSA tests. Conversely, the detection of rising PSA dynamics would motivate a decision to prescribe more frequent PSA tests to confirm a biochemical relapse and then proceed to assess PCa recurrence (e.g., via biopsy and medical imaging) (Cornford et al., 2021; Wein et al., 2012). Hence, our mechanistic modeling forecasts could advance patient monitoring after EBRT from routine and observational PSA testing to a dynamic predictive paradigm optimizing PSA data collection on a patient-specific basis.

Promising model-based biomarkers to detect biochemical relapse early

In addition to the explicit forecast of PSA values with our model, we further explore the performance of model-based biomarkers of biochemical relapse. By analyzing if our global model fits to the whole PSA dataset available for each patient, we identified four candidate biomarkers of biochemical relapse: the





Table 4. Distribution of the days gained to biochemical relapse diagnosis (DGBRD) in the relapsing subgroup (n = 10) for each biomarker obtained leveraging the optimal threshold calculated in the ROC curve analysis of the fitting-forecasting results

(00.450)	
(90, 450)	(-66, 1100)
(90, 450)	(-66, 1625)
(0, 1639)	(-66, 3505)
(0, 245)	(-66, 330)
	(0, 1639)

proliferation rate of tumor cells (ρ_s) , its ratio to the radiation-induced tumor cell death rate (β) , the PSA nadir (P_n) , and the time to PSA nadir since EBRT termination (Δt_n) .

As in Lorenzo et al. study (2019b), we observed a superior performance in classifying relapsing patients for the biomarkers that are directly related to the underlying tumor dynamics (ρ_s and β) than for the biomarkers that are more closely linked to PSA dynamics (P_n and Δt_n ; see Figure 4 and Table 2). In particular, our results show that relapsing patients exhibit high ρ_s and β (Figure 3 and Table S7). A high-proliferation activity measured via Ki-67 staining in PCa tissue samples has been correlated with worse prognosis, radiotherapeutic outcome, and survival (Cowen et al., 2002; Li et al., 2004; Berlin et al., 2017). An elevated tumor cell proliferation has also been correlated with an increased risk of PCa aggressiveness in terms of a higher Gleason score (Tretiakova et al., 2016), which is a histopathological metric that is ubiquitously used in the clinical management of PCa. In particular, a higher pretreatment Gleason score has been linked to higher probability of both local and distant PCa recurrence (Zumsteg et al., 2015; Zelefsky et al., 2005; Wein et al., 2012). The measurement of both the Ki-67 staining index and the Gleason score require an invasive approach to extract patient-specific tissue samples. However, our mechanistic model could provide a non-invasive surrogate method to estimate the proliferation activity of PCa in terms of ρ_s and β , and thereby refine the estimation of patient-specific prognosis to guide therapeutic planning (Cowen et al., 2002; Li et al., 2004; Berlin et al., 2017).

 P_n and Δt_n are common metrics in clinical studies of PSA dynamics after EBRT. According to our modeling framework, a high PSA nadir or a short time to reach it after EBRT conclusion is predictive of biochemical relapse (see Figure 3 and Table S7). Indeed, previous clinical studies have also linked these observations to worse prognosis, such as a higher likelihood of tumor recurrence and reduced patient survival (Zumsteg et al., 2015; Ray et al., 2006; Freiberger et al., 2017; Wein et al., 2012). The estimation of P_n and Δt_n with our model relies not only on ρ_s and β but also on other model quantities that are not significantly different between non-relapsing and relapsing patients (see STAR Methods). This may partially explain their comparatively poorer performance in identifying biochemical relapse with respect to ρ_s and β (Lorenzo et al., 2019b). Additionally, the post-EBRT PSA doubling time during biochemical relapse, a PSA metric linked to a poor PCa prognosis (Freiberger et al., 2017; Zumsteg et al., 2015; Bates et al., 2005; Wein et al., 2012), can also be estimated by leveraging our model (Lorenzo et al., 2019b).

Therefore, we believe that our model-based biomarkers could be leveraged to assess the probability of tumor recurrence and estimate patient survival after EBRT, thereby assisting the treating physician in therapeutic decision-making. We plan to explore these important applications of our modeling technology in future studies over larger cohorts. Additionally, in this study, we further investigate the performance of our model-based biomarkers to identify biochemical relapse early in the course of post-EBRT PSA monitoring. Our results show that the estimation of ρ_s , β , P_n , and Δt_n with a fraction of the total PSA values available for each patient also enables to identify relapsing patients (Figure 6 and Table 3). In general, we observe a similar classifier performance as in the global fitting scenario (Figure 4 and Table 2), although a more conservative cutoff value may be required to optimally detect biochemical relapse with ρ_s (Figure 6 and Table 3). The promising early classifier performance reported in this study suggests that our model-based biomarkers are robust with respect to the amount of data required to identify biochemical relapse, and thus may enable to anticipate the diagnosis of this event with respect to current methods relying on PSA threshold criteria (e.g., nadir+2 ng/mL). In this work, we perform a preliminary investigation of this hypothesis revealing that ρ_s , β , and P_n may enable to detect biochemical relapse at a median of





175–443.5 days (or approximately 5.8–14.8 months), significantly outperforming the standard clinical practice. Interestingly, while P_n exhibits a poorer classifier performance than ρ_s and β according to the ROC curve analyses in this study (see Table 2 and Table 3), this biomarker rendered the earliest detection of biochemical relapse in our cohort. Additionally, a model-informed personalized monitoring approach would contribute to refine the predictive power of our model-based biomarkers of biochemical relapse over standard clinical criteria, which would also improve their associated DGBRD metric. For example, increasing the frequency of PSA data collection according to the model forecasts of a potentially relapsing patient would facilitate an earlier detection of biochemical relapse (e.g., below the usual nadir+2 ng/mL threshold) with respect to standard monitoring plans prescribing progressively sparser PSA tests primarily based on the time since EBRT termination. Future studies over larger cohorts of relapsing patients are necessary to precisely assess the predictive power of our model-based biomarkers and their combined ability to anticipate the detection of biochemical relapse with respect to standard practice.

Toward a robust clinical implementation

Around 20%-50% of PCa patients undergoing radiotherapy are estimated to exhibit a biochemical relapse within 5-10 years of treatment conclusion (Kupelian et al., 2006; Rosenbaum et al., 2004). Their early identification and the accurate estimation of the severity of their tumor recurrence is crucial to optimize disease control and survival. Further developments of our patient-specific forecasting methods based on mechanistic modeling of PSA dynamics could constitute a robust enabling technology to accurately address those timely needs in posttreatment patient monitoring. In particular, casting our model in a Bayesian framework would advance the current state of our forecasting technology by incorporating the uncertainty in PSA values (Carobene et al., 2018; Christensson et al., 2011) as well as the uncertainty emanating from the model parameterization and ensuing predictions (Lima et al., 2017; Hawkins-Daarud et al., 2019; Lorenzo et al., 2022). We plan to investigate this approach to seamlessly integrate our mechanistic model predictions with uncertainty quantification and risk assessment. This strategy has the potential to guide clinical decision-making during the posttreatment monitoring of individual patients, including the frequency of PSA data collection, the timing of tests to ascertain the suspicion of tumor recurrence, the estimation of clinical risks and survival (e.g., biochemical relapse, local and distant recurrence, or death), and the planning of optimal primary and salvage treatments by maximizing therapeutic outcomes and minimizing toxicities. In particular, uncertainty quantification of the collected PSA data and model forecasts within a Bayesian framework would enable a joint assessment of our model-based biomarkers and standard clinical criteria (e.g., nadir+2 ng/mL) in order to define the risk of biochemical relapse for each individual patient, which has the potential to minimize false positives and negatives with respect to either method to identify biochemical relapse.

Limitations of the study

While the work presented herein shows promises for the use of our mechanistic model of PSA dynamics to assist decision-making in post-EBRT monitoring of PCa, it also features some limitations that we plan to address in forthcoming studies. First, the patient cohort used for our analysis has a reduced number of relapsing patients (n = 10). Thus, to obtain a more robust analysis of the predictive performance of our mechanistic model and biomarkers, we need to extend our cohort to increase the number of patients showing biochemical relapse. This cohort extension would also provide enough statistical power to examine the correlations between our proposed model-based biomarkers and usual PCa clinical characteristics (e.g., Gleason score). To facilitate this effort, we plan to pool cohorts from multiple centers, which would also enable us to assess the applicability of our model across various institutions. Indeed, we believe that this is a key step toward the future clinical use of our predictive technology. Additionally, our analysis was focused on biochemical relapse detection as a surrogate for PCa recurrence (Mottet et al., 2021; Cornford et al., 2021; Wein et al., 2012). To address this limitation, we specifically aim at extending our cohort with patients for whom PCa recurrence has been confirmed, including the type of recurrence (e.g., local or metastatic), which would let us analyze their correlation with our biomarkers and model predictions.

Second, all patients in the cohort leveraged in this study only had a single pre-EBRT PSA measurement. This limitation probably hindered the precise identification of baseline tumor cell dynamics by rendering tumor cell proliferation rates (ρ_s) close to the minimal admissible value (see STAR Methods) in the non-relapsing subgroup and in the fitting-forecasting scenarios where observed PSA dynamics do not provide sufficient information to detect a rising branch (e.g., see Table S7 and Lorenzo et al., 2019b). However, this issue is compatible with our model to represent the early PSA decay usually observed in most patients



after EBRT conclusion as well as the long-term plateauing PSA dynamics in cured patients (Zagars and Pollack, 1997; Cox et al., 1994; Hanlon et al., 1998; Vollmer and Montana, 1999; Taylor et al., 2005; Lorenzo et al., 2019b). Thus, we think that underestimation of the tumor cell proliferation rate did not impede the performance of our model and biomarkers to respectively predict PSA dynamics and biochemical relapse, as shown by the results presented herein. Further pre-EBRT PSA values would specifically facilitate the estimation of the PCa cell proliferation rate, which may also lead to a more reliable estimation of the other model parameters. This improvement would refine our model and biomarker predictions, while also enabling the calculation of a surrogate for the proliferation activity of the tumor enabling the assessment of prognostic risks and expected survival (Cowen et al., 2002; Li et al., 2004; Berlin et al., 2017). Moreover, the availability of several PSA values before EBRT may even allow to consider a different proliferation rate before and after EBRT (see STAR Methods). This modeling feature would enable the investigation of EBRT-mediated changes in tumor cell population dynamics, e.g., due to direct changes to tumor cell cycle distribution and proliferation rates (Lima et al., 2017; Hormuth et al., 2018; Powathil et al., 2013) or due to the evolutionary treatment-induced promotion of a radiation-resistant, proliferative tumor phenotype (Greaves and Maley, 2012; Enriquez-Navas et al., 2015; Forouzannia et al., 2018; West et al., 2018).

Finally, our modeling framework assumes that all PSA changes after EBRT conclusion emanate from radiation-induced tumor cell death and the proliferation of a potential tumor cell survival fraction. However, the mathematical formulation of our model could be extended to accommodate other mechanisms underlying PSA dynamics after EBRT. For instance, postradiotherapy PSA bounces (Wein et al., 2012; Pinkawa et al., 2010; Freiberger et al., 2017) have been described via mechanistic modeling of the interplay between tumor cell dynamics and tumor immune response (Yamamoto et al., 2016). Indeed, a recent study has also found this complex interplay to be central for the prediction of the probability of radiocurability of cancer patients (Alfonso et al., 2021). Our model could also accommodate the increase in PSA caused by prostatic enlargement due to concomitant benign prostatic hyperplasia by means of an additional disease-specific PSA production term (Lorenzo et al., 2019b,a; Hanlon et al., 1998; Swanson et al., 2001), which could be informed by either population-based, age-stratified estimates of PSA changes due to this pathology (Roehrborn et al., 2000) or longitudinal patient-specific, imaging measurements of prostatic whole or central gland volumes (Lorenzo et al., 2019b,a; Cao et al., 2017; Roehrborn et al., 2000; Lieber et al., 2010). Additionally, our mechanistic model of post-EBRT dynamics features a model-naïve formulation of the survival fraction as a free parameter that is directly estimated from PSA data (see STAR Methods). This definition of the survival fraction could be refined by leveraging a radiobiological dose-dependent formulation, for which there exists a rich literature (Forouzannia et al., 2018; Corwin et al., 2013; Lima et al., 2017; Bodgi et al., 2016; Rockne et al., 2015; Powathil et al., 2007, 2013; Lewin et al., 2018; O'Rourke et al., 2008; Kal and Gellekom, 2003; Wang and Li, 2005). Hence, our modeling framework would enable to investigate alternative radiation plans and systematically select clinically feasible, optimal regimens for individual patients (Forouzannia et al., 2018; Henares-Molina et al., 2017; Brüningk et al., 2021; Lipková et al., 2019; Ayala-Hernández et al., 2021). The aforementioned model extensions increase the number of parameters to be identified on a patient-specific basis. Thus, global sensitivity analysis and model selection would be recommendable to identify a minimal set of driving parameters requiring personalized calibration and assess whether the extended models are superior to the parent formulation used in this study (Oden et al., 2016; Lorenzo et al., 2022).

STAR*METHODS

Detailed methods are provided in the online version of this paper and include the following:

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- Model fitting and forecasting
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- QUANTIFICATION AND STATISTICAL ANALYSIS
 - O Quantitative assessment of model fits and forecasts
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 - O Statistical analysis
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SUPPLEMENTAL INFORMATION

Supplemental information can be found online at https://doi.org/10.1016/j.isci.2022.105430.

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AUTHOR CONTRIBUTIONS

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The authors declare no competing interests.

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STAR*METHODS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER		
Deposited data				
De-identified patient data	This paper	https://doi.org/10.5281/zenodo.6277674		
Software and algorithms				
MATLAB R2021a	The Mathworks (Natick, MA, USA)	https://www.mathworks.com/products/matlab.html		
MATLAB scripts for model fitting and forecasting	This paper	https://doi.org/10.5281/zenodo.6277674		
MATLAB scripts for statistical analysis	This paper	https://doi.org/10.5281/zenodo.6277674		

RESOURCE AVAILABILITY

Lead contact

Further information and requests for resources should be directed to the lead contact, Guillermo Lorenzo (guillermo.lorenzo@unipv.it).

Materials availability

This study did not generate new unique reagents.

Data and code availability

De-identified patient data and all original code have been deposited at Zenodo (https://doi.org/10.5281/zenodo.6277674) and are publicly available as of the date of publication. Any additional information required to reanalyze the data reported in this paper is available from the lead contact upon request.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Anonymized patient data were retrospectively collected at Istituto di Ricovero e Cura a Carattere Scientifico Ospedale San Raffaele (IRCCSOSR, Milan, Italy). Ethics approval and informed consent waiver were obtained from the Internal Review Board at IRCCSOSR for this study. The inclusion criteria were diagnosis of localized or locally advanced PCa (clinical TNM stage: T1 to T3, not N1 or M1), availability of complete basic diagnostic data (i.e., baseline PSA, Gleason score, and TNM stage), EBRT as primary treatment with curative intent and without (neo)adjuvant ADT, follow-up for at least 3 years since the onset of radiotherapy, and a PSA history featuring at least 5 values after EBRT conclusion. The exclusion criteria were a previous diagnosis of cancer prior to PCa, any other prior or concomitant treatment for PCa (e.g., ADT, radical prostatectomy, radiotherapy, chemotherapy), EBRT without curative intent, incomplete diagnostic data, and insufficient PSA monitoring for this study.

A total of 206 men treated with EBRT at IRCCS Ospedale San Raffaele between years 2006 and 2018 were initially considered for this study. The application of the inclusion and exclusion criteria resulted in a final cohort of 166 patients. A total of 10 patients in this cohort were diagnosed with biochemical relapse and tumor recurrence was confirmed in five of them with choline PET/CT. PCa recurrence was local in one patient and metastatic in the other four patients. Nine relapsing patients received a secondary treatment, which consisted of ADT for seven patients, radiotherapy in one patient, and combined ADT and radiotherapy for another patient. The PSA data collected after the onset of the second treatment is not considered in the present study. We recall that Table 1 summarizes the characteristics of the study cohort, the relapsing subgroup, and the non-relapsing subgroup. The total number of PSA values reported for each patient (n_P) includes a single pre-EBRT value (i.e., the baseline PSA, P_d) and the series of PSA values collected during post-EBRT follow-up. A Wilcoxon rank-sum test identified significantly larger PSA at diagnosis (P_d , p=0.017), higher number of PSA values (n_p , p=0.040), and more frequent PSA testing (p<0.001) in the relapsing subgroup. Additionally, the proportion of T1, T2, and T3 disease in the non-relapsing/relapsing subgroups are 88/6, 64/4, and 4/0, respectively.

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METHOD DETAILS

In this section, we describe the mathematical modeling framework leveraged in this work, which was previously introduced in [Lorenzo et al., 2019b]. The interested reader is further referred to Table S1 in Methods S1 for a list of symbols and definitions of the model variables and parameters.

General mathematical model

We call P(t) the serum PSA at time t. Our time interval of interest is (t_0, t_f) , where t_0 is the time at which the pre-EBTR PSA measurement in our database was taken, and t_f is the latest time at which we forecast the PSA. For simplicity, we rescale time such that $t_0 = 0$ for all patients. The patients in our database received n_d radiation doses at times $\{t_k\}_{k=1,\dots,n_d}$, where $t_0 < t_1 < \dots < t_{n_d} < t_f$. Note that the t_k 's may vary from patient to patient.

We assume that the serum PSA is proportional to the number of tumor cells N(t), that is

$$P(t) = \kappa N(t),$$
 (Equation 1)

where κ is a constant. Prior to EBRT treatment, we assume that N grows exponentially in time from $N(t_0) = N_0$, with a characteristic tumor cell proliferation rate ρ_n . Thus,

$$P(t) = \kappa N_0 e^{\rho_n t}$$
 in $t \in (t_0, t_1)$. (Equation 2)

From Equation (2), we define $P_0 = P(0) = \kappa N_0$.

For each time interval, $I_k = (t_k, t_{k+1})$, $k = 1, ..., n_d - 1$, we define $S_k(t)$, which represents the number of tumor cells surviving to the k-th radiation dose, and $\tilde{D}_k(t)$, which is the number of tumor cells irreversibly damaged after the k-th radiation dose. For compactness of the notation, we also define $S_0(t)$ and $\tilde{D}_0(t)$ in the interval (t_0, t_1) as $S_0(t) = N(t)$ and $\tilde{D}_0(t) = 0$. This assumes that there are no damaged cells before treatment

The values of S_k and \tilde{D}_k at time t_k are obtained from S_{k-1} as

$$\tilde{D}_k(t_k) = (1 - R_d)S_{k-1}(t_k),$$
 (Equation 3a)

$$S_k(t_k) = R_d S_{k-1}(t_k).$$
 (Equation 3b)

Equation (3a) assumes that the radiation dose at time t_k immediately produces irreversible damage to a fraction of cells $(1-R_d)$, where $0 < R_d < 1$. The remaining fraction of cells, R_d , continues in the compartment of surviving cells. The parameter R_d is patient-specific, but constant for all doses. We do not assume any specific formulation for R_d , but simply compute it from the PSA data. Equations (3a) and (3b) and provide initial conditions for the ordinary differential equations (ODEs) that govern the dynamics of $\tilde{D}_k(t)$ and $S_k(t)$ in the time interval I_k . These ODEs are based on the assumptions that irreversibly damaged cells undergo programmed cell death at a rate ρ_d , and surviving cells continue their proliferation at a characteristic rate ρ_s ,

$$\frac{d\tilde{D}_k}{dt} = -\rho_d \tilde{D}_k \quad \text{in} \quad I_k, \tag{Equation 4a}$$

$$\frac{dS_k}{dt} = \rho_s S_k$$
 in I_k . (Equation 4b)

We further define the cumulative number of irreversibly damaged tumor cells in the time interval I_k as

$$D_k(t) = D_{k-1}(t_k) + \tilde{D}_k(t),$$
 (Equation 5)

with $D_0(t) = 0$. Hence, the total population of tumor cells in the interval I_k is

$$N_k(t) = S_k(t) + D_k(t), mtext{(Equation 6)}$$

and the PSA is given by

$$P_k(t) = \kappa N_k(t) = \kappa (S_k(t) + D_k(t)),$$
 (Equation 7)

Note that Equations (3a) and (4b) can be solved recursively on all time intervals from I_1 to I_{n_d-1} through direct integration, which leads to



$$\tilde{D}_k(t) = (1 - R_d) S_{k-1}(t_k) e^{-\rho_d(t-t_k)}$$
 in I_k , (Equation 8a)

$$S_k(t) = R_d S_{k-1}(t_k) e^{\rho_s(t-t_k)}$$
 in I_k . (Equation 8b)

From Equations (8a) and (5), we obtain

$$D_k(t) = D_{k-1}(t_k) + (1 - R_d)S_{k-1}(t_k)e^{-\rho_d(t-t_k)} \quad \text{in} \quad I_k.$$
 (Equation 9)

By applying Equations (8b) and (5) recursively, we derive

$$S_k(t) = R_d^k N_0 \theta_1 e^{\rho_s t}$$
 in I_k , (Equation 10a)

$$D_k(t) = (1 - R_d) \left[\sum_{i=1}^k R_d^{i-1} e^{(t_i - t_1)(\rho_s + \rho_d)} \right] N_0 \theta_1 \theta_2 e^{-\rho_d t} \quad \text{in} \quad I_k,$$
 (Equation 10b)

where $\theta_1 = e^{t_1(\rho_n - \rho_s)}$ and $\theta_2 = e^{t_1(\rho_s + \rho_d)}$. Then, the expression for the serum PSA is

$$P_{k}(t) = P_{0}\theta_{1} \left[R_{d}^{k} e^{\rho_{s}t} + \left(1 - R_{d} \right) \left(\sum_{i=1}^{k} R_{d}^{i-1} e^{(t_{i} - t_{1})(\rho_{s} + \rho_{d})} \right) \theta_{2} e^{-\rho_{d}t} \right] \quad \text{in} \quad I_{k}.$$
 (Equation 11)

Single dose model

In the single dose model, we assume that the entire radiation treatment is given in one single dose at time t_D . Then,

$$S(t) = R_D N_0 \theta_{1D} e^{\rho_s t}, \quad t > t_D,$$
 (Equation 12a)

$$D(t) = (1 - R_D)N_0\theta_{1D}\theta_{2D}e^{-\rho_d t}, \quad t > t_D,$$
 (Equation 12b)

where R_D is the fraction of surviving tumor cells after the entire treatment dose, $\theta_{1D} = e^{t_D(\rho_n - \rho_s)}$, and $\theta_{2D} = e^{t_D(\rho_s + \rho_d)}$. Then, the PSA is given by

$$P(t) = P_0 \theta_{1D} [R_D e^{\rho_s t} + (1 - R_D) \theta_{2D} e^{-\rho_d t}].$$
 (Equation 13)

Dimensional analysis and predicted PSA nadir

The PSA dynamics after treatment completion can be obtained from Equation (11) as

$$P(t) = P_0 \theta_1 \left[R_d^{n_d} e^{\rho_s t} + \left(1 - R_d \right) \left(\sum_{i=1}^{n_d} R_d^{i-1} e^{(t_i - t_1)(\rho_s + \rho_d)} \right) \theta_2 e^{-\rho_d t} \right], \quad t > t_{n_d}.$$
 (Equation 14)

We nondimensionalize PSA and time using the scales $P_0\theta_1(1-R_d)(\sum_{i=1}^{n_d}R_d^{i-1}e^{(t_i-t_1)(\rho_s+\rho_d)})$ and $1/\rho_d$, respectively. Then, using hats to denote dimensionless quantities, we have

$$\widehat{P}(\widehat{t}) = \frac{R_d^{n_d}}{(1 - R_d) \sum_{i=1}^{n_d} R_d^{i-1} e^{(\widehat{t}_i - \widehat{t}_1) \left(\frac{\rho_s}{\rho_d} + 1\right)}} e^{\frac{\rho_s \widehat{t}}{\rho_d} \widehat{t}} + \theta_2 e^{-\widehat{t}}.$$
 (Equation 15)

Defining the nondimensional ratios

$$\beta = \frac{\rho_s}{\rho_d},$$
 (Equation 16a)

$$\alpha = \frac{R_d^{n_d}}{(1 - R_d) \sum_{i=1}^{n_d} R_d^{i-1} e^{(\widehat{t_i} - \widehat{t_1}) \left(\frac{\rho_s}{\rho_d} + 1\right)}},$$
 (Equation 16b)

we can express the dimensionless PSA as

$$\widehat{P}(\widehat{t}) = \alpha e^{\beta \widehat{t}} + \theta_2 e^{-\widehat{t}}.$$
 (Equation 17)

The nondimensional ratio α represents the efficacy of the radiation plan and β defines the dynamics of the tumor cell population after radiation. While β is independent from the EBRT regimen (see Equation 16a), α depends on the specific radiation plan (see Equation 16b). Thus, α can be specialized according to the modeling assumptions concerning the treatment plan. Hence, for the single dose model



$$\alpha = \frac{R_D}{1 - R_D},$$
 (Equation 18)

which stems from nondimensionalizing the serum PSA in Equation (13) with $P_0\theta_{1,D}(1-R_D)$.

The nondimenzionalized PSA velocity is defined as

$$\widehat{v_P}(\widehat{t}) = \frac{d\widehat{P}(\widehat{t})}{d\widehat{t}} = \alpha \beta e^{\beta \widehat{t}} - \theta_2 e^{-\widehat{t}}.$$
 (Equation 19)

The PSA nadir is achieved at a dimensionless time \hat{t}_n defined by $\hat{v}_P(\hat{t}_n) = 0$. Using Equation (19), we find

$$\hat{t}_n = \frac{1}{1+\beta} \ln \left(\frac{\theta_2}{\alpha \beta} \right).$$
 (Equation 20)

The corresponding dimensional time is

$$t_n = t_1 + \frac{1}{\rho_d(1+\beta)} \ln\left(\frac{1}{\alpha\beta}\right).$$
 (Equation 21)

and the time to PSA nadir since the completion of the treatment is given by $\Delta t_n = t_n - t_{na}$.

Further modeling assumptions

Some patients may experience delays in their radiation plans due to treatment side effects, local holidays, or machine routine maintenance. Additionally, the patient dataset used in this study only includes the times of EBRT initiation and conclusion, the radiation dose, and the number of doses. This information is not compatible with an accurate use of our general model, which would require the exact dates of each EBRT fraction for each patient. To overcome this limitation, we introduced the equivalent single dose assumption on radiation delivery that leads to the single dose model presented above. Thus, here we perform our analyses with the single dose model, which can be leveraged as a surrogate of the general model as shown in [Lorenzo et al., 2019b].

Methods S2 in the Supplemental Information further describes another surrogate model that we have termed the periodic dose model in [Lorenzo et al., 2019b]. This model assumes that the EBRT doses are delivered periodically. Data S1 further provides a summary of the results obtained using the periodic dose model in the global fitting and fitting-forecasting studies that were carried out for the single dose model in the main text. The rationale for using the single dose model for the main analysis of this paper lies in its comparatively simpler formulation than the periodic dose model and the similar performance of both models in fitting and forecasting PSA dyamics after EBRT (see Data S1 and [Lorenzo et al., 2019b]). Hence, the single dose model is preferable over the periodic dose model from a model selection perspective.

We further assume that EBRT does not change the proliferation rate of the surviving tumor cells, such that $\rho_n = \rho_s$ and $\theta_1 = \theta_{1D} = 1$. This is a common assumption in the literature [Lima et al., 2017; Corwin et al., 2013; Pérez-García et al., 2015] that facilitates the parameterization of our models using the cohort of this study, which only features one pre-EBRT PSA value to inform ρ_n . Additionally, we set $t_D = t_1$ for the single dose model as in [Lorenzo et al., 2019b].

Model fitting and forecasting

We fit the PSA data from each patient to the single dose model. We perform model fitting by leveraging a nonlinear least-squares method based on a trust-region reflective algorithm. Table S2 in Methods S3 provides the initial guess as well as the lower and upper bounds of the model parameters. Our model fitting method aims at minimizing an objective functional J, given by

$$J = \sum_{i=1}^{n_{P,fit}} (\widehat{P}(t_i) - P(t_i))^2 + w_r(\rho_s - \rho_{s,min})^2.$$
 (Equation 22)

The first term in the right-hand side of Equation (22) represents the mismatch between PSA data (P) and the model estimation of PSA (P) at each of the PSA test times t_i ($i=1,...,n_{P,fit}$). For each patient, we run a total of $n_{P,fit}$ model fits in the fitting-forecasting study ($n_{P,fit}=5,...,n_{P}-1$), whereas we only perform a single model fit with $n_{P,fit}=n_{P}$ in the global fitting study. The second term in the right-hand side of Equation (22)





regularizes the proliferation rate of the surviving tumor cells (ρ_s) to low values and was introduced to limit overfitting, especially when only a small number of PSA values were available to calculate the model parameters. The regularization weight w_r is empirically set at 2500 and $\rho_{s,min}$ is the minimum admissible value for this parameter in Table S2.

We further cast our model fitting problem within a multi-start strategy to facilitate convergence for all patient datasets and avoid the selection of local minima in the minimization problem outlined above. In brief, this strategy consists of solving the model fitting problem multiple times, each of them using a different initial guess and resulting in a parameter set if convergence is achieved. Then, the algorithm selects the resulting parameter set that renders the lowest value of the objective functional as the global minimum. We use a collection of 20 initial guesses that are randomly sampled within the parameter space and always includes the one provided in Table S2.

Code implementation

The calculations based on the numerical methods described in this section are performed using MATLAB (R2021a, The Mathworks, Natick, MA, USA). In particular, model fitting is implemented by leveraging functions *multistart* and *Isqnonlin* in the Global Optimization Toolbox.

QUANTIFICATION AND STATISTICAL ANALYSIS

Quantitative assessment of model fits and forecasts

In the global fitting study, the quality of fit is assessed by means of the root mean squared error (RMSE) and the coefficient of determination (R^2). Given that some $n_{P,fit}$ scenarios involved a reduced number of PSA values to assess the model forecasts, we only use the RMSE to analyze the quality of fit and validate the model predictions of PSA dynamics in the fitting-forecasting study. Additionally, we calculate the 95% nonlinear regression prediction confidence intervals for our model fits and forecasts.

Receiver operating characteristic curves

We calculate the receiver operating characteristic (ROC) curves for our model-based biomarkers obtained from global fitting and the fitting-forecasting study. Global fitting produces a unique value for each model-based biomarker per patient. We use the resulting set of values obtained across the whole cohort as the thresholds to construct the corresponding ROC curves. Since all PSA values for each patient are used in global fitting, these ROC curves assess the ability of the model-based biomarkers to retrospectively classify patients as relapsing or non-relapsing. The fitting-forecasting study produces a set of values for each model-based biomarker per patient, which result from the sequential model fits to an increasing number of PSA values ranging from $n_{P,fit} = 5$ to $n_{P,fit} = n_P - 1$. Hence, each set contains the temporal evolution of each model-based biomarker during post-EBRT monitoring for each patient. To construct the ROC curve of each model-based biomarker in the fitting-forecasting study, we first pool all the biomarker values across all patients to define the thresholds. Then, for each patient, we assess whether each threshold can identify any of the biomarker values obtained across the $n_{P,fit}$ scenarios as predictive for biochemical relapse. Thus, in this scenario, the ROC curves provide an assessment of the ability of the model-based biomarkers to early classify the patients as relapsing or non-relapsing during the course of post-EBRT PSA monitoring.

For each ROC curve, we further calculate the area under the curve (AUC) using the trapezoidal rule and the optimal performance point according to Youden's index. Additionally, we calculate the 95% bootstrap confidence intervals of the ROC curves and their corresponding AUC and optimal performance point. We use 2000 bootstrap samples for these calculations. The 95% bootstrap confidence interval for each ROC curve is obtained as the envelope of the 95% bootstrap confidence interval regions obtained for each threshold value used in the construction of the ROC curve along the sensitivity and specificity axes.

Statistical analysis

We use Wilcoxon rank-sum and signed-rank tests for the statistical analyses performed in this work. In the results section, we specify when we use each type of test and whether it is two-tailed or one tailed for each statistical analysis of the global fitting and fitting-forecasting study results. The level of significance for all statistical tests is set at 5%.



Code implementation

The calculations based on the statistical methods described in this section are performed using MATLAB (R2021a, The Mathworks, Natick, MA, USA). In particular, we use the Statistics and Machine Learning Toolbox to calculate the 95% nonlinear regression prediction confidence intervals for our model fits and forecasts (function *nlpredci*), construct the 95% bootstrap confidence intervals for the ROC curve analysis (function *bootci*), and perform the aforementioned statistical tests (functions *ranksum* and *signrank*).